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# Design Framework for Reliable Multiple Autonomic Loops in Smart Environments

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**Abstract**—Today’s control systems such as smart environments have the ability to adapt to their environment in order to achieve a set of objectives (e.g., comfort, security and energy savings). This is done by changing their behaviour upon the occurrence of specific events. Building such a system requires to design and implement autonomic loops that collect events and measurements, make decisions and execute the corresponding actions.

The design and the implementation of such loops are made difficult by several factors: the complexity of systems with multiple objectives, the risk of conflicting decisions between multiple loops, the inconsistencies that can result from communication errors and hardware failures and the heterogeneity of the devices.

In this paper, we propose a design framework for reliable and self-adaptive systems, where multiple autonomic loops can be composed into complex managers, and we consider its application to smart environments. We build upon the proposed framework a generic autonomic loop which combines an automata-based controller that makes correct and coherent decisions, a transactional execution mechanism that avoids inconsistencies, and an abstraction layer that hides the heterogeneity of the devices.

We propose patterns for composition of such loops, in parallel, coordinated, and hierarchically, with benefits from the leveraging of automata-based modular constructs, that provides for guarantees on the correct behaviour of the controlled system. We implement our framework with the transactional middleware LINC, the reactive language Heptagon/BZR and the abstraction framework PUTUTU. A case study in the field of building automation is presented to illustrate the proposed framework.

## I. INTRODUCTION

Today’s environments (e.g., buildings) are equipped with numerous devices (i.e., sensors, actuators) that are managed by a set of software entities. The aim is to achieve a set of objectives related for instance to comfort, security and energy savings. Achieving the objectives requires to design and implement autonomic loops that collect events and measurements, make decisions and execute the corresponding actions.

The design and the implementation of such loops are made difficult by several factors: the heterogeneity of the devices, the risk of conflicting decisions, the inconsistencies that can result from communication errors and hardware failures. An inconsistency occurs when an action is wrongly assumed to be performed. For instance, switching on a lamp and assuming that it is on becomes an inconsistency if the lamp stays off due to a communication error or a failure. In addition, complex realistic adaptive systems (e.g., smart environments) require the combination of multiple autonomic loops. These

autonomic loops need to be designed individually in order to make the design of the system less complex, by separating subsystems and their local objectives. They also need to be built in such a way that they are reliable w.r.t. their design (e.g., by formal verification or synthesis of their behaviour) and their execution (e.g., by transactional mechanisms ensuring runtime consistency). In addition, the way the loops are composed has a strong impact on specification, design and implementation. Hence, loops composition must be done appropriately. Finally, the composed loops require support for coordination.

Several solutions have been proposed for the design and the implementation of single autonomic loop [1], [13], [8], [14]. Solutions for multiple autonomic loops coordination have also been proposed [5], [12], [15], [10], [24], [2], [3]. However, these solutions do not handle the inconsistencies that can result from communication errors and hardware failures.

In this paper, we propose a design framework for reliable and self-adaptive systems, where multiple autonomic loops can be composed, and we consider its application to smart environments. We build upon this framework a generic autonomic loop, which combines an automata-based controller that makes correct and coherent decisions, a transactional execution mechanism that avoids inconsistencies, and an abstraction layer that hides the heterogeneity of the devices. Our contributions are:

- Several patterns for the composition of autonomic loops. These patterns benefit from the leveraging of automata-based modular constructs, that provides for guarantees on the correct behaviour of the controlled system;
- An implementation of our framework with the transactional middleware LINC [17], the reactive language Heptagon/BZR [11] and the abstraction framework PUTUTU;
- A case study in the field of building automation.

The paper is structured as follows. Section II gives the background material. Then, Section III presents different patterns for autonomic loops composition. Section IV presents autonomic loops adaptation. Section V presents and discusses a case study, in the field of building automation, to validate the framework. Then, Section VI discusses related work. Finally, Section VII concludes the paper and gives future works.

## II. BACKGROUND

This section presents a generic control loop for smart environments, its design and its implementation.

