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The Controversial Role of Inter-diffusion in Glass Alteration: Implications for Current Long-Term Modeling

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Abstract

Current kinetic models for nuclear waste glasses (e.g. GM2001, GRAAL) are based on a set of mechanisms that have been generally agreed upon within the international waste glass community. These mechanisms are: hydration of the glass, ion exchange reactions (the two processes are referred as inter-diffusion), hydrolysis of the silicate network, and condensation/precipitation of partly or completely hydrolyzed species that produces a gel layer and crystalline phases on surface of the altered glass. Recently, a new idea with origins in the mineral dissolution community has been proposed that excludes inter-diffusion process as a potential rate-limiting mechanism. To understand how the so-called interfacial dissolution/precipitation model can change the current understanding of glass behavior, an in-depth review of the current knowledge with a special focus on inter-diffusion processes is considered. Also discussed is how experimental conditions change the predominant mechanisms and how one model may not be sufficient to explain the glass dissolution behavior under a wide range of geochemical conditions. In addition to the review of the above subjects, a key experiment used to account for the interfacial dissolution/precipitation model was replicated to further revisit the interpretation. It is concluded that the selected experiment design may lead to ambiguous conclusions and that, under the conditions investigated (dilute conditions, deionized water), evidence of inter-diffusion exists.

1. Introduction
The question of stability of silicate minerals and glasses over times is of importance in many sectors ranging from earth sciences to nuclear and pharmaceutical industries. From a manufacturing point of view, understanding the chemical durability of these materials is necessary to tailor their composition to their use. For instance, the dissolution rate of biomedical glasses must be determined to ensure delivery of the bioactive components to the proper biological system (Christie et al., 2016; Hench, 2006; Kokubo, 1991). Furthermore, understanding the alteration of basaltic glasses gives insight into global geochemical cycles of some key elements, storage of CO$_2$, or geological transformation of mars (Galeczka et al., 2014; Knowles et al., 2012; Minitti et al., 2007; Seyfried Jr et al., 1984). One important step to determining their behavior in a variety of systems whether biological or environmental is to understand their interaction with water (Conradt, 2008; Filgueras et al., 1993; Morin et al., 2015). Glass is also being considered as a containment matrix for high, intermediate, and low level radioactive waste arising from the reprocessing of spent nuclear fuel by many countries (Chaou et al., 2015; Ojovan and Lee, 2011; Pierce et al., 2008). The geological storage of nuclear waste in general, and glass in particular, is under consideration worldwide and it is admitted that the fate of radionuclides and their impact on the biosphere is strongly tied to waste forms alteration by ground waters. One major challenge in this field is to reliably demonstrate the safety of the disposal over the next hundreds of thousands of years (Gin et al., 2013a; Grambow, 2006; Vernaz and Dussossoy, 1992).

One approach to develop predictive mechanistic models has been designed by the scientific community (ASTM International, 2008; Campbell and Cranwell, 1988; Poinssot and Gin, 2012). Initially, mechanistic and parametric studies are designed to understand basic processes. By coupling various conditions and progressively considering realistic conditions, experiments and in-depth characterization of altered glass samples allow the determination of the key processes that must be captured by the model. Mechanistic kinetic models are then developed to interpret experimental data with increasing complexity and eventually extrapolate long-term behavior under repository conditions. One method to validate models is the study of natural or archeological glasses that have been subjected to a variety of conditions for periods exceeding the human timeframe (Libourel et al., 2011; Techer et al., 2000; Verney-Carron et al., 2008).

Several models are currently being used to assess the long-term stability of these waste forms, such as GM2001 (Grambow and Müller, 2001), GRAAL (Frugier et al., 2008) and more simpler rate laws derived from the general equation given by Lasaga et al. (1995). Recently, a new theory initially built for silicate minerals (Hellmann et al. (2012) and references therein) was extended to silicate glasses based on specific observations (Geisler et al., 2015; Hellmann et al., 2015). This theory suggests that both silicate minerals and glasses dissolve congruently within a thin interfacial film of water and alteration products then form by precipitation from
species released in this film. This idea is supported by many observations of cross sections of alteration rims formed on silicate minerals, which show sharp chemical gradients of species that were once assumed to diffuse (Hellmann et al., 2012). In the attempt to generalize the theory to glass, Hellmann et al., 2015 characterized with Atom Probe Tomography (APT), Transmission Electron Microscopy (TEM) and Time-of-Flight Secondary Ion Mass Spectrometry (ToF-SIMS) samples of SON68 glass (composition given in Table 1) – the inactive surrogate of the French R7T7 glass fabricated at the La Hague facility for high-level waste arising from spent nuclear fuel reprocessing – altered at 50°C in very dilute conditions (Hellmann et al., 2015). The observation of sharp gradients for highly mobile species, such as B and Na, led to the assumption by the authors to neglect the commonly described process of inter-diffusion in any cases (water diffusion in the glass and ion exchange). This present review attempts to understand how this interfacial dissolution/precipitation idea presented recently by a few authors fits in with the observations and with the currently accepted waste glass corrosion models. The experiment discussed by Hellmann et al. (2015) – and referred as “Hellmann et al.’s experiment” in the following – has been replicated to re-examine their results.

