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1 Article

2 Synthesis and characterization of 3 Carbon/Nitrogen/Iron based nanoparticles by laser 4 pyrolysis as non-noble metal electrocatalysts for 5 oxygen reduction

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15 **Abstract:** This paper reports original results on the synthesis of Carbon/Nitrogen/Iron-based ORR
16 electrocatalysts by CO₂ laser pyrolysis. Precursors consisted in two different liquid mixtures
17 containing FeOOH nanoparticles or iron III acetylacetonate as iron precursors, being fed to the
18 reactor as an aerosol of liquid droplets. Carbon and nitrogen were brought by pyridine or a
19 mixture of pyridine and ethanol depending on the iron precursor involved. The use of ammonia
20 as laser energy transfer agent also provided a potential nitrogen source. For each liquid precursor
21 mixture, several syntheses were conducted through the step-by-step modification of NH₃ flow
22 volume fraction, so-called R parameter. We found that various features such as the synthesis
23 production yield or the nanomaterial iron and carbon content, showed identical trends as a
24 function of R for each liquid precursor mixture. The obtained nanomaterials consisted in
25 composite nanostructures in which iron based nanoparticles are, to varying degrees, encapsulated
26 by a presumably nitrogen doped carbon shell. Combining X-Ray diffraction and Mossbauer
27 spectroscopy with acid leaching treatment and extensive XPS surface analysis allowed the difficult
28 question of the nature of the formed iron phases to be addressed. Besides metal and carbide iron
29 phases, data suggest the formation of iron nitride phase at high R values. Interestingly,
30 electrochemical measurements reveal that the higher R the higher the onset potential for the ORR,
31 what suggests the need of iron-nitride phase existence for the formation of active sites towards
32 the ORR.

33 **Keywords:** laser pyrolysis; non-noble metal electrocatalyst; oxygen reduction

35 1. Introduction

36 The synthesis of nanoparticles or nanostructures with tailored properties is an important
37 challenge for a wide range of applications such as electronics, photovoltaics, sensors, catalysis and
38 electrocatalysis. The latter domain concerns in particular the sluggish Oxygen Reduction Reaction
39 (ORR). Indeed, a considerable research effort is currently observed over the world, in order to
40 replace the scarce and expensive platinum-based electrocatalysts. Within this frame, early studied
41 materials based on carbon incorporating nitrogen atoms with a transition metal are of particular
42 interest. The development of this kind of materials has been inspired by Jasinky *et al* [1] who

43 reported more than fifty years ago the ORR activity of Cobalt Phtalocyanine in basic media. About
44 ten years later Jahnk and co-workers showed that thermal treatments of N4-chelates significantly
45 improved both the stability and the performances of the catalysts [2]. Important step was then
46 achieved by demonstrating that ORR catalysts could be obtained by simply heat-treating a mixture
47 of polyacrylonitrile, metal salt and carbon black [3]. Introduction of ammonia as a nitrogen
48 precursor combined with the use of high specific area carbon and intimate mixing of precursors by
49 ball milling later lead to performances close to those of platinum catalysts [4]. Research on non-
50 noble Fe-N-C electrocatalysts for ORR is still currently very important aiming at the improvement
51 of performances and durability. Recent reviews provide precious compilation of latest
52 developments [5-9].

53 Among the various ways available to synthesize nanoparticles, laser pyrolysis is based on the
54 thermal decomposition of gaseous or liquid precursors through the absorption of a CO₂ laser
55 wavelength by at least one component of the precursor mixture [10]. For example, laser pyrolysis
56 has been used for the synthesis of various kinds of materials such as carbides [11-12], nitrides [13],
57 oxides [14-17], or silicon [18], using ethylene, ammonia or silane as both absorbing and precursor
58 compound. Such materials covered numerous applications related to mechanical properties
59 enhancement, photovoltaics, energy storage, labelling for bio-medical application, and sensors. To
60 our knowledge, the only report involving laser pyrolysis regarding non noble metal ORR
61 electrocatalysts concerns the synthesis of Tantalum based carbonitrides and oxycarbonitrides [19].

62 The present paper reports on the synthesis and characterization of nanomaterials containing
63 carbon, nitrogen and iron by CO₂ laser pyrolysis that could potentially show ORR capability in
64 acidic medium. The carbon source consists in pyridine that also provides hydrocarbon-based
65 nitrogen source, while ammonia is used as both laser energy absorbent and additional nitrogen
66 precursor. With these carbon and nitrogen sources, we have chosen to investigate two different iron
67 precursors. The first one consists in an iron organometallic compound (iron III acetylacetonate)
68 which is dissolved in pyridine, the second one in iron oxyde-hydroxyde (FeOOH) nanoparticles,
69 commercially available as a dispersion in ethanol, which is further mixed with pyridine. The paper
70 focuses on the trends observed as a function of the ammonia volume ratio (R) involved in the
71 synthesis and provides first electrochemical measurements of the ORR as a function of the latter.

72 2. Materials and Methods

73 2.1. Synthesis

74 Iron III oxide-hydroxyde (FeOOH) elongated nanoparticles suspension in ethanol 20 wt%, iron
75 III acetylacetonate (Fe(C₅H₇O₂)₃ referred as Fe(acac)₃), and pyridine were purchased from Aldrich
76 and used as received. The CO₂ laser ($\lambda=10.6324 \mu\text{m}$) was a 2200 W PRC, SL 2200 (USA). It was used
77 in continuous mode and the laser beam was focused in a horizontal plane by mean of a
78 hemispherical ZnSe lens. The first Liquid Precursor Mixture (referred as LPM-1) involved here is
79 prepared by mixing the commercial FeOOH nanoparticles suspension in ethanol with pyridine.
80 The volume ratio pyridine/ethanol is 50/50 giving a final FeOOH concentration of 25 g.L⁻¹. The
81 second Liquid Precursor Mixture (referred as LPM-2) is an iron III acetylacetonate solution at 14
82 g.L⁻¹ in pyridine. The liquid precursor media were nebulized using a pyrosol® 7901 type
83 piezoelectric aerosol generator from RBI (France).

84 2.2. Characterization

85 X-Ray diffraction was recorded using Cu K α wavelength. Mössbauer absorption spectra were
86 carried out at room temperature with ⁵⁷Fe isotope and using a commercial Co⁺:Rh γ -ray source and
87 an electromagnetic drive with linear velocity signal. The different components present in the
88 spectra were characterized by their hyperfine field and their isomer shift, which were obtained
89 from the experimental data using a least-squares fitting procedure. The iron content was measured
90 by X-ray fluorescence using a previously described procedure [20] that requires tiny amount of
91 materials : first, a collection of porous deposit of known loading was prepared on carbon felts

92 (Freudenberg H2415 I2C3) using carbon nanotubes (CNT's) whose Iron content was previously
93 determined by thermogravimetric analysis. Then a calibration curve reporting the iron $K\alpha$ line [20]
94 as a function of the iron content in the CNT's electrodes was drawn. Second, porous deposit of
95 known loading were prepared on carbon felts with powders synthesized by laser pyrolysis. The
96 calibration curve was then used to convert the recorded iron $K\alpha$ line intensity into an iron content.
97 The carbon content was measured using a Horiba carbon analyzer. Specific surface areas were
98 determined by single point BET measurements using a Micromeritics FlowSorb II 2300 instrument,
99 with a gas mixture of 30 vol% N_2 in He. The sample was degassed at 250°C for two hours before
100 measurement.

101 2.3. Electrode Preparation and Electrochemical Measurement

102 Cyclic Voltammetry (CV) was recorded using a Bio-Logic VMP3 potentiostat. As described in
103 details in previous papers [20-23], CVs were recorded on porous electrodes prepared on carbon
104 felts (Freudenberg) by filtration of liquid dispersion obtained by powder sonication in isopropanol.
105 Before CV recording, the porous electrodes were submitted to a conditioning step aiming at
106 electrolyte impregnation in the electrode porosity, as previously reported for porous electrodes
107 based on platinum electrocatalysts [21-23] as well as for non-noble electrocatalysts consisting of
108 nitrogen doped carbon nanotubes [20]. For the latter, cycling during the conditioning step resulted
109 in a loss of iron in the electrolyte as revealed by redox peaks that vanished under prolonged cycling.
110 CVs recorded in the present paper during the conditioning step were very similar to those observed
111 with nitrogen doped carbon nanotubes [20]. In the present paper, when such iron loss was
112 observed, it was verified that the oxygen reduction measurements were not affected by iron
113 dissolution by changing the electrolyte after iron loss. Potentials were measured using a Sodium-
114 Saturated Calomel Electrode (SSCE radiometer analytical). On the CVs shown in the paper, potentials
115 are reported versus the Standard Hydrogen Electrode (SHE, -0.236 V vs SSCE). The ORR onset
116 potential is determined by the higher potential at which an ORR current is measured on the
117 background corrected cyclic voltametry.

118 2.4. XPS Analysis

119 Surface analysis was performed with a Thermo Electron K-Alpha spectrometer. The X-Ray
120 excitation was the K-alpha aluminum line at 1486.7 eV. A constant analyzer energy (CAE) mode
121 was used for the electron detection (20 eV pass energy value was chosen). The detection of the
122 photoelectrons was perpendicular to the sample surface. This latter consisted in porous electrodes
123 formed by filtration on carbon felts on which a 30 nm gold layer was deposited by vacuum
124 evaporation before the formation of the nanomaterial layer by filtration. This gold deposit prevents
125 the potential collection of carbon and nitrogen photoelectrons from the carbon felt.