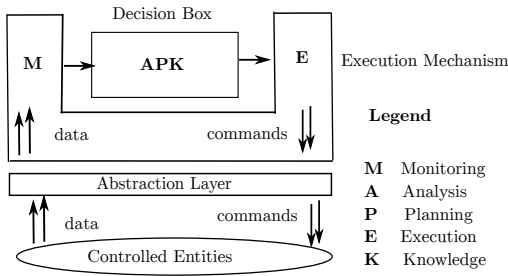


Figure 1: Generic control loop for smart environments

### A. Generic control loop for smart environments

In a smart environment, it is required to continuously

- 1) collect data through sensors;
- 2) analyse the data to make decisions;
- 3) apply the decisions through actuators.

This can be done by a MAPE-K [16] based control loop as illustrated in Figure 1. This control loop consists of

- **An abstraction layer** that allows to communicate with the sensors and the actuators and hide their heterogeneity;
- **An execution mechanism** that collects data from the sensors and executes commands through the actuators;
- **A decision box** that analyses the data and computes commands based on knowledge about the environment.

This generic control loop can be implemented using a middleware for the Internet of Things or smart environments [20].

### B. Middleware Support With LINC

The control loop presented in Figure 1 can be implemented using the middleware LINC [17]. This middleware provides:

- A rule based language and an execution environment;
- An abstraction framework, called PUTUTU [19], that enables the communication with sensors and actuators.

#### 1) LINC Middleware: language and abstraction framework

##### a) LINC language: It is based on three paradigms

- **Associative Memory** [6]: It consists in modelling the considered application as a set of distributed tuple spaces containing tuples. In LINC, tuple spaces are called bags and are grouped according to the application logic, in objects. Tuples are used to model the entities of the application (e.g., a lamp and its state) and are manipulated using three operations: *rd*, *get* and *put*. The *rd* is used to verify the presence of a tuple in a bag. The *get* is used to remove a tuple from a bag and the *put* is used to insert a tuple. These operations are used in production rules.
- **Production Rules** [7]: A production rule consists of two parts: a *precondition* and a *performance*. In the precondition, the operation *rd* is used, with a partially instantiated tuple as parameter, to verify specific conditions in the system (e.g., presence detected). If these conditions are true, the performance is triggered. The performance uses the three operations. The *rd* is used to verify conditions. The *get* and the *put* are used to perform actions on the system and update its logical state (i.e., tuples in bags).

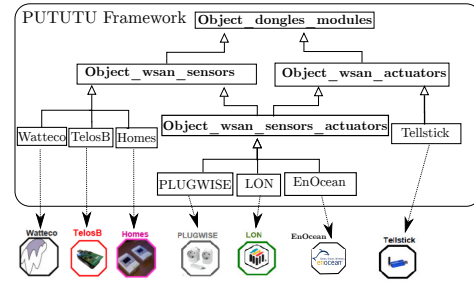


Figure 2: PUTUTU objects

- **Distributed Transactions** [4]: They are used in the performance part of a production rule. A transaction allows to group as one operation the verification of conditions (*rd*), the realisation of actions (*put*), and the update of the system logical state (*get* and *put*). The performance part of a rule may abort if, for instance, the verification of a condition through a *rd* operation is no longer true. The performance also aborts if a *put* operation fails because the corresponding action (e.g., switch on a lamp) cannot be performed for some reason (e.g., actuator failure).

b) *LINC abstraction framework*: PUTUTU [19], as illustrated in Figure 2, consists of a set of LINC objects (e.g., HOMES, LON) that inherit from four generic LINC objects:

- **Object\_dongles\_modules**: It is used to manage a dongle or any other equipment plugged in an ethernet or a USB port. The dongle allows to communicate with the sensors and/or the actuators of a specific technology. It has two bags: *Type* and *Location*. *Type* associates the id of a sensor (resp. an actuator) to its type. *Location* associates the id of a sensor (resp. an actuator) to its location.
- **Object\_wsan\_sensors**: It is used to manage sensors. It has one additional bag called *Sensors* which associates the id of a sensor to its latest measured value. This bag contains tuples in the format (*id*, *value*). Hence, the value measured by any sensor of any technology is read by applying the operation *rd(id, value)* on a *Sensors* bag.
- **Object\_wsan\_actuators**: It is used to manage actuators. It has one additional bag called *Actuators* which is used to send commands to actuators. The tuples of this bag are in the format (*id*, *command*, *parameters*). The insertion of such a tuple in an *Actuators* bag, using the operation *put*, actually sends the command to the specified actuator.
- **Object\_wsan\_sensors\_actuators**: It is used to manage technologies providing both sensors and actuators (e.g., EnOcean, LON). This object is derived from the two previous generic objects and inherits from their bags.

c) *Example of LINC rule*: Listing 1 presents an example of LINC rule. This rule is a decision box that switches on the two lamps of a room when a presence is detected. The precondition of the rule (before the symbol *::*) first verifies if both lamps (*lamp12* and *lamp13*) of the room are off (lines 1, 2). This is done by applying two *rd* operations on the *States* bag of the object *Room*. Then, the precondition

```

1  [ "Room", "States"].rd("lamp12", "off") &
2  [ "Room", "States"].rd("lamp13", "off") &
3  [ "TelosB", "Sensors"].rd("pres1", "true") &
4  ::
5  { { "TelosB", "Sensors".rd("pres1", "true");
6    [ "EnOcean", "Actuators"].put("lamp12", "switchOn");
7    [ "Room", "States"].get("lamp12", "off");
8    [ "Room", "States"].put("lamp12", "on");
9  }
11 { { "TelosB", "Sensors".rd("pres1", "true");
12   [ "EnOcean", "Actuators"].put("lamp13", "switchOn");
13   [ "Room", "States"].get("lamp13", "off");
14   [ "Room", "States"].put("lamp13", "on");}.

```

Listing 1: LINC rule example

verifies if the presence sensor with the id `pres1` has detected a presence (line 3). If this is the case, the performance of the rule is triggered. The performance consists of two transactions (between `{}`) that are executed in sequence. The first transaction verifies if the presence is still detected (line 5), switches on the `lamp12` (line 6) and updates its logical state (lines 7, 8). The second transaction verifies if the presence is still detected, switches on the `lamp13` and updates its logical state. LINC ensures that all the operations of a transaction are done or none of them is done. For instance, let us consider the first transaction. If the presence is not detected any more, the `rd` at line 5 fails and the transaction aborts. If the `lamp12` cannot be switched on (e.g., due to an actuator failure), the `put` at line 6 fails and the transaction aborts. The logical state of `lamp12` is not updated and is consistent with its actual state.

*d) Execution of LINC rules:* A LINC rule is executed by an object. An object can execute, in parallel, several rules. For each rule, the executing object first evaluates the precondition. To do this, the object evaluates the `rd` operations and builds an inference tree with instantiation and propagation of the variables. When new tuples are added, the inference tree is updated. After the precondition execution, the object executes the operations that are in the performance part of the rule.

When an object executes rules that read a large amount of data, the size of the inference trees it builds can be large, leading to slower execution. This is avoided by making several objects execute the rules. These objects can be distributed over different computing devices for runtime performance.

*2) Decision box implementation with LINC:* The decision box is a controller that achieves a set of objectives. The controller consists of a set of rules and a set of objects. Depending on the objectives it achieves, a controller can be hand written, based on control theory or on logic control.

*a) Hand written controller:* When the target objectives can be achieved by a set of if then else, the controller is manually written. For instance, the controller implemented by Listing 1 switches on both lamps when a presence is detected (if no failure occurs). This controller consists of one rule (presented in Listing 1) and one object (Room that is used in the rule). The other objects used in the rule (TelosB, EnOcean) belong to the abstraction layer of the room control loop.