2. Fundamentals in Glass Alteration

2.1 Glass Structure

As the dissolution/precipitation idea is inherited from many observations performed on silicate minerals before being generalized to silicate glasses, it is important to consider what defines a glass. Glass is essentially a solid that is cooled at such a rate that large-scale crystallization is inhibited by its viscosity. Compared to crystals, a glass only keeps short range (2–5 Å) or medium range (5-20 Å) order in regards to the network forming elements (Elliott, 1991; Zachariasen, 1932). In addition to the network forming elements (Si and B in our case), additional elements can be included to modify the glass network connectivity. Glass modifiers such as alkali and alkaline earth elements depolymerize the network acting as charge compensators for non-bridging oxygen or other unbalanced species of intermediate elements (e.g. Al, Zr, Fe, Zn, Mo). These intermediate elements can strengthen or loosen the overall structure depending on the global composition of the glass (Calas et al., 1987; Stebbins and Xu, 1997). This ability to change the physical (melting temperature, viscosity, electrical resistivity, etc.) and chemical properties by the addition of multiple elements allows glass to be tailored to a variety of applications including the storage of nuclear waste. The composition of borosilicate glasses used in nuclear waste conditioning are typically made of ~20 to ~40 of oxides with a waste loading capacity of about 15-35 wt%. This advantage is counterbalanced by two major limitations: (i) compared to silicate minerals the glass structure is more open allowing water to slowly diffuse in (Bunker, 1994; Davis and Tomozawa, 1995) and (ii) a thermodynamic
equilibrium between glass and solution cannot be achieved (Grambow, 1985). Thus, in contact with water, glass undergoes irreversible transformations into more stable phases. In this paper we mainly focus on SON68 glass as (i) it was the glass studied by Hellmann et al. (2015) and (ii) it is the most studied simulant nuclear glass to date.

2.2 Mechanisms and Kinetics of Glass Alteration

2.2.1 Mechanisms of Glass Alteration

A general set of mechanisms and kinetic regimes have been agreed upon to describe the interaction between borosilicate glasses and an initially dilute aqueous medium (Conradt, 2008; Vienna et al., 2013). Understanding of glass corrosion arises from correlating solid characterization with solution data. These correlations allow rate controlling steps and the corresponding kinetic regimes to be determined. The initial stage of glass dissolution in deionized water is represented by several coupled mechanisms (Bunker, 1994): hydration by water diffusion in the glass structure (Doremus, 1975; Rébiscoul et al., 2004; Smets and Lommen, 1983) and the ion-exchange of the alkali ions of the glass surface with hydronium ions in solution (Angel, 2001; Bok, 1967; Doremus, 1983; McGrail et al., 2001; Ojovan et al., 2006; Rebiscoul et al., 2003) as shown in equation 1 where $M^+$ is an alkali ion.

\[ \equiv \text{Si-O} \equiv \cdot M^+ + H_3O^+ \rightarrow \equiv \text{Si-OH} + M^+ + H_2O \quad \text{Equation 1} \]

Note that water diffuses in the glass as an intact molecular species only through large rings made of at least six silica tetrahedrons (Bunker, 1994). As glasses of nuclear interest are dense and highly polymerized, they do not contain many large rings, thus ion-exchange dominates the first stage of nuclear glass alteration. McGrail et al. (2001) suggests that water dissociation could be the rate-limiting step controlling ion exchange. Moreover, it is often seen that B is released along with alkali. Although B is a glass former, the fast hydrolysis, associated to a low energy barrier for breaking B-O-Si bond, can explain this behavior. In the following, water diffusion through the glass, ion exchange and hydrolysis of B are gathered under the general term of inter-diffusion. These reactions cause the pH of the system to rise, thus leading to the dissolution of the glass network, which corresponds to the hydrolysis of the Si-O-X ($X = \text{Si, Al, Fe...}$) bonds (Hench and Clark, 1978; Techer et al., 2001):

\[ \equiv \text{Si-O-X} + \text{OH}^- \rightarrow \equiv \text{Si-O}^- + \cdot \text{X-OH} \quad \text{Equation 2} \]

\[ \equiv \text{Si-O-X} + \text{H}_2\text{O} \rightarrow \equiv \text{Si-OH} + \cdot \text{X-OH} \quad \text{Equation 3} \]

The hydrolysis of the four $\equiv \text{Si-O-X}$ bonds leads to the release of orthosilicic acid and potentially the ‘X species’ into the solution. When the concentrations of silica and X species in solution
increase, concurrent reactions of precipitation and in situ condensation occurs (Iler, 1979). These mechanisms are shown for silica in equations 4, 5, and 6.

\[
\equiv\text{Si-OH} + \equiv\text{Si-OH} \leftrightarrow \equiv\text{Si-O-Si} + \text{H}_2\text{O} \quad \text{Equation 4} \\
\text{H}_4\text{SiO}_4 + \equiv\text{Si-OH} \leftrightarrow \equiv\text{Si-O-Si(OH)}_3 + \text{H}_2\text{O} \quad \text{Equation 5} \\
\text{H}_4\text{SiO}_4 + \text{H}_4\text{SiO}_4 \leftrightarrow 2\text{SiO}_2 + 4\text{H}_2\text{O} \quad \text{Equation 6}
\]

The concentration of silica eventually reaches steady state, when hydrolysis and precipitation and/or condensation rates are equal. The elements that strongly interact with Si, such as Al, Ca, Fe, Zr can change this steady state due to their influence on dissolution and condensation/precipitation rates.

2.2.1 Kinetics of Glass Alteration

Theoretically all the reactions cited above compete during glass alteration but the rates of each are dependent on factors such as glass composition (Frugier et al., 2005; Gin et al., 2012; McGrail et al., 2001), temperature (Calligan and Pierce, 2007; Knauss et al., 1989; Pierce et al., 2008), and pH (Advocat et al., 1991; Inagaki et al., 2013; Werme et al., 1983). As a result, each of them can be rate-limiting depending on the experimental conditions. For instance, while the solution conditions are still dilute and the pH close to neutral, there is no backward reaction of silica and glass alteration is limited by the hydrolysis of the silicate network. The resulting rate is called the initial or forward rate; this is the maximum dissolution rate for a given temperature and pH and characterized by a nearly congruent glass components release. As Si concentrations increase in the solution, the rate of hydrolysis of the silicate network decreases and backward reactions take place. As a result, porous, amorphous, and hydrated compound called the gel forms on the glass surface by precipitation and in situ condensation (Gin et al., 2015a; Grambow, 1985; Jégou et al., 2000; Valle et al., 2010). Note that the gel is not a pure silica polymorph. SON68 glass, as well as most of nuclear glass compositions contain many low solubility elements that can bound to Si-O such as Fe, Al, Zr and Ca. As a result the gel can accommodate a number of cations released from the glass or coming from the solution.