126 3. Results

127 3.1. Production, Morphology and Composition Data of the Materials as a Function of R

128 A scheme of the experimental set up is shown in figure 1. It consists in the aerosol generator
129 device (pyrosol®) filled with liquid precursor medium connected to the CO_2 laser pyrolysis reactor.
130 Such generator usually produces droplets sizes in the 2 – 10 μm range.

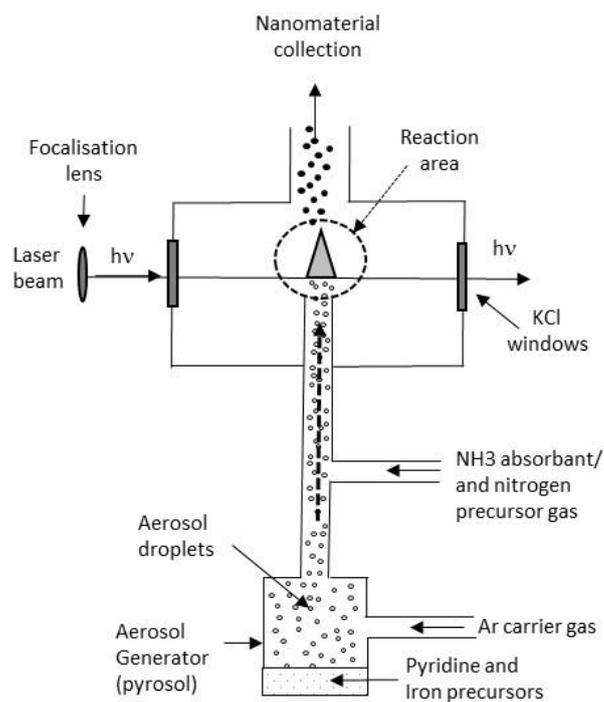


Figure 1. Scheme of the experimental set up used in this work.

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133 The aerosol obtained from the liquid precursor mixture is driven by argon as carrier gas and
 134 is further mixed with ammoniac. It is important to note that in this configuration, even if the NH_3
 135 flow does not directly take part to the aerosol extraction from the generator, it contributes to the
 136 total gas flow once mixed into the mainstream in the nozzle. This means that increasing NH_3 flow
 137 will accelerate the droplets velocity out of the nozzle and thus decrease their residence time in the
 138 laser irradiated zone. The reactor is kept to a pressure of 740 Torr by pumping through a pressure
 139 regulating valve and microporous filters (not shown on figure 1) where the products are collected.
 140 All the gas flows are controlled by mass flowmeters. This allows parameter R defined by equation
 141 (1) to be set and varied over a controlled range.

$$R = \text{NH}_3 \text{ flow} / (\text{NH}_3 \text{ flow} + \text{Ar flow}) \quad (1)$$

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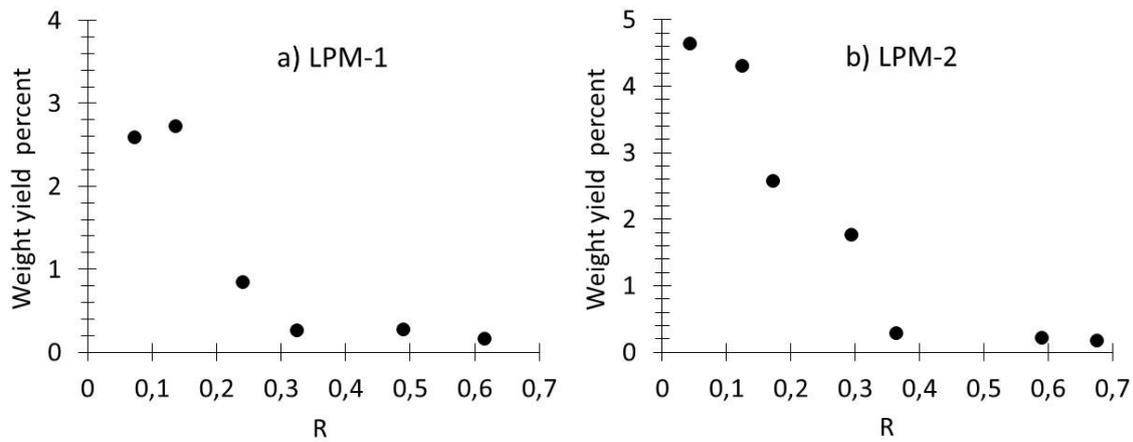
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This R parameter is expected to have a strong influence on the synthesis, as NH_3 flow modification will consequently affect three main parameters of the reaction. First, as previously mentioned, it will change the reaction duration through residence time modification. It will also affect the temperature by changing the amount of absorbed laser power (i.e. the amount of energy available for the thermal reaction). Last but not least, it will also modify the chemical composition of the precursor mixture in the reaction. For example, from $R = 0.61$ to 0.04 the residence time increases by a factor ≈ 2 and the laser power absorption decreases by a factor ≈ 5 while the NH_3 concentration in reaction media strongly decreases.

Several syntheses were thus conducted using for each liquid precursor mixture different R values. The powders were black and more or less sticky for the different syntheses, because of the generation, upon pyrolysis, of side products consisting in low molecular weight organics. To get rid of these side products the collected powders were washed with acetone using a Soxhlet extractor.

The amount of liquid precursor mixture was weighted before and after the end of the synthesis in order to determine the precursor consumed mass. Then, taking into account the weight of washed collected powders, chemical yield was estimated. Figure 2 reports the trends observed for this chemical yield as a function of R for both FeOOH (LPM-1) and $\text{Fe}(\text{acac})_3$ (LPM-2) precursor systems. It is seen that chemical yields are ranging from 0.15% to $\approx 5\%$, and that the higher R the lower the yield.

161 The powders were systematically characterized by Scanning Electron Microscopy (SEM) and
162 to a lesser extent by Transmission Electron Microscopy (TEM). The most important changes in the
163 morphology of the nanomaterials were found for those produced from LPM-1. As shown in figure
164 3, modification of the R parameter resulted in strong modification of the particle sizes.



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Figure 2. Production yield for different syntheses as a function of the R parameter for a) LPM-1 and b) LPM-2

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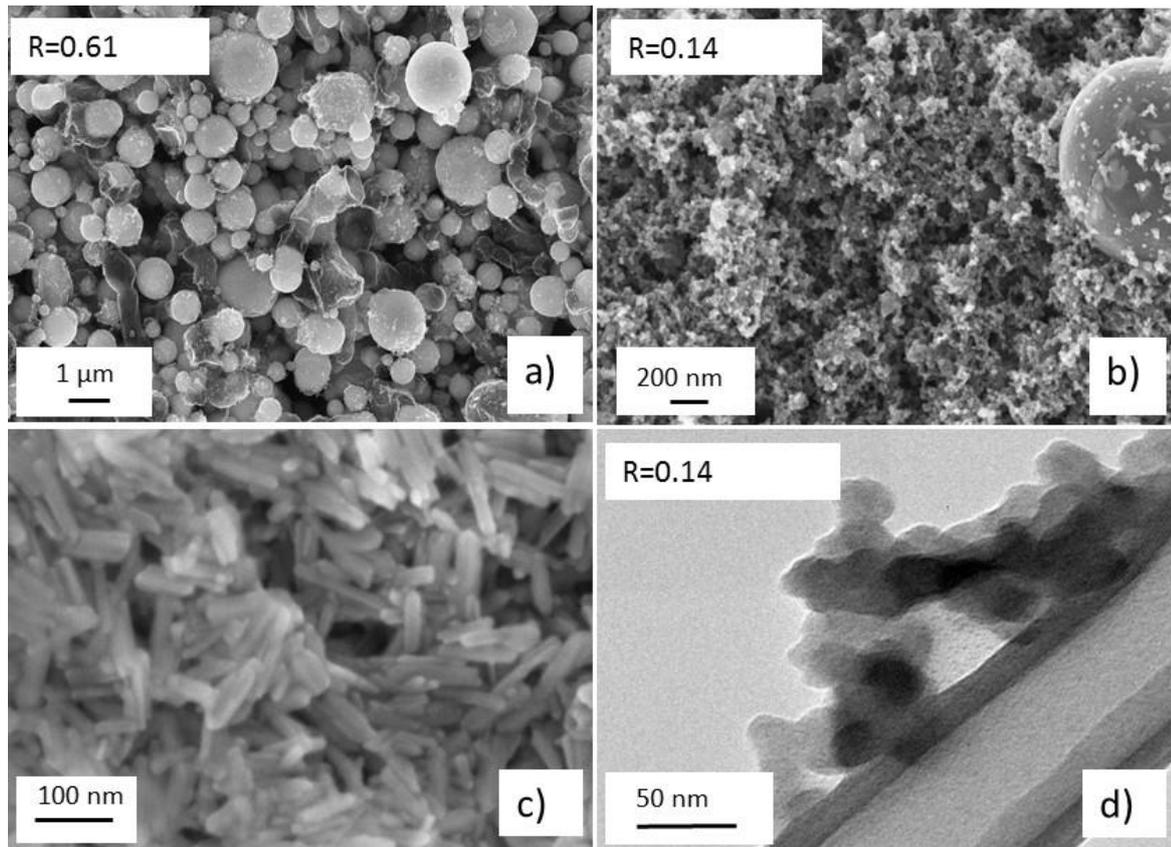
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For high values of R (0.61, figure 3a) the nanomaterial contains large particles which appear bright on the micrograph, with diameters ranging from $\approx 1 \mu\text{m}$ to few hundreds of nanometers. The material also contains smaller particles, but it was impossible to estimate the ratio between large and small particles populations. EDX analysis achieved on large particles showed that they consist in an iron rich phase. It has been known for a long time that iron acts as a catalyst for hydrocarbon decomposition and in graphitization processes [24]. Consistently, the micrograph (figure 3a) shows that some of the iron based particles are surrounded by a thin layer presumably based on carbon that could be the result of such catalytic effect.