*b) Control theory based controller:* When the objectives to achieve require a physical model (e.g. temperature or CO<sub>2</sub>

variation), the controller is based on control theory. It can be for instance a PID (Proportional Integral Derivative) controller or a MPC (Model Predictive Control) controller. In this case, as illustrated in [23], [18] the controller is first designed. Then, it is implemented as a function in a specific language for instance Matlab, Python or C. This function is executed by a LINC rule. This rule first collects the data required by the controller. Then, the rule periodically invokes the function with the collected data and executes the computed commands. The period is equal to the sampling period of the controller. The rule also synchronises the sensors to make sure that they all provide data that are correct when needed by the controller.

In [18], [23], the controller is designed through MPC to minimise the energy consumption of a Wireless Sensor Network while ensuring the application quality of service.

*c) Logic control based controller:* To prevent from conflicting decisions (e.g., close and open a door at the same instant) and enable the verification of logical properties, the controller is based on a transition system (e.g., coloured Petri nets). In this case, as illustrated in [21], the behaviour of the controller is first modelled using coloured Petri nets. Then, a set of properties (e.g., absence of conflicts and objectives violations) are verified on the designed models using a model checker. If the properties are satisfied, the models are used to generate the corresponding LINC rules that can be directly executed. Otherwise, the behaviour of the controller is manually modified and the new coloured Petri net models are verified.

### C. Discrete Controller synthesis with Heptagon/BZR

Instead of manually designing a controller and verifying its behaviour, Discrete Controller Synthesis (DCS) can be used. DCS allows to generate a controller, that makes correct and coherent decisions, and is enabled by Heptagon/BZR [11].

*1) Heptagon/BZR language:* Heptagon/BZR [11] is a synchronous dataflow language used to build reactive systems. Each entity of the considered system can be modelled as an automaton, which states define the output flow by means of equations. Then, the automata can be composed, hierarchically or in parallel. The main specificity of Heptagon/BZR is that it allows the programmer to express a set of properties, which are *enforced*, through DCS, thereafter on the program.

*a) Design of a Heptagon/BZR program:* A Heptagon/BZR program is designed as a set of blocks called *nodes*. A node has input flows and output flows. It contains equations defining outputs in terms of inputs, local variables, and possibly intermediate states variables. These equations can be encapsulated in states of automata. They can also instantiate other nodes. Each node can be provided with a *contract* that defines a set of properties to be enforced on the program.

Automaton: It has a set of states, one of them being the initial state, and transitions between them. States are associated to equations that give values to the output flows of the node that contains the automaton. The value of an output flow must be defined at each instant. A transition goes from one state to another and is associated to a boolean expression that is related to one or several input flows of the automaton node.

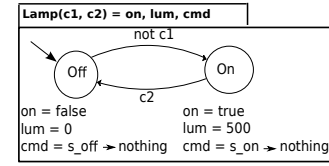
Figure 3a presents an example of automaton modelling a lamp. This automaton is contained in a node that has two input flows ( $c1$ ,  $c2$ ) and three output flows ( $on$ ,  $lum$ ,  $cmd$ ). The automaton has two states ( $Off$ ,  $On$ ) and two transitions. Each state is associated to three equations that give values to the output flows. In the state  $Off$ , the output flows  $on$  and  $lum$  are respectively equal to  $false$  and  $0$ . This means that the lamp is off and does not produce luminosity. The output flow  $cmd$  is equal to  $s\_off$  (switch off) when the state  $Off$  is newly reached. Otherwise, it is equal to  $Nothing$ . The reason is twofold. First, the value of an output flow must be defined at each instant. Second, this prevents from continuously sending  $cmd = s\_off$  while the lamp is already switched off.

The initial state of the automaton is  $Off$ . When the input flow  $c1$  is false, the automaton goes to the state  $On$  and the output flows takes the values given by the equations of this state. Otherwise (i.e.,  $c1$  is true), the automaton remains in the state  $Off$ . This means that there is an implicit transition associated to  $c1$  that allows to remain in the state  $Off$ . Similarly, in the state  $On$ , when  $c2$  is true, the automaton goes to  $Off$ . Otherwise, the automaton remains in this state.