The residual rate regime is believed to begin when the solution has reached a steady state concentration of Si in solution but ion exchange may still occur (Gin et al., 2012; McGrail et al., 2001; Petit et al., 1990a). There was debate on whether the rate drop is due to the buildup of glass components (effect of limiting transport through the gel layer) or due to the diminishing affinity of the dissolution of the silicate network. This debate ended in the 2000’s when it was determined that both affinity and transport of reactive species through the gel affect the glass dissolution rate (Van Iseghem et al., 2009). One theory suggests that if the gel is sufficiently dense it can be passivating, meaning that the diffusion coefficient of the mobile species through
it is smaller than the inter-diffusion coefficient in the pristine glass (Gin et al., 2013c; Parruzot et al., 2015). The corresponding rate is then controlled by reactive diffusion of water through the passivating layer and the precipitation of secondary phases that take up the elements from the passivating layer. When precipitation of aluminosilicate phases is sufficiently large, in the case of zeolite under hyper alkaline solutions, glass dissolution can suddenly resume and go back to the initial conditions reflecting the pH of the contacting solution and experimental temperature (Ebert and Bates, 1993; Fournier et al., 2014a; Ribet and Gin, 2004).

2.2.3 Alteration products

The set of reactions described above lead to the formation of amorphous and crystalline phases on the glass surface. Figure 1a displays the different alteration products described by the classical theory involving inter-diffusion. Figure 1b shows the same materials interpreted by the interfacial dissolution/precipitation model. The stack of amorphous and more or less crystalline phases can be explained by the Ostwald rule of stages (Ostwald, 1897). This rule states that a system will go through a series of intermediate steps to eventually reach the most stable one; the least stable step will be formed first for kinetic reasons while the most thermodynamically favored will be formed last (Threlfall, 2003). This is also observed for natural glasses altered both in the laboratory or in the field (basaltic glass) as gel-like materials form first followed by the precipitation of clays minerals and much later by zeolites (Crovisier et al., 2003). As a whole, and whatever the theory for glass alteration, the role of alteration products on glass dissolution kinetics is of primary importance because they control either the solution chemistry (Curti et al., 2006; Fournier et al., 2014b; Strachan and Neeway, 2014; Vienna et al., 2013) or limit the transport of aqueous species (Cailleteau et al., 2008; Gin et al., 2011; Gin et al., 2015a; Jollivet et al., 2008; Rébiscoul et al., 2005; Rébiscoul et al., 2004) and can, in the case of massive precipitation of zeolites, sustain glass alteration at a high dissolution rate.

2.3 Advancements in Models

Attempts to build rate laws date back to the 1980’s with the pioneering work of Aagaard and Helgeson (1982) based on the transition state theory. This theoretical framework was then used by (Grambow, 1985) to derive a first-order rate law to explain why the glass dissolution rate drops. In this model, the hydrolysis of the fourth Si-O-X bond once the three others have been hydrolyzed, is supposed to be the rate limiting step controlling the whole glass dissolution process. The glass dissolution rate is then:

\[
r = r_0 \left( 1 - \frac{a(H_4SiO_4)}{K} \right)
\]

Equation 7
Where $r_0$ is the initial dissolution rate, $a(H_4SiO_4)$ the activity of orthosilicic acid, $K$ the pseudo equilibrium constant for the hydrated glass.

Over time, additional models have been devised to take into account the effect of the alteration layers (Bourcier et al., 1989; Daux et al., 1997; Munier and Crovisier, 2003). The corresponding models assume that the glass dissolution rate is controlled by the equilibrium between the gel and the solution. Experimental evidence of transport-limiting properties of dense gels accumulated in the late 1990’s and, new models were developed. GM2001 (Grambow and Müller, 2001) couples an affinity-based rate law between the glass and dissolved silica and the diffusion of Si and H$_2$O through the gel (Ferrand et al., 2006). The affinity term is based on the activity of H$_4$SiO$_4$ in solution and a corresponding saturation constant $K_{SiO_2}$ at the dissolving glass surface. In this model, the formation of the gel is due to the precipitation of silica following its dissolution from the glass network. Another advanced model designated GRAAL for Glass Alteration with Allowance for the Alteration Layer, assumes that the glass dissolution rate is dependent on the diffusion properties of a dense altered layer designated as the PRI or passivating reactive interphase (Frugier et al., 2008). The PRI forms by water diffusion into the glass, ion exchange and self-reorganization. It then transforms by dissolution/precipitation into a porous, non-passivating gel and secondary phases. The PRI and the gel are modelled by a set of 6 end-members whose composition and solubility have been empirically determined (Rajmohan et al., 2010). Until now, GRAAL is the only model that is able to calculate residual rate without the use of fitting parameters (Frugier et al., 2009; Gin et al., 2013b) although the range of conditions in which the model is applicable is still narrow (SON68 glass, 50°C or 90°C, pH 6-10).

The Monte Carlo method has also been used to understand the mechanisms of glass-water reactions. Although this method is simplified from the variety of factors that influence the rates and mechanism seen during glass alteration it has given insights into the interfacial reactions and properties of the alteration layer. This computational method assumes the glass structure as a lattice where the lattice points are the glass formers Si, Al, and B. The modifier ions, alkalis and alkaline earths, are placed at interstitial positions. This method uses overall probabilities (dissolution, precipitation) for each of the different glass components. This method has been used successfully to understand the morphological changes within the alteration layer (Cailleteau et al., 2008; Cailleteau et al., 2011; Ledieu et al., 2004), changes due to glass-surface-area-to-solution-volume ratio (S/V) and glass composition (Devreux et al., 2004), and solution effects (Santra et al., 1998). Initially, only pore clogging accounted for the rate decrease, but a diffusion term was recently introduced into the model that greatly enhanced the method’s ability to model glass behavior (Kerisit et al., 2015).
As explained above, in the last few years, the current understanding of glass alteration mechanisms has been challenged by a new idea arising from mineral and ceramic science (Geisler et al., 2015; Hellmann et al., 2015; Hellmann et al., 2012). This idea stresses the importance of a thin film of water that is assumed to be present on the surface of the pristine glass/aqueous interface and how the characteristics of this region differ from the bulk solution. As the glass alters, saturation takes place in this thin water film that causes the precipitation of an alteration layer (Figure 1b). Here, the extent of alteration is not due to bulk solution saturation in silica but in the solubility difference between amorphous silica within the interfacial fluid and the silica within the glass network. The whole alteration layer is thus considered as a precipitate. As all the glass constituents are supposed to dissolve congruently in the thin film of water, no chemical gradients form at the interface and both water diffusion in the glass and ion exchange are thus supposed to be negligible. According to the authors, the absence of chemical gradients at the glass/alteration layer interface would give enough credit to this new theory and allows them to generalize it to all experimental conditions. At this stage, this new idea only relies on observations; no equations are available that could be compared to experimental data.