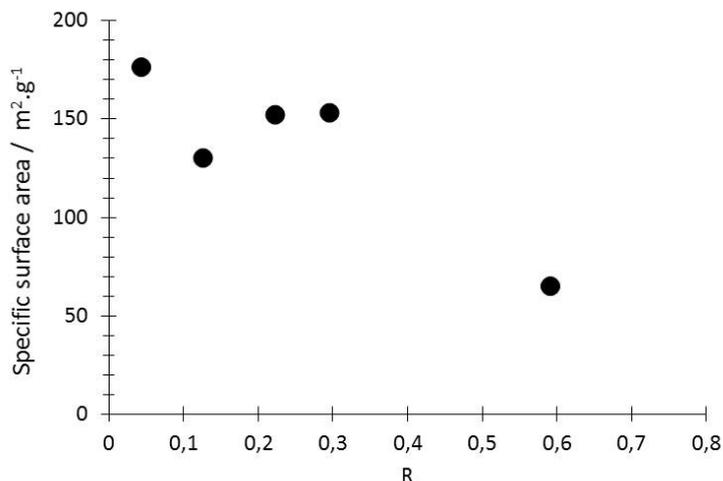
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Figure 3. Scanning electron microscopy images of nanomaterials obtained from LPM-1: a) $R = 0.61$
b) $R = 0.14$, c) is the pristine FeOOH nanoparticles used in LPM-1, d) is a TEM image recorded for
 $R = 0.14$.

180 Elongated structures of these latter layers are also clearly seen in some part of the sample, with
181 a particle sometimes located at the extremity. These observations suggest, at least partially, a
182 growth phenomenon of carbon based films from the iron-based particles. Finally, it is worth
183 pointing that the morphology of the iron-based particles is completely different from that of the
184 initial FeOOH rod-shaped nanoparticle (figure 3c). This illustrates the strong transformation of
185 these latter during the process.

186 For low R values (figure 3b, $R = 0.14$) the powder is mostly made of much smaller particles with
187 a diameter of few tens of nm, what is confirmed by TEM (figure 3c). It is worth noting that the TEM
188 micrograph can be compared to figure 3a assuming that dark spots seen in figure 3c are iron based
189 nanoparticles surrounded by a carbon component. Intermediate values of R lead to intermediate
190 situations with the formation of a mixture of large and small nanoparticles.

191 When LPM-2 was used, the trends in the morphology of the materials as a function of R were
192 not as marked as for FeOOH iron precursor. Indeed, in this case large particles are scarce. Specific
193 area was recorded for some of the materials obtained from $\text{Fe}(\text{acac})_3$ precursor as a function of R .
194 The decrease of the specific surface area with R value (figure 4) suggests a similar trend as the one
195 observed for materials obtained from FeOOH, *i.e.* the lower R the smaller the particles.



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Figure 4. Trend for the specific surface area of the powders as a function of R parameter for materials obtained from LPM-2.

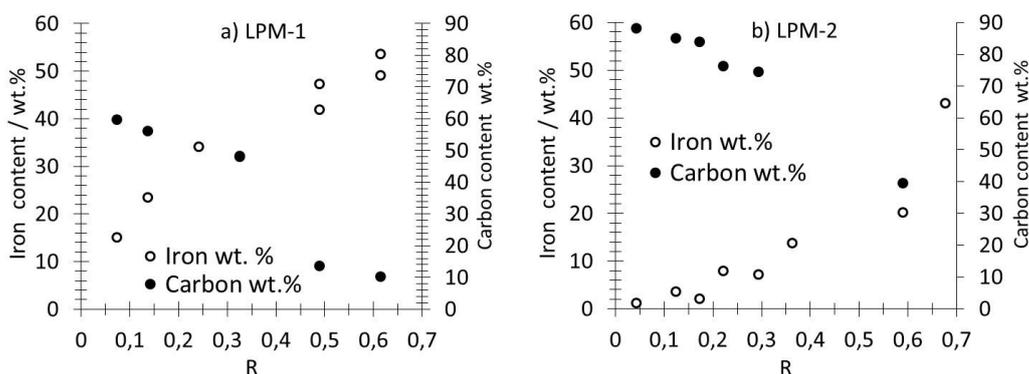
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Due to low chemical yield, an important part of the samples synthesized in this work was produced in very tiny amounts, limiting the variety of achievable characterization. Therefore, regarding bulk composition investigation, we chose to determine in priority iron and carbon contents. Figure 5 reports the data obtained with each liquid precursor mixture as a function of R.



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Figure 5. Carbon and iron contents as a function of R for nanomaterials prepared using a) FeOOH (LPM-1) and b) iron III acetylacetonate (LPM-2). Empty and filled symbols correspond to iron and carbon contents, respectively.

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It is seen that the higher the R parameter the higher the iron content, while the carbon content exhibits the reverse trend (the higher R the lower the carbon content). As expected, the sum of carbon and iron wt.% contents is not 100% since both nitrogen and oxygen are possibly incorporated in the nanomaterials what will be confirmed by XPS analysis (section 3.3). The next section addresses the difficult question of the nature of the iron phases formed during laser pyrolysis.

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3.2. Tracking the Nature of the Iron Phases

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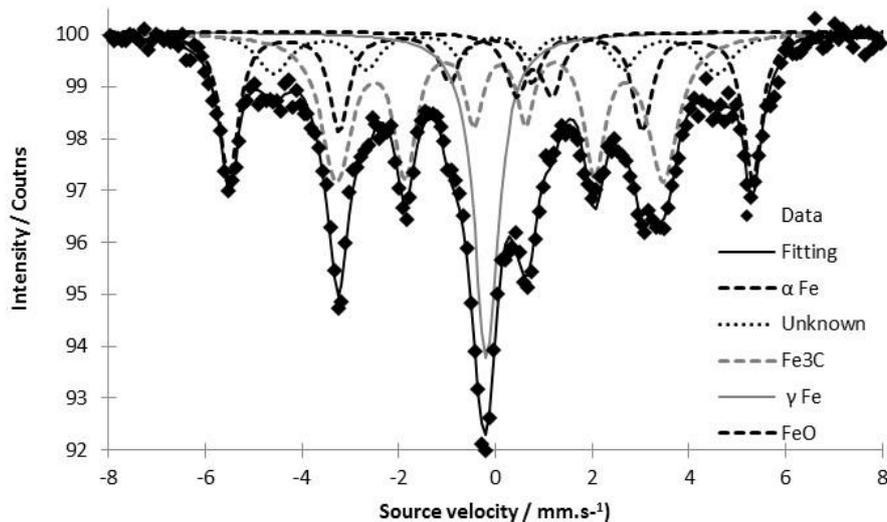
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As explained above, all the nanomaterials contain iron, and the question about the nature of the formed iron phases raised. Taking into account the elements involved in the synthesis (Fe, C, N, O), the number of possible iron phases is very high: pure metal iron, iron carbides, iron oxides, iron nitrides, carbo-oxynitrides. The task is even more complicated since several phases show different stoichiometry, and non-stoichiometric ones are numerous, in particular for iron nitrides. Mossbauer spectroscopy and XRD measurements were performed in an attempt to get insights on