The following discussion delves deeper into our current understanding of these models, how the proposed idea of interfacial dissolution/precipitation fits with current data, a discussion of the role of inter-diffusion within the various surface layers, and the points that must be further understood to create models that can accurately predict the behavior of glass waste over geological time scale.

3. Understanding Alteration Layers Formation in relation with Inter-diffusion

3.1 Evidence of ion exchange processes at low reaction progress

Advocat et al. (Advocat et al., 1991; Advocat et al., 1990) performed dissolution experiments of SON68 glass specimens at 90°C in dilute conditions (low surface-to-volume ratio), under acidic, neutral and basic conditions and short durations (typically a few days) in order to avoid affinity effects and other backward reaction. These conditions are the best to understand the effect of pH on the basic mechanisms (mainly ion exchange and hydrolysis) in the absence of alteration layers. Moreover the experiment performed in deionized water at low S/V ratio is of great interest because it corresponds to the very first stages of the Hellmann et al.’s experiment. The difference in temperature between the two studies (90°C for Advocat et al.’s study versus 50°C for Hellmann et al.’s experiment) does not change the conclusion. The series of experiments reported by Advocat et al. clearly show that lower the pH results in faster Na and B release compared to Si. This incongruency is clearly visible below pH 7 at 90°C (Figure 4 in Advocat et
al. (1991)). Moreover, below pH 7, Na is released slightly faster than B, showing that, as expected, the exchange reaction $\text{H}_3\text{O}^+$/Na$^+$ is faster than the dissolution of B-O-X (X = B, Si, Al) bonds. In deionized water the preferential release of Na and B lasts about 2 days, and the resulting depleted layer is approximately 30 nm thick. Under acidic conditions, at pH 2, this depleted layer exceeds 3000 nm. These results cannot be explained by the interfacial dissolution/precipitation model as silica concentrations are far from saturation whatever the pH, thus silica cannot precipitate in these conditions (solubility of amorphous silica at 90°C pH ranging from 2 to 8 is about 140 mg.L$^{-1}$ of Si$_{aq}$). The best evidence is provided by the experiments at pH 8 and above where the dissolution of Si, Na and B is congruent, showing that silica does not precipitate. These experiments thus strongly support the classical theory where inter-diffusion (i.e. ion exchange and fast dissolution of boron) on the one hand and hydrolysis of the silicate network on the other hand compete because of the difference in the bonding energy between glass cations and oxygen atoms. As a result of these competing reactions, a Na and B depleted and hydrated layer, also called leached layer, hydrated glass or gel depending on the authors, forms on the glass at low reaction progress, at least in acidic and slightly alkaline pH conditions.

3.2 From the hydrated glass to the gel layer formed at high reaction progress

Regarding the formation and growth of the gel layer, two theories are commonly proposed. One is based on precipitation due to saturation of the bulk solution (Crovisier et al., 1987) and another is based on the in-situ condensation of partly dissolved Si-O-X (X = Si, Al, Zr, Fe...) bonds (Bunker, 1994; Gin et al., 2001). Recent studies using Si isotopes show that both reactions take place, their relative importance depends on the deviation from saturation (Geisler et al., 2015; Gin et al., 2015a; Gin et al., 2015b; Valle et al., 2010). It is seen that a diluted solution (i.e. low concentration of silica) favors a complete detachment of Si atoms bound to the glass and further precipitation of dissolved silica once the concentration achieves saturation.

It is thought that if the gel is protective, transport of reactive species (water molecules, hydroxyl and hydronium ions) is slowed down as well as the transport of species released from the glass (Gin and Mestre, 2001; Leturcq et al., 1999; Pierce and Bacon, 2011; Rébiscoul et al., 2005; Vernaz and Dussossoy, 1992). As a consequence chemical gradients should take place within the layer.

These gradients have been characterized by multiple analytical techniques such as Time of Flight – Secondary Ion Mass Spectrometry (ToF-SIMS) and more rarely with Nano-SIMS, Atom Probe Tomography (APT), Transmission Electron Microscopy (TEM), or Rutherford Backscattering Spectroscopy (RBS). It is commonly seen that, at high reaction progress, elements such as B, Na, and Ca display similar gradients which are anti-correlated with H.
(Chave et al., 2011; Gin et al., 2011; Gin et al., 2015a; Gin et al., 2015b; Maeda et al., 2012; Petit et al., 1990b). For SON68, these gradients are sharp and only located near the pristine glass / hydrated glass interface (Gin et al., 2011). Although B does not undergo ion exchange, its high mobility is attributed to the fast dissolution of the B-O-X bonds due to a much lower energy barrier than for Si-O-Si (Zapol et al., 2013). Interestingly, as B, Na, and Ca are not bound similarly to O atoms in the glass structure, in can be inferred from the observation that the three cations display similar profiles in the alteration layer that the rate-limiting process is rather the water transport through the alteration layer than the detachment of the glass species. This is in agreement with previous works (Ferrand et al., 2006; Frugier et al., 2008). Hellmann et al. (2015) showed much sharper profiles by APT than by ToF-SIMS and TEM and used that observation to conclude that inter-diffusion is negligible. One can wonder if this observation is sufficient to build a new theory for glass dissolution excluding inter-diffusion.

3.3 Diffusion coefficients for SON68-type glasses at low and high reaction progress

Table 2 shows a comparison of multiple apparent diffusion coefficients under a variety of conditions and the methods of analysis. When comparing the diffusion coefficients, differences can be seen even when comparing the same element determined by the same method. So what causes these differences?

The penetration of water into the glass surface depends on glass composition, pH and temperature (Rébiscoul et al., 2012). As an example, the water diffusion coefficient \( D_w \) measured at pH = 3 at 30 °C were seen to change between \( 1.1 \times 10^{-19} \) to \( 4.6 \times 10^{-21} \) m\(^2\).s\(^{-1}\) based on varying concentrations of calcium within the glass composition. These diffusion coefficients were measured at the initial stages of glass alteration. For SON68 glass \( D_w \) expected in the early stage of the experiments by Hellmann et al. (2015) (50°C, near neutral pH) is about \( 10^{-21} \) m\(^2\).s\(^{-1}\) (Rébiscoul et al., 2007). It is worth noting that He, an atom of the same size as a water molecule, but not involved in chemical reactions with glass species, diffuses in SON68 glass at the same temperature with a diffusion coefficient \( 10^5 \) greater than water (Chamssedine et al., 2010). This result suggests that water transport in the glass is not only tied to topological features (e.g. density of large rings) but also to chemical reactivity (dissociation, ion exchange, hydrolysis reactions, formation of silanol groups, solvation reactions…). The way water reacts with glass components has never been accurately taken into account in the different inter-diffusion models.

At higher reaction progress, still at 50°C and near neutral pH, \( D_w \) is one to two orders order of magnitude lower (\( 10^{-22} \)-\( 10^{-23} \) m\(^2\) in Ferrand et al. (2006)). This effect, also seen for basaltic glass (Parruzot et al., 2015) may be attributed to the protective properties of the PRI (Gin et al., 2013b; Gin et al., 2015a). However, the way the gel limits the apparent diffusion coefficient of
water remains to be clarified. The values of $D_w$ are related to solid-state diffusion as transport in porous media cannot account for such low values. As a consequence, the chemical gradients of B, Na, Ca, as evidenced by ToF-SIMS do not correspond to detached species from the glass that are moving through the gel in the liquid phase but rather to an uneven reactive front whose shape depends on how fast water molecules arrive and react with glass species. A simple argument supporting this idea is that samples re-analyzed by ToF-SIMS several months after the first analysis display exactly the same profiles (not shown here). In the case of an aqueous species diffusing within the porosity, the corresponding profiles would have change dramatically.

4. Importance of Experimental Conditions

4.1 Recreating Experimental Conditions Supporting the Interfacial Dissolution/Precipitation Model

In the hopes of further understanding the processes seen in Hellmann et al. (2015), a similar experiment was performed and analyzed by TOF-SIMS to compare the diffusion profiles gained under this set of conditions. The only difference between the two protocols is that our reactor was not stirred. Indeed, we highlight the importance of stirring in this experimental setup because, at the relatively low temperature of 50 °C and low $S/V$ ratio, if the solution is not stirred, local chemical gradients in the solution may exist and this will affect the determination of the results.

4.1.1 Materials and Methods

The glass studied in this work is SON68. All experiments are carried out in a static system in 180 mL PFA reactors. The initial leaching solution is 18 mΩ.cm deionized water and the pH is allowed to float throughout the experiment while keeping the temperature constant at 50 °C. The glass is prepared in monolith form with the dimensions of 2.5 cm x 2.5 cm x 0.2 cm and the two main faces are polished with SiC papers and finally with diamond paste of 1 µm. One SON68 monolith is used per reactor with a solution volume of 145 mL giving a glass-surface-to-solution-volume ratio of 0.1 cm$^{-1}$. This experiment is performed in triplicate. Solution aliquots are taken for all experiments at day 1, 4, 7, 14, 29, and 180. The aliquots are filtered with 45 µm filters and acidified with 0.5 M HNO$_3$ prior to analysis by ICP-AES. The pH values are also taken at the same time durations and are recorded at the experimental temperature of 50 °C.

Time-of-Flight Secondary Ion Mass Spectrometer (ToF-SIMS) (TOF.SIMS5, IONTOF GmbH®, Münster, Germany) is used to determine the elemental depth profiles on the glass coupon altered 180 days. In this case, the crater was 200 x 200 µm$^2$ and the rastered area is 60 x 60 µm$^2$ (256 x 256 pixels). The analysis is performed with a 1-keV, 290-nA sputter beam of O$_2^+$ to
detect positive species. The depth of the crater is measured after the analyses and profiles are calculated assuming that the sputtering rate is constant. Post treatment of the data is performed to calculate the chemical profiles of B and Li in areas of 30 x 30 µm, 15 x 15 µm, 7.5 x 7.5 µm and 3.75 x 3.75 µm within the 60 x 60 µm area. Although the signal-to-noise ratio diminishes with the decrease of the selected area, this investigation helps identify the existence of a mixing zone at the corrosion front due to a rough interface or an uneven alteration layer (Figure 2a).

### 4.1.2 Results and Discussion

Table 3 shows the solution data of our unstirred experiment compared to the results in Hellmann et al.’s experiment. The results from the two experiments are in general agreement, although there is a large error associated with running the experiment in triplicate, which likely comes from the extremely dilute conditions. Under these conditions, the solution analysis is near the limit of detection for the ICP-AES instrumentation, thus the error is increased. This error is also seen in the pH of the solution. The values remain close to neutral pH, however such measurements are made difficult in low ionic strength conditions.