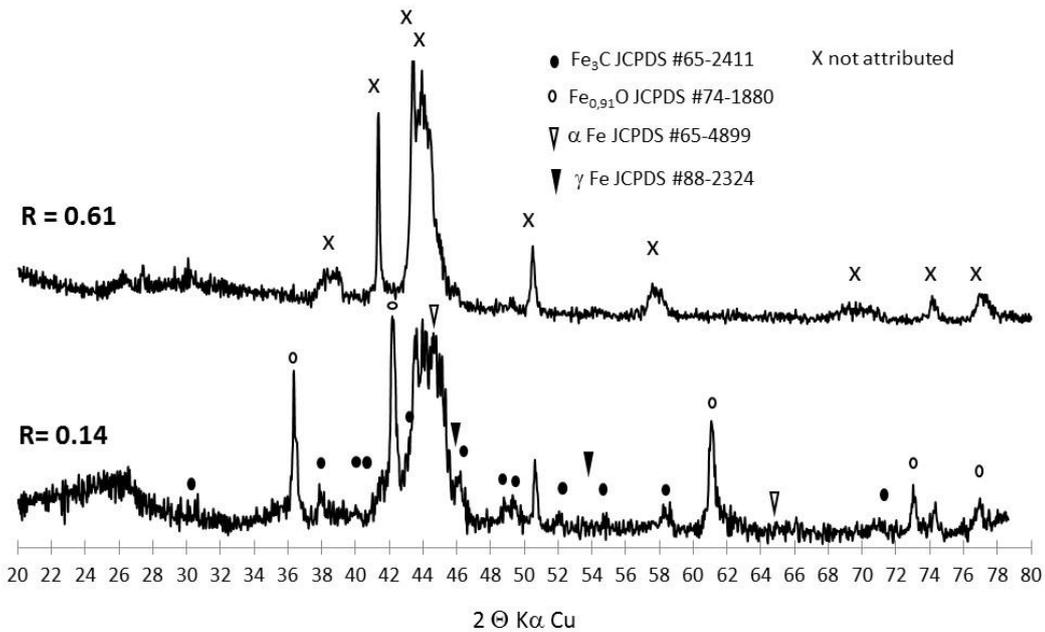
220 these iron phases. This was achieved essentially on powders obtained from FeOOH since they have
 221 higher iron content, what favors Mossbauer analysis, and contain larger particles what may favor
 222 XRD analysis by avoiding too broad overlapped diffraction peaks. An example of Mossbauer
 223 spectra fitting is shown in figure 6. It was performed with clearly identified phases, namely α Fe,
 224 γ Fe, Fe₃C and possibly FeO. An additional component was added to refine fitting, which could not
 225 be ascribed at this time. Although incomplete, the results obtained here are precious since they
 226 allow three iron phases (α Fe, γ Fe, Fe₃C) to be identified, and suggest the presence of a fourth one
 227 (FeO). The relative phase content was estimated on the basis of fitting, and showed a variable
 228 amount of unknown phase as a function of R parameter (see appendix A for details on Mossbauer
 229 spectroscopy data).



230

231 **Figure 6.** Example of Mossbauer spectra recorded on sample prepared using FeOOH (LPM-1) for
 232 R=0.49 and corresponding fitting.

233 XRD was then recorded on powders. It is well known that information provided by XRD
 234 analysis might be limited by poor crystallization and small crystallite size, which broaden
 235 diffraction peaks. It is worth noting that materials obtained from LPM-2 gave XRD patterns with
 236 no clearly defined peak, completely impeding phase identification. Therefore, the XRD data relate
 237 here to materials obtained from LPM-1. Figure 7 shows two XRD patterns recorded on powders
 238 synthesized with high and low R values. Iron phases identified by Mossbauer spectroscopy are
 239 reported on these diagrams for comparison sake. These data are not easy to exploit but comparison
 240 of both patterns shows that the regions centered at $2\theta \approx 44^\circ$ are different from each other. Also, the
 241 high R pattern exhibits additional unattributed peaks. The modification observed in the XRD
 242 pattern at high R cannot be easily attributed to a given phase, but such synthesis conditions clearly
 243 favors nitride formation. Indeed, among the diverse synthesis routes leading to nitrides, high
 244 temperature treatment of iron precursors under NH₃ or under a N₂/H₂ mixture is widespread [26].
 245 In our case, higher concentration of NH₃ provides a more nitriding atmosphere and also increases
 246 the temperature through CO₂ laser absorption.



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Figure 7. XRD diffraction patterns recorded on powders synthesized using LMP-1 for high and low R values. Phases identified by Mossbauer spectroscopy are indicated on the patterns, together with unattributed peaks.

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3.3. XPS Analysis

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3.3.1. As-prepared Materials

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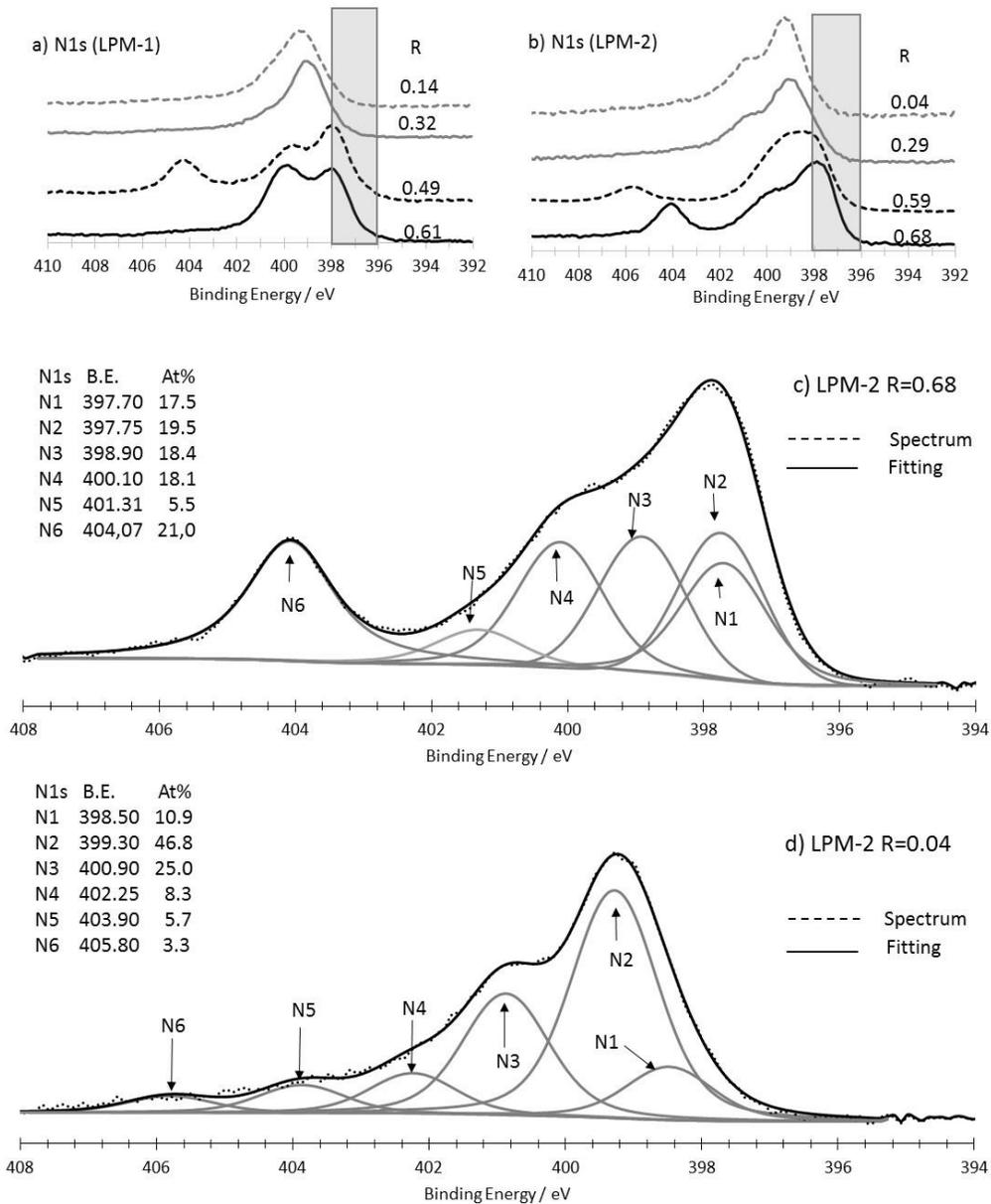
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The XPS analysis was performed on the materials prepared from both iron precursors (LPM-1 and LMP-2). N1s, Fe2p, C1s and O1s core levels were detected in all survey spectra and semi quantitative analysis was conducted. It is worth pointing that analysis performed in different regions of the same sample gave identical data thus ensuring that the XPS analysis reflects nanoparticle feature. The gold deposited on the supporting carbon felt was most often not detected on the survey spectra thus indicating that photoelectron collection originates from the material itself and not from the carbon felt. Later on, the analysis is focused on the N1s core level spectra and semi-quantitative analysis trends. Figure 8 a and b show the N1s spectra recorded with different R values for materials synthesized using LPM-1 and LPM-2. Each of the latter shows a similar marked trend as a function of R, *i.e.* a marked drop in the intensity in the low N1s energy region of the spectra (396 eV-398 eV) when R decreases. The literature indicates that this low energy region corresponds to iron nitrides or nitrated iron surfaces [27-30]. For each material collection, the spectra recorded for low R are quite similar. In a same way, the ones recorded for high R values appear similar as well, although less markedly for LPM-2. Spectra corresponding to LPM-2 with R=0.68 and R=0.04 were selected as representative of their series and thus considered for tentative peak fitting (figure 8 c and d). The N1s XPS spectrum in carbon based materials such as nitrogen doped nanostructures [31,32], or simply chars generated from model molecules containing nitrogen [33,34], is mainly described as N-graphitic, N-pyridinic, pyrrole-like, and oxidized nitrogen species. In the present work fitting was conducted with a peak Full Width at Half Maximum (FWHM) imposed and set at 1.5 eV. This choice was made using the fitting of the spectra of a reference compound consisting in a polyphosphazene polymer network with two kind of well-separated