We have also compared these results to a series of experiments performed at CEA in the 1990s under stirring conditions (Advocat et al., 1993). As the S/V ratios were slightly different (0.24 cm\(^{-1}\) for CEA stirred experiment and 0.1 cm\(^{-1}\) for Hellmann et al.’s experiment) concentrations are normalized to get equivalent thicknesses of altered glass (Equation 7):

\[
ETh(i) = \frac{C(i)}{S/V x_i \rho}
\]  

where \(C(i)\) is the concentration of \(i\) \((i = B, Si)\) in the solution, \(S/V\) the surface area to solution volume ratio, \(x_i\) the mass fraction of \(i\) in the glass \((x_B = 4.35, x_{Si} = 21.25)\), and \(\rho\) the density of the glass \((2.75 \text{ g.cm}^{-3})\).

Figure 3 shows the comparison between the two sets of experiments. It appears that in solutions homogenized by stirring, glass is 3 to 4 times more altered after 20 days of experiment than without stirring. Moreover dissolution is almost congruent in homogenous solution whereas without stirring \(ETh(Si)\) is about one fourth of that of \(ETh(B)\) meaning that about 75% of Si is retained in the alteration products. This comparison and the fact that the results of our unstirred experiment are very similar to those of the Hellmann et al.’s experiment, strongly suggest that contrarily to what is stated in Hellmann et al. (2015), the solution was not stirred. In unstirred solutions, concentrations are higher near the glass surface, increasing condensation and precipitation of silica depending on local concentration. As a result, it is unsure that alteration proceeds at the same rate everywhere on the glass coupon. With such a limitation, the discussion of the results from Hellmann et al. (2015) becomes more speculative. For instance,
alteration thicknesses derived from B concentrations are much greater than thicknesses of gel, $e_{gel}$, measured by TEM (after one month $ETh(B) = 120 \text{ nm}$ and $e_{gel} = 55 \text{ nm}$; after 7 months $ETh(B) = 220 \text{ nm}$ and $e_{gel} = 80 \text{ nm}$); this could be due to gel shrinkage during sample preparation (Gin et al., 2001; Rébiscoul, 2004), retreat of the initial interface during alteration (Icenhower and Steefel, 2013) but it cannot exclude large variations in gel thickness due to local chemical conditions. This might expand the mixing zone (Figure 2a) and thus the width of the gradient area measured by ToF-SIMS.

Figure 2 displays B and Li ToF-SIMS profiles for the 180 day altered sample retrieved from the un stirred solution. The interface is located around 180-200 nm below the surface in good agreement with the solution data but not with TEM data. Both B and Li display the same profiles with a sharp decrease from the pristine glass up to a normalized concentration of about 0.2. Beyond this value the concentration slowly decreases down to 0. It is clear that B and Li gradients are larger when derived from the 60 x 60 μm rastered area than for smaller zones. However the gradients seem to be the same when calculated from 30 x 30 μm or smaller areas. This means that B and Li profiles are not adversely expanded by a rough interface or an uneven alteration layer, at least in the probed area. According to that, it could be said that B and Li interfacial gradients (measured between 20% and 80% of the pristine glass concentration) are ~23 and ~17 nm, respectively. Note that with the global signal obtained from the 60 x 60 rastered area, B and Li interfacial gradient are approximately doubled. With the 100 x 100 μm rastered area used by Hellmann et al, 2015 gradients are even larger (around 75 nm if one assumes that 300 s of sputtering corresponds to 200 nm of sputtered material).

Despite caution in interpreting ToF-SIMS profiles is taken, interfacial gradients of ~20 nm are still greater than those reported determined by APT by Hellmann et al., 2015 (2-5 nm). Although APT shows that there is a sharp decrease of B and Li concentration at the so-called dissolution-precipitation interface (Figure 2b of Hellmann et al. (2015)), concentration of these two elements are lower between 0 and 20-30 nm than further in the pristine glass. Unfortunately no exogenous elements such as K or Cl or H are displayed, making the precise location of the pristine glass/alteration layer interface a somewhat arbitrary assignment. Regarding B profiles derived from EFTEM images (Figure S1 of Hellmann et al. (2015)), gradient widths cannot be calculated accurately because of the low signal-to-noise ratios. Consequently, none of the techniques applied to the sample can irrefutably demonstrate that no diffusion layer of a few tens of nanometers forms under the tested conditions.

The thickness of the diffusion zone given by this new ToF-SIMS analysis is in agreement with what can be estimated by an approximate calculation based on the Fick's second law. Indeed, with the assumption that, in the steady state, the ingress rates of the inter-diffusion and
hydrolysis fronts are equalized, the thickness of the diffusion zone can then be simply approximated by equation 9 with $D$ the apparent inter-diffusion coefficient ($m^2.s^{-1}$) and $r$ the ingress rate of the dissolution front ($m.s^{-1}$).

\[ e \approx \frac{D}{r} \]  

Equation 9

$r$ is calculated from boron solution concentrations. $D$ is evaluated according to equation 10 with $D_0 = 7.99 \cdot 10^{12}$ m$^2.s^{-1}$, $n = 0.65$ and an activation energy $E_a = 94.7$ kJ·mol$^{-1}$. The variations of the inter-diffusion coefficient with pH and temperature were described by (Chave et al., 2007) and recalculated for pH values ranging from 6 to 10 by (Jollivet et al., 2012).

\[ D = D_0 \times [\text{HO}^-]^n \times \exp\left(-\frac{E_a}{R \times T}\right) \]  

Equation 10

Boron concentrations and pH values were obtained from data provided by Hellmann et al. (2015) (Figure 4a, b) and the calculation leads to a thickness of the inter-diffusion zone of $\approx 10 \text{ nm}$ after 180 days (Figure 4c) of the same order of magnitude as that obtained by ToF-SIMS in our experiment. It appears that the experimental conditions in Hellmann et al.’s study are not suitable to form a thick inter-diffusion zone, unlike the conditions employed in an study focused on this aspect, for example, by Gin et al.: 90°C, pH 7 and a solution saturated with silicon (Gin et al., 2015a), in which 1-µm thick hydrated glass layer was formed after a few months.