274 nitrogen atoms. This reference spectra (Appendix B) was recorded in the same experimental
275 conditions as those used in this work. The fitting provides 6 components which are labelled from
276 N1 to N6 and ranked in the order of increasing energy. For R=0.68 (figure 8 c) it reveals two
277 components N1 and N2 at low energy 397.70 and 397.75 eV corresponding to 37.0 At.% of the total
278 nitrogen atoms which lies in the binding energy range reported for iron-nitride species. N3 is found
279 at 398.9 eV with 18.4 At.% and falls in the energy range of pyridinic nitrogen. N4 is found at 400.1
280 eV and weighted 18.1 At.%, it falls in the range of pyrrole-like nitrogen. The N5 component at 401.3
281 eV with 5.5 % At.% can be attributed to graphitic nitrogen. The last component N6 (404.7 eV / 21.0
282 At.%) corresponds to oxidized nitrogen [31-33,35]

283 The same fitting procedure performed for R=0.04 does not result in a contribution which could be
284 attributed to iron nitride since the N1 component is now centered at 398.5 eV (10.9 At.%), while N2
285 appears at 399.3 eV (46.8 At.%), N3 at 400.9 eV(25.0 At%), and N4 at 402.25 eV (8.0 At.%). N5 and
286 N6 appear now at 403.9 eV (5.7At.%) and 405.8 eV (3,3 %), respectively. Therefore N1 is now
287 attributed to N-pyridine, N6 and N5 are still attributed to oxidized nitrogen although such a high
288 binding energy for N6 (405.8 eV) can also be attributed to entrapped gaseous nitrogen [36]. The
289 attribution of N2 (399.3 eV) component is more difficult, since nitrogen types such as those found
290 in pyridone, amine, nitroso or nitrile may be found in this region [31,37]. However, N2 is also lying
291 in the range of binding energy recognized for FeNx sites that correspond to nitrogen as a ligand for
292 iron [38]. N3 located at 400.9 eV and weighted at 25 At.% falls in the range of pyrrole-like nitrogen
293 . N4 is now located at 402.25 eV and falls in a binding energy region being attributed possibly to N-
294 O type species [39], while graphitic nitrogen is also proposed as possible [33, 39-41].
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Figure 8. a) and b) N1s core level features as a function of R for a) LPM-1 and b) LPM-2. Grey area show the binding energy region in which nitride iron can be found. Intensities are normalized. c) and d) are tentative peak fitting for LPM-2 at c) R=0.68 and d) R=0.04. Fitting components are labelled N1 to N6 and relative weights and binding energies are listed in the figures.

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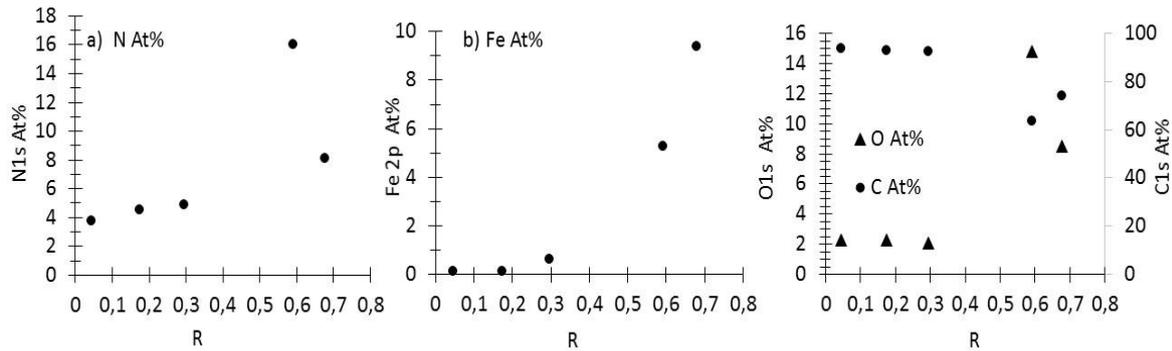
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Finally the main points suggested by the tentative peak fitting is that at high R iron nitride could be present in significant amount (37.0 At.%), as well as pyridinic nitrogen (18.4%). Graphitic nitrogen content is about 5.5 At%. In contrast at low R, no iron nitride can be found. The pyridinic nitrogen content is only 10.9 At%. The major contribution is clearly seen at 399.3 eV, in an energy range close to those reported for FeN_x sites, but which can also correspond to amines, nitriles or nitroso nitrogen atoms. The attribution of one of the components to graphitic nitrogen is unclear.

The N1s spectra shown in figure 8 and tentative peak fitting suggest that nitrated iron is possibly formed when high R values are used. This point actually appears reinforced by semi-quantitative analysis results (figure 9).



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Figure 9. Trends for the XPS semi-quantitative analysis for powders obtained from LMP-2 as a function of R parameter. a) N1s, b) Fe2p, c) O1s and C1s.

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Indeed, elements contents for N1s, Fe2p, C1s and O1s were drawn as a function of R parameter and showed marked trends: N and iron contents increase with R (figure 9 a and b) while carbon and oxygen contents show reverse trend from each other (figure 9 c), *i.e.* oxygen content increases and carbon content decreases with higher R. Data in figure 9 relate to materials synthesized with Fe(acac)₃ (LMP-2), but the observed trends for powders derived from LPM-1 are similar.

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It is worth noting that for iron and carbon, surface analysis and bulk analysis show the same trends as a function of R. Finally, the assumption suggested in the previous section seems reinforced by the surface analysis results, *i.e.* the formation to some extent of an iron phase containing nitrogen at high ammonia fraction. The oxygen content increases with R while carbon content decreases. The reason for this might be surface oxidation of iron-based particles not (or only partially) covered by carbon, especially in powders where nitrogen is assumed to be significantly combined with iron. In an attempt to get additional information on the nature of the iron phase formed during the process, acid leaching treatments were performed with sulfuric acid.

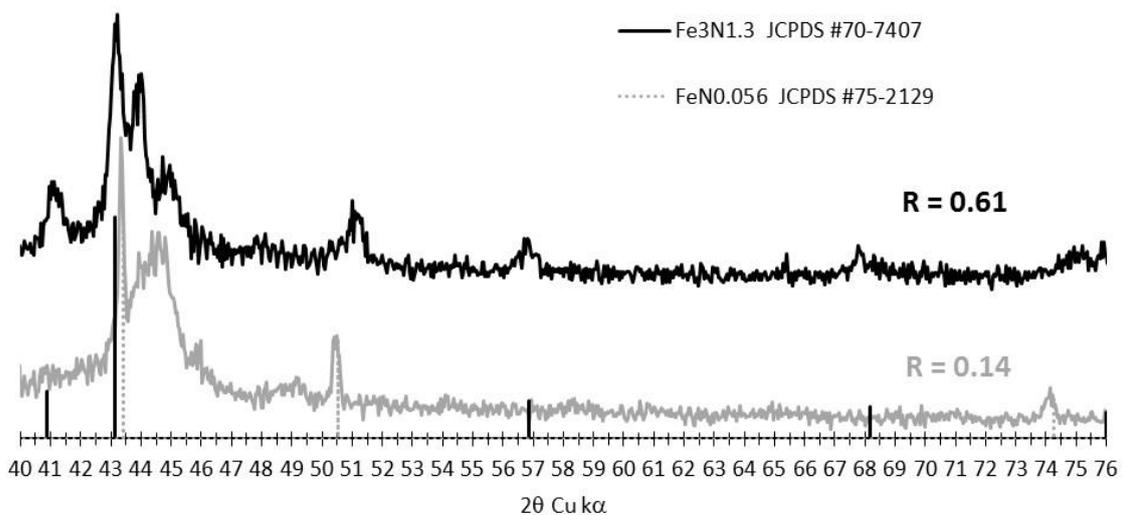
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3.3.2. Characterization of the Materials Obtained from LPM-1 After Acidic Treatment

328

329

Acidic treatments (24 h in 1M H₂SO₄) were performed on some of the powders obtained from LMP-1 and XRD pattern was subsequently recorded (figure 10).



330

331

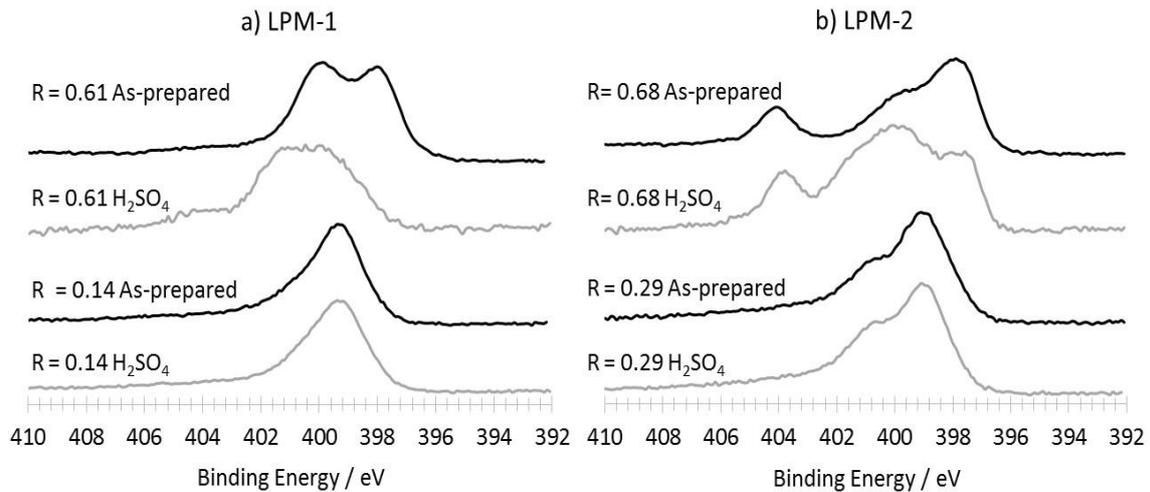
Figure 10. XRD pattern of sample obtained from LPM-1 after acid leaching for R=0.14 and 0.61.