4.2 Other experimental evidences challenging the interfacial dissolution/precipitation model in near neutral pH conditions

Both ToF-SIMS and APT data show that a small amount of boron remains – 5-20% of the glass concentration – in the alteration layer (Figure 2 in Hellmann et al. (2015) and Figure 2 of the present paper). This was also observed with ISG glass altered in silica saturated conditions at pH 7 (Gin et al., 2015a) and pH 9 (Gin et al., 2015b). As B is known to be highly soluble in these conditions, its reprecipitation is unlikely. The presence of B in the alteration layer is more likely due to small undisolved clusters. Moreover in the case of a thin interfacial film of water, APT profiles of O should dramatically change at the glass/alteration layer interface. The slight increase of O concentrations are an effect of normalization. Lastly, the presence of a thin interfacial film of water would allow the alteration layer to separate easily from the pristine glass. The mechanical tests performed by Rébiscoul showed that when the glass forms a dense alteration layer, it is not possible to separate the alteration layer from the pristine glass (Rébiscoul, 2004), which is in agreement with the fact that the silicate network does not dissolve in silica saturated solution (Gin et al., 2015a).
4.3 Importance of experimental conditions

Thirty years of research on nuclear glass alteration have shown that glass dissolution kinetics are very sensitive to temperature and chemical conditions (pH, solution composition, flow rate) as well as geometric considerations [S/V, sample preparation (grinding, polishing, cleaning), homogeneity of the solution]. If one wants to focus on chemical gradients resulting from inter-diffusion, it is required to investigate various configurations and focus on suitable designs allowing to draw unambiguous conclusion. Recently we showed that simple inter-diffusion models were not able to fit chemical gradients within hydrated glasses even in a quite simple case where pH was fixed at 7 and no secondary crystalline phase were formed (Gin et al., 2015a). This means that a better understanding on how water diffuses and reacts within nanoporous layers is necessary. Another study showed that raising the pH to 9 did not change the mechanisms but the rate of inter-diffusion was much lower (Gin et al., 2015b). However when raising the pH to 11.5, it was impossible to see evidence of inter-diffusion. In this case, like in conditions studied by Geisler et al. (2010) (pH 0, 150°C) dissolution of the glass appeared to be congruent and alteration products replacing the parent glass are formed by precipitation. These examples are taken to remind that there is no simple universal model able to account for all the cases and that the combination of all the fundamental processes involved during alteration, including inter-diffusion, have to be taken into account in predictive rate laws.

5. Conclusions

Recently, the idea of the mechanism of interfacial dissolution/precipitation has been proposed for glasses. This interpretation is based on a sharp interface observed at the pristine glass/altered interface. We have reproduced one of the key experiments used to support the interfacial dissolution/precipitation theory and have revisited the results and propose that the appearance of the interfacial dissolution/precipitation zone is strongly dependent on experimental conditions.

We end up with the following conclusions:

1) We found in the literature evidences in favor of inter-diffusion under the conditions explored by Hellmann et al., 2015. Inter-diffusion is highly favored under low temperature an acidic conditions and leads to a preferential release of alkali and boron compared to silicon.

2) We highlight the importance of experimental conditions on the glass alteration behavior. Indeed, when a low-temperature experiment is not stirred, a much smaller degree of alteration is observed compared to that expected in these highly diluted solutions. This
causes uncertainties in the local conditions near the glass surface and this is not favorable for solid characterizations.

3) For SON68 glass, inter-diffusion coefficients are of the order of $10^{-19}$-$10^{-21}$ m$^2$.s$^{-1}$, which correspond to solid-state diffusion. The resulting chemical gradients formed in near-neutral pH conditions (2 to 20 nm depending on the analytical technique) are small and thus difficult to characterize.

4) It is thought that when the gel is formed, inter-diffusion is limited by water accessibility to the pristine glass. The gradient area can be seen as a reactive interphase in which ion-exchange, dissolution of B-O bonds and in situ condensation reactions are taking place. By studying this zone with APT, a technique probing a 20 nm lateral area with a subnanometer depth resolution, the broader interphase described by ToF-SIMS turns into a sharper interface. This observation is consistent with inter-diffusion if one considers that the corresponding reactions create a rough interface.
References


Acknowledgements

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Figure 1: Sketch showing the layers formed during SON68 glass alteration: a) according to the classical theory including inter-diffusion and b) according the interfacial dissolution-precipitation model. The thickness of each layer is indicative. It depends on the experimental conditions (temperature, pH, solution composition, flow rate).
Figure 2: TOF-SIMS analysis of 180 day monolith. Chemical profiles are calculated in areas of 30 x 30 µm, 15 x 15 µm, 7.5 x 7.5 µm and 3.75 x 3.75 µm within the 60 x 60 µm area for boron (b) and lithium (c, d). This helps identify the existence of a mixing zone due to a rough interface or an uneven alteration layer (a).
Figure 3: Equivalent thickness $ETh$ of altered glass calculated from B (left) and Si (right). Here we compare results from a series of 3 experiments conducted at CEA in the 1990s with that obtained in Hellmann et al. (Hellmann et al., 2015). Both type of experiments were performed at 50°C, low $S/V$ (0.24 cm$^{-1}$ for CEA’s experiments and 0.1 cm$^{-1}$ for Hellmann’s experiment). Solution was stirred in CEA experiments and not for the Hellmann’s experiment.

Figure 4: Evolutions of boron concentration (a) and pH (b) are derived from Hellmann *et al.*, 2015 data. Experimental data are fitted with power laws in order to calculate a continuous evolution of the thickness $\varepsilon$ of the diffusion zone versus time according to equation 9.
Tables

**Table 1:** Composition of SON68 glass

<table>
<thead>
<tr>
<th>Oxide</th>
<th>mol%</th>
<th>Oxide</th>
<th>mol%</th>
<th>Oxide</th>
<th>mol%</th>
</tr>
</thead>
<tbody>
<tr>
<td>SiO₂</td>
<td>52.72</td>
<td>MoO₃</td>
<td>0.85</td>
<td>CdO</td>
<td>0.02</td>
</tr>
<tr>
<td>Al₂O₃</td>
<td>3.39</td>
<td>Cs₂O</td>
<td>0.28</td>
<td>SnO₂</td>
<td>0.01</td>
</tr>
<tr>
<td>B₂O₃</td>
<td>14.03</td>
<td>NiO</td>
<td>0.4</td>
<td>TeO₂</td>
<td>0.1</td>
</tr>
<tr>
<td>Na₂O</td>
<td>11.39</td>
<td>P₂O₅</td>
<td>0.14</td>
<td>BaO</td>
<td>0.28</td>
</tr>
<tr>
<td>CaO</td>
<td>5.02</td>
<td>SrO</td>
<td>0.23</td>
<td>La₂O₃</td>
<td>0.2</td>
</tr>
<tr>
<td>Li₂O</td>
<td>4.6</td>
<td>Cr₂O₃</td>
<td>0.24</td>
<td>Ce₂O₃</td>
<td>0.2</td>
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<tr>
<td>ZnO</td>
<td>2.15</td>
<td>Y₂O₃</td>
<td>0.06</td>
<td>Pr₂O₃</td>
<td>0.1</td>
</tr>
<tr>
<td>ZrO₂</td>
<td>1.54</td>
<td>MnO₃</td>
<td>0.31</td>
<td>La₂O₃</td>
<td>0.42</td>
</tr>
<tr>
<td>Fe₂O₃</td>
<td>1.31</td>
<td>Ag₂O</td>
<td>0.01</td>
<td></td>
<td></td>
</tr>
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**Table 2:** Diffusion coefficients measured for SON68 or similar glasses at low and high reaction progress.

<table>
<thead>
<tr>
<th>Element</th>
<th>Glass Type</th>
<th>Method</th>
<th>$D \text{ m}^2\text{s}^{-1}$</th>
<th>Remarks</th>
<th>Source</th>
</tr>
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<tbody>
<tr>
<td>Low Reaction Progress: Far from Saturated Conditions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Water</td>
<td>simple borosilicate</td>
<td>XRR</td>
<td>$10^{-20} - 10^{-21}$</td>
<td>12 °C - 60 °C</td>
<td>Rebiscoul et al., 2012</td>
</tr>
<tr>
<td>Water</td>
<td>SON68</td>
<td>XRR</td>
<td>$6.5 \times 10^{-21}$</td>
<td>50 °C pH 5.7</td>
<td>Rebiscoul et al., 2007</td>
</tr>
<tr>
<td>Li⁺</td>
<td>SON68</td>
<td>TOF-SIMS</td>
<td>$2.0 - 4.0 \times 10^{-21}$</td>
<td>90°C Non aqueous medium</td>
<td>Neeway et al., 2014</td>
</tr>
<tr>
<td>Na⁺</td>
<td>SON68</td>
<td>TOF-SIMS</td>
<td>$1.0 - 2.0 \times 10^{-20}$</td>
<td>90°C Non aqueous medium</td>
<td>Neeway et al., 2011</td>
</tr>
<tr>
<td>High Reaction Progress: Near Saturated Conditions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Water</td>
<td>SON68</td>
<td>APT</td>
<td>$6.8 \times 10^{-23}$</td>
<td>25 year altered glass at 90 °C</td>
<td>Gin et al., 2013b</td>
</tr>
<tr>
<td>Water</td>
<td>SON68</td>
<td>Solution Analysis</td>
<td>$10^{-22} - 10^{-24}$</td>
<td>50 °C pH 5 - 10</td>
<td>Ferrand et al., 2006</td>
</tr>
<tr>
<td>Water</td>
<td>SON68</td>
<td>Solution Analysis</td>
<td>$10^{-21} - 10^{-23}$</td>
<td>90°C pH 5 - 10</td>
<td>Ferrand et al., 2006</td>
</tr>
<tr>
<td>Li⁺</td>
<td>SON68</td>
<td>APT</td>
<td>$1.5 \times 10^{-22}$</td>
<td>25 year altered glass at 90 °C</td>
<td>Gin et al., 2013b</td>
</tr>
<tr>
<td>Na⁺</td>
<td>P0798</td>
<td>Solution Analysis</td>
<td>$4.1 \times 10^{-23}$</td>
<td>60 °C</td>
<td>Maeda et al., 2012</td>
</tr>
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</table>

**Table 3:** Solution data (pH and ICP-OES analysis) for our test and for the test reported in Hellmann et al., 2015.

<table>
<thead>
<tr>
<th>Days</th>
<th>pH - This study</th>
<th>pH - Hellmann</th>
<th>[Si] ppm This Study</th>
<th>[Si] ppm Hellmann</th>
<th>[B] ppm This Study</th>
<th>[B] ppm Hellmann</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>7.1 ± 0.5</td>
<td>6.3</td>
<td>0.43 ± 0.40</td>
<td>0.06</td>
<td>0.02 ± 0.01</td>
<td>0.05</td>
</tr>
<tr>
<td>4</td>
<td>6.8 ± 1.0</td>
<td>6.5</td>
<td>0.85 ± 0.93</td>
<td>0.14</td>
<td>0.05 ± 0.03</td>
<td>0.08</td>
</tr>
<tr>
<td>7</td>
<td>6.7 ± 0.4</td>
<td>6.6</td>
<td>0.61 ± 1.07</td>
<td>0.08</td>
<td>0.06 ± 0.00</td>
<td>0.09</td>
</tr>
<tr>
<td>14</td>
<td>6.9 ± 0.4</td>
<td>6.6</td>
<td>0.89 ± 1.31</td>
<td>0.13</td>
<td>0.09 ± 0.01</td>
<td>0.11</td>
</tr>
<tr>
<td>30</td>
<td>6.8 ± 0.3</td>
<td>6.8</td>
<td>1.34 ± 1.01</td>
<td>0.19</td>
<td>0.14 ± 0.03</td>
<td>0.14</td>
</tr>
</tbody>
</table>