332

333

For the highest R value, the iron nitride Fe₃N_{1.3} is present while for lowest R value the low nitrogen content phase FeN_{0.056} is identified. These observations are in good agreement with the

334 above-mentioned suggestion of iron nitride phases formation at high R, and with the trends
 335 recorded on the N1s XPS data for as-prepared materials as well.



336

337 **Figure 11.** XPS N1s core level spectra for powders obtained from a) LPM-1 and b) LPM-2 before
 338 and after H₂SO₄ treatment, for low and high R values. Intensities are normalized.

339 Figure 11 shows the N1s spectra for FeOOH and Fe(acac)₃ precursors derived powders before
 340 and after H₂SO₄ treatment for high and low values of R. In contrast with materials prepared with
 341 lower R value, strong modification of the spectra is induced by acidic treatment for both FeOOH
 342 and Fe(acac)₃ precursors and for high R. Indeed a clear drop in the intensity is observed in the low
 343 N1s core level energy region of the spectra (397-398 eV) possibly related to iron-nitride or nitrated
 344 iron. As expected, acidic treatment resulted in a drop of the iron content in the materials as
 345 indicated by X-Ray fluorescence measurements. Data provided by XPS semi-quantitative analysis
 346 are reported in table 1 for FeOOH and Fe(acac)₃ derived samples at high and low R.

347 **Table 1.** XPS nitrogen and iron content for materials prepared from FeOOH (LMP-1) and Fe(acac)₃
 348 (LMP-2) for high (white areas) and low (grey areas) R parameter.

	LPM-1 R=0.61		LPM-1 R=0.14		LPM-2 R=0.68		LPM-2 R=0.29	
	N At%	Fe At%						
As-prepared materials	6.7	3.7	4.6	0.05	8.1	9.4	4.9	0.6
H ₂ SO ₄ treated	2.0	0.3	4.2	0	6.0	3.0	4.2	0.3

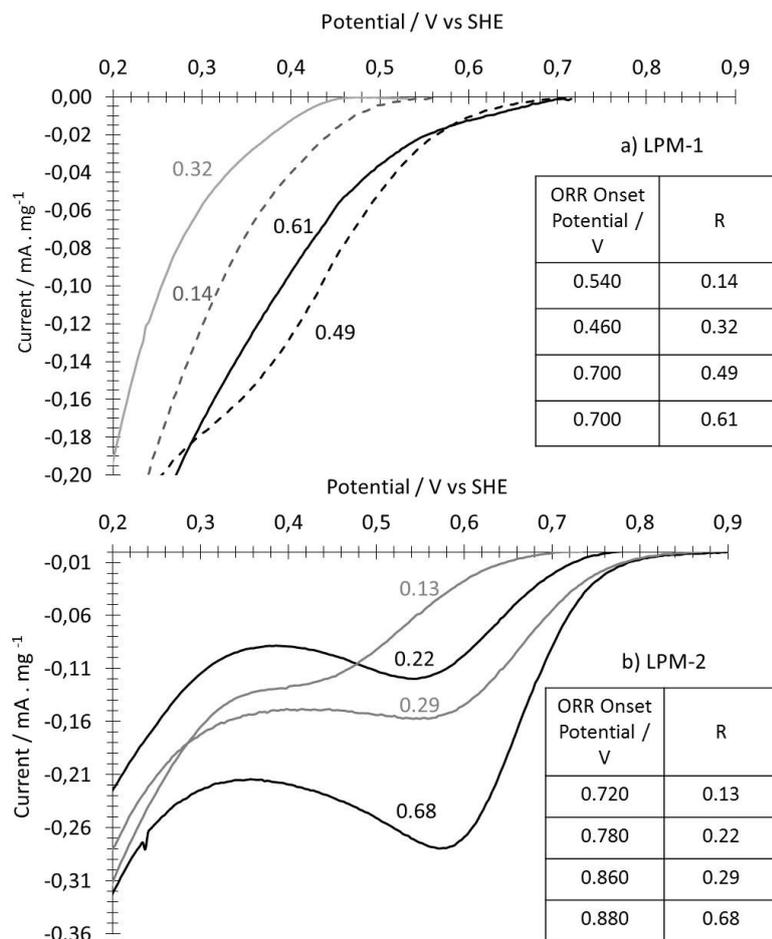
349

350 It is seen that for high R acid leaching results in concomitant loss of nitrogen and iron. At low
 351 R the nitrogen loss is low, consistently with the small changes observed in the N1s core level spectra
 352 (figure 11). The N1s spectra modifications in figure 11 require additional comments. The loss of
 353 iron results from H₂SO₄ dissolution of iron based particles, what can indeed only append for
 354 particles that are accessible to acid solution. With that respect it is worth pointing that in spite of
 355 considerable spectral and composition changes the material obtained from LMP-2 at high R still

356 shows a significant contribution in the low energy N1s region, which is thought to originate from
 357 iron nitride like contribution. The reasons for this might be an insufficient duration for H₂SO₄
 358 treatment, or the fact that some iron nitride-like phases are covered by a very thin carbon based
 359 component that allows photoelectron collection from the inner particle surface but impedes acid
 360 attack.

361 4. Preliminary Oxygen Reduction Study

362 Electrochemical measurements on porous electrodes prepared from LPM-1 derived materials were
 363 hard to achieve, presumably because of the high iron content and the presence of large iron based
 364 particles. Indeed, immersion in the acid electrolyte resulted in a significant gaseous production
 365 what led to a strong degradation of the layer homogeneity and detachment from the carbon felt.
 366 Such difficulties were quite rare for electrodes prepared with materials obtained from LPM-2.
 367 Nevertheless, cyclic voltammetry was recorded on porous electrodes at 5 mV.s⁻¹ in HClO₄ 1M for
 368 materials synthesized with different R values from LPM-1 and LPM-2. Background current
 369 correction was performed by subtracting CVs recorded in argon saturated electrolyte from those
 370 recorded in oxygen saturated electrolyte. ORR catalyst efficiency was evaluated using the onset
 371 potential for the ORR shown by the CVs.



372

373 **Figure 12.** Cyclic voltammetry recorded on porous electrodes prepared from materials synthesized
 374 with LMP-1 (a) and LPM-2 (b) in HClO₄ 1M at 5mV.s⁻¹. The inserted tables report the R values and
 375 the corresponding onset potentials for the ORR.

376 Figure 12 a) relates to LPM-1, for which it is seen that the onset potential for the ORR does not
 377 strictly rank the R value, although it can be seen that the two materials with the two lowest R values
 378 exhibit much poorer performances when compared to the ones with highest R values. Actually the

379 response recorded for $R=0.14$ and 0.32 are quite close to those recorded on the carbon felt support
380 itself. Figure 12b) shows the data related to LPM-2, for which a marked trend is observed i.e. the
381 higher the R parameter the higher the ORR onset potential. Finally, these data strongly suggest a
382 direct influence of the R parameter on the performances of the ORR electrocatalysts synthesized by
383 the CO_2 laser pyrolysis method.

384 5. Discussion

385 This discussion session addresses the trends observed for the different feature of the materials,
386 particle morphology, yield and composition, and finally electrochemical performances, in
387 particular as a function of R . It must be mentioned that we are sometimes reduced to making
388 hypothesis since we have no direct indication that support a given explanation rather than another
389 one.

390

391 Particle Size And Morphology :

392 The particle size and morphology obtained in this work can be discussed in the frame of well-
393 documented literature related to the formation of nanoparticle materials in gas-fed flame synthesis
394 and flame spray pyrolysis (FSP) methods [42-46]. Indeed both methods involve the thermal
395 decomposition of a precursors flow (gas or atomized liquid) in a flame provided by the combustion
396 of a fuel. These methods are characterized by particular synthesis conditions which can be
397 summarized as follow: temperature as high as $3000\text{ }^\circ\text{C}$, short resident time of the precursors ($< 1\text{ s}$),
398 rapid cooling of the materials after formation. These features are to some extent similar to the ones
399 encountered in laser pyrolysis, and enable the comparison in terms of nanoparticles growth
400 mechanisms. Discussion on the morphology and size of the particles reported in this paper
401 necessitates the different scenarios of particle formation in flame synthesis to be briefly described.
402 Thermophoretic sampling along the flame in gas-fed synthesis conditions for microscopy
403 observation triggered the understanding of particle formation [47], what finally resulted in the
404 following description for gas-fed flame synthesis processes [42-46]:

405

406 - Heating decomposes precursors to monomers which upon saturation grow by molecular
407 coagulation or nucleation, to critical/primary particles.

408 -Surface reaction of monomers on primary particles can contribute to their growth, while
409 evaporation/sublimation contribute to particle size decrease and forming monomers again.

410 - Further coagulation and/or coalescence process between primary particles may also
411 contribute to nanoparticles growth.

412

413 Finally, depending on the temperature value and profile, the final morphology can consist in
414 individual spherical particles, agglomerated particles, or aggregated particles when primary
415 particles form necks between them.

416 When FSP is involved, additional phenomena related to the drying of aerosol liquid droplet
417 influence the morphology [45]. One can briefly summarize the various pathways as follows:

418 1) Complete evaporation of droplet and solute, leading to the gas-fed flame particle formation
419 scenario

420 2) Solute precipitation in the droplet and reaction with surrounding reactants, ending in
421 sintering of small grains to form particles in the range of μm size.

422 3a) Surface precipitation of solute in the droplet, followed by reaction with surrounding
423 species in gas phase and gentle drying, leading to the formation of large hollow particles

424 Or, 3b) drying/melting allowing fragmentation/evaporation towards above mentioned gas-fed
425 flame particle formation scenario.

426

427 To summarize the main parameters influencing particle size and morphology, it can be
428 pointed that short resident time, high temperature and fast cooling rates reduce the particle size
429 and the extent of the aggregation. Of course, these main trends can be significantly modulated by the

430 feature of the solvent (here the liquid precursor) from which droplet are formed, as well as the size
431 of the droplet (in the range of few μm to 10 μm for the Pyrosol aerosol generator), the feature of the
432 solute (melting temperature), its concentration in the solution...

433 We can now comment on the size and morphology of the products we obtained in this work,
434 although at this time only assumptions can be made, based on the above-mentioned pathways
435 described for gas/liquid flame synthesis methods. It is also important to remind that for laser
436 pyrolysis, the chemical composition of the effective precursor media through ammonia
437 concentration is not disconnected from the temperature conditions. Indeed, as mentioned before,
438 changing the ammonia flow modifies the CO_2 laser absorption and therefore the energy
439 (temperature) provided to the precursors.

440 First, it is seen that materials produced from LPM-1 (FeOOH particles as iron precursor) show
441 marked changes as a function of R. At high R the population of individual large particles (few
442 hundreds nm to few μm diameter) dominates. High R values correspond to higher temperature
443 (high laser absorption) and short residence time as explained in section 3.1). This result contrasts
444 with the scenario of gas-fed flame situation which favors in such conditions small primary particles.
445 Therefore one may assume that for high R values and LPM-1 the favored pathway for particle
446 formation is the one described in point 2), *i.e.*, solute precipitation in the droplet and reaction with
447 surrounding reactants, then ending by sintering, and producing particles in the range of μm size.
448 These particles might be still hot enough for a given time to promote on their surface the formation
449 of carbon/nitrogen doped shell (figure 3a). Such a scenario is confirmed by scanning electron
450 microscopy images (Appendix B) recorded on acid leached materials synthesis with LPM-1 at high
451 R. The micrograph clearly shows films resulting from acid leached iron-based particles.

452 For LPM-2 the trends observed as a function of R for averaged particle size is suspected to be
453 the same as for LPM-1 since specific surface decreases when R increases (figure 4). Scanning
454 electron microscopy at high R values shows the presence of large particles, but not majority. The
455 differences observed between LPM-1 and LPM-2 could reflect the footprint of aerosol droplet
456 transformation during the process taking into account the following points: i) the concentration of
457 iron precursor in LPM-1 is higher (25 $\text{g}\cdot\text{L}^{-1}$ what correspond to a concentration of 15,7 $\text{g}\cdot\text{L}^{-1}$ of pure
458 iron) than in LPM-2 (14 $\text{g}\cdot\text{L}^{-1}$, *i.e.* 2.21 $\text{g}\cdot\text{L}^{-1}$ of pure iron) ii) melting points for FeOOH is 350°C while
459 it is much lower for $\text{Fe}(\text{acac})_3$ (180°C). Therefore, it is likely that for the same R value (*i.e.* similar
460 flame temperature) larger iron-based particle should form in the case of LPM-1 due to higher Fe
461 concentration. Compared to high R value, low R conditions result in lower temperature and longer
462 resident time. Our results show that low R values favor the formation of small sized (few tens of
463 nm in diameter, see figure 3d) and aggregated particles for both, LPM-1 and LPM-2. Such a result
464 could be tentatively explained in both cases as follows: the residence time being longer and the
465 temperature being still high enough, pathway 3b) involving drying/melting and
466 fragmentation/evaporation leading to gas-fed flame synthesis conditions may occur. Finally, it is
467 worth noting that the morphology of the materials as discussed here, focusses on the iron-base
468 particle formation that promotes carbon based shell growth. However, this does not prevent
469 possible homogeneous formation of carbon based nanoparticles independently of iron-based
470 phases.

471
472 Chemical Yield And Composition:

473 The data recorded here show that the chemical yield of the synthesis decreases when R
474 increases. Such a trend could be tentatively explained by the fact that chemical yield is essentially
475 driven by the formation of the iron-based phase through the trends observed for the size of the
476 particles. Indeed, if iron-based particles diameter decreases with R, the overall area they provide
477 for catalytic growth of carbon phase strongly increases, thus favoring the increase in the overall
478 chemical yield. The same argue holds at high R (bigger particles offer a lower area). At high R this
479 surface area effect, that lowers the chemical yield in this case, could be accompanied by chemical
480 effect related to iron nitride formation. Such a chemical effect looks consistent with literature
481 dealing with nitrogen doped carbon nanotubes growth. Indeed, all things equal otherwise, it is

482 reported [48] that the addition of NH_3 at various concentrations drops the growth rate of N-doped
483 carbon nanotubes by a factor 2 to 7 when compared to undoped ones.

484 Regarding composition of the materials, for both LPM-1 and LPM-2 a clear trend is also
485 observed for bulk composition and surface composition (XPS) as a function of R. The higher R, the
486 lower the carbon content and the higher the iron content for bulk composition. The same trends are
487 observed for surface composition which also indicates that the nitrogen content in the material
488 increases with R value. The trends observed for carbon and iron content could originate from size
489 effect and/or chemical effect suggested above for chemical yield trends. For nitrogen content that
490 increases with R, one may suggest the role of iron-nitride phase formation promoting the
491 incorporation of nitrogen in the carbon phase of the material.

492
493 Oxygen Reduction Performances :

494 Preliminary results on the electrochemical activity of the materials synthesized in this work
495 appear quite promising. In the literature dealing with the kind of electrocatalysts involved here,
496 the pathway leading to the formation of the active sites for ORR appears not well understood.
497 Furthermore, the nature of the active sites is still strongly debated. Different views conflict with
498 each other, and for example, some authors reported that iron species coordinated with nitrogen in
499 various configuration referred as FeN_x or FeN_xC_y are mainly responsible for the electrochemical
500 activity [49-51], while others showed that iron-free electrocatalysts with C_xN_y sites have significant
501 activity [52-53]. Furthermore, recently, the ORR activity of iron based (Fe_3C) core-shell particles in
502 which no nitrogen atoms are incorporated in the carbon shell was also reported [54]. Actually, the
503 situation is even more complicated by the fact that a given electrocatalyst most probably contains
504 different kind of active sites towards the ORR. Such issues are indeed out of the scope of this paper.
505 We showed that the most active materials are obtained for high R values. This is observed for both
506 kind of materials (LPM-1 and LPM-2), although the trend for ORR activity as a function of R is less
507 marked for LPM-1. It is worth reminding that the trends as a function of R for the whole set of
508 characterization performed on both material are exactly the same. Besides, the most active materials
509 towards the ORR have the highest initial iron content and they retain a significant iron content in
510 spite of acid leaching. Therefore, we believe that the active sites responsible for the ORR activity
511 are presumably related to the initial iron content in the materials. The iron nitride phases formed
512 at high R are not directly involved in the ORR activity because they are not stable in acidic media.
513 However, the X-Ray diffraction recorded after acid leaching (figure 10) suggests that iron nitride
514 particles are surrounded by a carbon shell which protects the core from acid leaching. Finally, it
515 can be tentatively assumed that the active site formation in our materials is related to iron nitride
516 phases, as already reported for the same family of materials addressed here, [55]. In this work
517 authors also show that acid leaching resulted in a moderate loss of activity.

518 The parameters that influence the activity are thus related to the nature and amount of the
519 active sites, but also to the specific surface area of the materials. Indeed, the higher the specific
520 surface area the higher the volume density of active sites in the catalyst layer and thus the ORR
521 activity. In the literature, materials that show the highest ORR performances have specific surface
522 areas in the range of several hundred $\text{m}^2\cdot\text{g}^{-1}$ [5]. Our data show that the most active materials
523 obtained for high R values exhibit the lower specific surface area (Figure 4), and the higher surface
524 nitrogen content. As a result one may suggest that key parameters affecting the catalyst activity in
525 this work is not related to particle size but rather to the kind of nitrogen sites formed in the carbon
526 phase and to the amount of nitrogen species present at the surface of the particles. In this work, the
527 only data potentially giving indication on the type of nitrogen sites presents in the material are the
528 $\text{N}1s$ XPS spectra shown in figure 8. The information given by the tentative peak fitting (figure 8 c
529 and d) suggest that the most active material exhibits the highest nitrogen content and the highest
530 content in pyridinic and graphitic sites which are responsible for ORR activity. Indeed, in the most
531 active material (figure 12 b, $R=0.68$) obtained here, the $\text{N}1s$ peak fitting (figure 8 c) does not clearly
532 suggest the presence of FeN_x sites expected in the 399.3-399.9 eV region. For the lowest R value the

533 most important component N₂ at 399.3 eV (figure 8d, R=0.04) is most probably not related to FeN_x
534 sites since the material exhibit a poor ORR activity.

535 The onset ORR potential which has been used here to rank the activity of the different
536 materials is explicitly mentioned and used in the literature as one of the significant criterion to
537 evaluate ORR performances [56] and finally, the highest ORR onset potential recorded in this work
538 is $\approx 0,880$ V vs SHE. This is lower than the best performances reported in the literature for the kind
539 of catalysts considered here, for which onset potentials in the range of 0.900 to 0.950 V are reported
540 [5-56]. Such high performances have been obtained thanks to modification of synthesis processes,
541 which include together or separately, the use of template components in the precursors, ball milling
542 step, and thermal treatments under inert gases or ammonia. With that respect, it looks clear to us
543 that our results are quite encouraging since our elaboration process implies few synthesis steps and
544 quite simple liquid precursor media. Current work is now aiming at improving the chemical yields
545 through precursor modification, as well as improving the understanding and control of the
546 synthesis key parameters in order to improve the performances of the non-noble electrocatalysts
547 towards the ORR.

548
549

550 6. Conclusions

551 In this paper we have reported the synthesis and characterization of non-noble metal ORR
552 electrocatalysts based on carbon, nitrogen and iron by laser pyrolysis, using two different iron
553 precursors. The features of the materials show a monotonic behavior when drawn as a function of
554 the so-called R parameter, which is the ammonia flow volume fraction involved in the synthesis.
555 The materials were characterized using different methods and surface analysis of the materials
556 advantageously completed the information recorded on bulk materials. In particular, the N1s core
557 level spectra as well as the semi quantitative analysis tend to confirm the higher R the higher the
558 amount of iron nitride-like phase formed. This point appears comforted by the comparison of the
559 XPS and XRD data recorded for as-prepared materials and acid leached ones. Preliminary
560 evaluation of the ORR by cyclic voltammetry indicates that the performance is improved when the
561 R parameter is increased. Based on these trends and those recorded regarding the whole set of
562 characterization, it can be tentatively suggested that iron nitride formation during the CO₂ laser
563 pyrolysis favors the formation of active sites for the ORR in these non-noble electrocatalysts.
564 Current work is dealing with the development of this new approach consisting of using CO₂ laser
565 pyrolysis for the synthesis of carbon/nitrogen/iron based non-noble electrocatalysts, and will be the
566 subject of coming reports.

567 **Author Contributions:** Henri Perez proposed and guided this research, and wrote the paper. Virginie Jorda,
568 recorded XRD experiments and performed data analysis with Henri Perez. Pierre Bonville recorded the
569 Mossbauer experiments and aided with Virginie Jorda the data treatment and interpretation. Jackie Vigneron,
570 Mathieu Frégnaux, and Arnaud Etcheberry carried out the XPS experiments and aided interpretation and data
571 treatment with Henri Perez. Axelle Quinsac, Yann Leconte, Henri Perez and Virginie Jorda were involved in
572 laser the pyrolysis syntheses. Henri Perez and Virginie Jorda processed the as-prepared materials, prepared
573 porous electrode elaboration and performed electrochemical measurements. Virginie Jorda determined carbon
574 content on powders and recorded X-Ray fluorescence measurements. Aurélie Habert recorded scanning
575 electron microscopy and contributed to BET measurements with Virginie Jorda.

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578 **Conflicts of Interest:** The authors declare no conflict of interest.

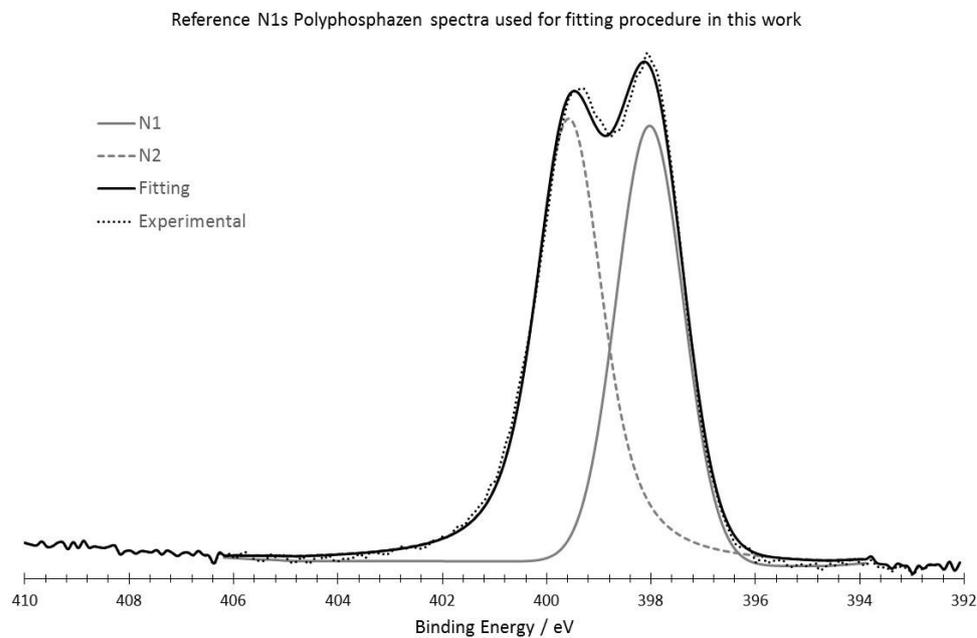
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582 **Appendix A**

583 Supporting information related to Mossbauer spectroscopy:

584 The table below reports the parameters involved in the fitting of the spectra shown in figure
585 6, for the identified phases, consistently with data reported in the literature [57-59]

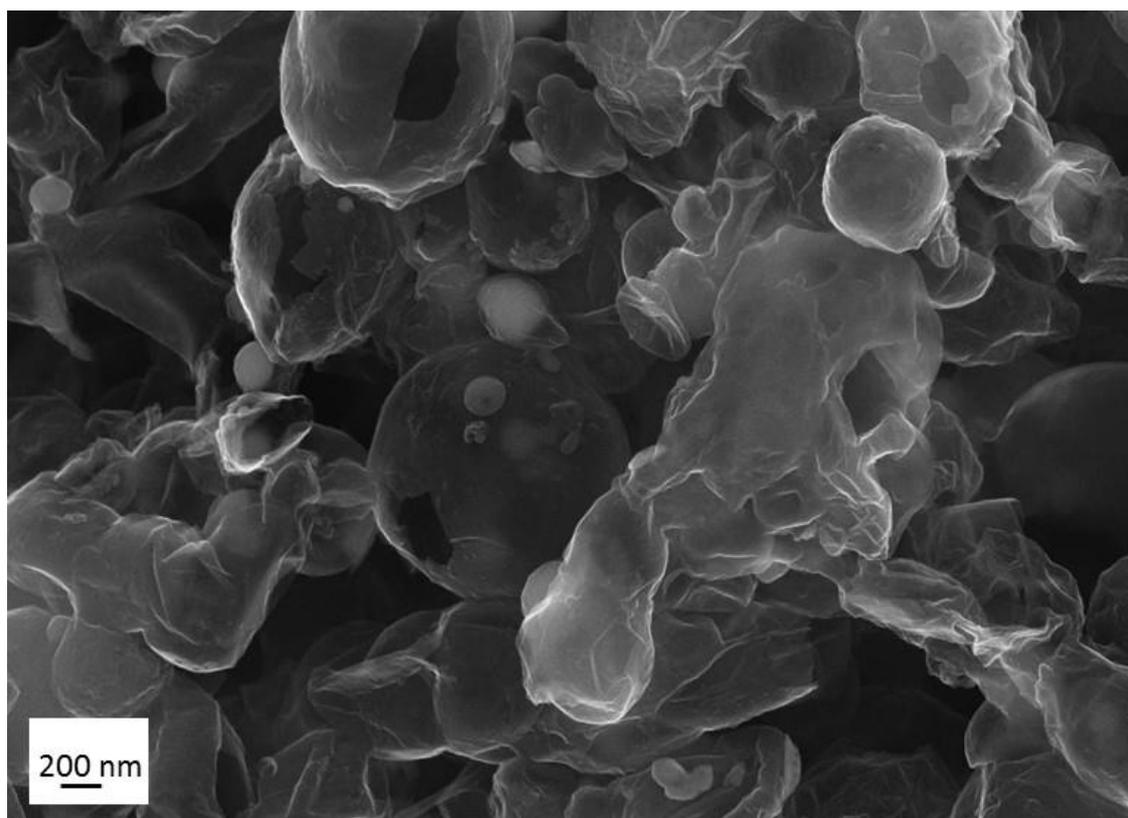
	Hyperfine field – H(T)	Isomer shift mm.s ⁻¹	Area %
α Fe	33.59	0.02	24.3
γ Fe	–	-0.056	16.5
Fe ₃ C	20.90	0.196	42.8
FeO	–	0.897	5.0
Unknown phase	28.33	0.11	11.4

586 **Appendix B**587 Reference spectra of polyphosphazenes used to set the peak Full Width at Half Maximum (FWHM)
588 at 1.5 eV.

589

590 **Appendix C**591 Scanning electron microscopy image of a sample obtained from LPM-1 at R= 0.61 after acid
592 leaching. It shows collapsed carbon films resulting from the elimination of iron-based particles.
593 White spots in some part of the image are iron-based particles protected from acid leaching by
594 carbon shell.

595



596

597

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