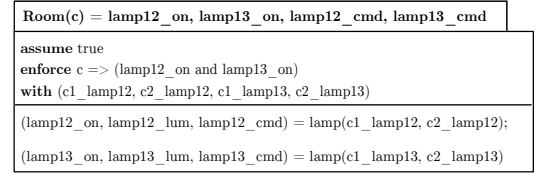
**Contract:** A Heptagon/BZR *contract* has three parts: *assume*, *enforce* and *with*. The *assume* part defines the hypothesis on the system. The *enforce* part defines the properties (objectives) to enforce. The *with* part defines the controllable variables (i.e., the variables that will be used to enforce the objectives). From the *contract*, the DCS algorithm explores the model state space and computes the possible values of the controllable variables. The aim is to achieve the objectives whatever the values of the uncontrollable variables. For instance, the input flows  $c1$  and  $c2$  in the lamp automaton (Figure 3a) can be defined as controllable variables to enforce an objective related to the luminosity of a room. In this case, the DCS algorithm will compute their possible values.

After the controller synthesis, several solutions can be possible regarding the objective to achieve. For instance, the lamp can be  $Off$  or  $On$  to provide a luminosity greater or equal to 0 lux. However, one solution must be chosen. For this, the backend of the Heptagon/BZR compiler selects one of the solutions. It is possible to guide the selection with two options. Firstly, the compiler backend favours the value true to the value false for a boolean variable. For instance in the lamp automaton, to favour staying  $Off$ , the transition from  $Off$  to  $On$  is associated to  $not\ c1$ . Here, the implicit transition that remains in  $Off$  (associated to  $c1$ ) is favoured by the compiler backend. The second option is that the compiler backend gives the value true to the variables following their declaration order. If this does not enforce the objectives, it changes the values of the variables, one by one, to false following the reverse of the declaration order. Hence, when declaring  $c1$  before  $c2$ , if two transitions T1 and T2, respectively associated to  $not\ c1$  and  $not\ c2$  are possible, the compiler backend chooses T2.

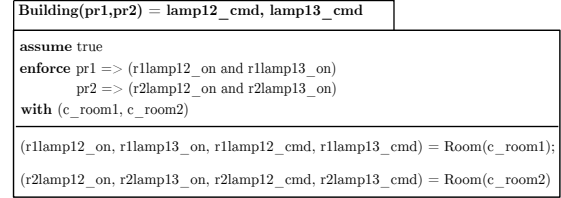
Figure 3b presents an example of node with a contract to switch on the two lamps of a room when a presence is detected. This node has one input flow ( $c$ ) and two output flows ( $lamp12\_cmd$ ,  $lamp13\_cmd$ ). This node defines two instances



(a) Lamp node



(b) Luminosity node



(c) Modular node

Figure 3: Example of Heptagon/BZR program

of the lamp node (Figure 3a) and composes their automata using the parallel composition operator  $;$ . The contract defines no hypothesis (*assume true*), one objective to enforce and four controllable variables. The objective means that when  $c$  is true (presence detected), both  $lamp12$  and  $lamp13$  must be on. The controllable variables are the input flows of the two lamp nodes and are used to enforce the target objective.

For a large system, using one node with a *contract* to enforce all the objectives is limiting. This generates one single controller for the whole system. In addition, the controller synthesis may take a lot of time or not succeed due to computing resources limitations. Indeed, exploring all the state space of a large system is time consuming and requires a lot of CPU and memory. To overcome this limitation, one can design a Heptagon/BZR program with Modular DCS.

*b) Design of a Heptagon/BZR program with modular DCS:* This consists in dividing the system into several subsystems, that handle different objectives. Then, defining for each subsystem, a node with a *contract* to enforce the subsystem objectives. This node instantiates and composes relevant automata or other nodes. In this case, DCS is performed on each subsystem instead of on the whole system. This decreases the DCS execution time and its resources consumption.

Figure 3c shows an example of use of modular DCS in Heptagon/BZR. The Room node is instantiated twice, to model a small building of two rooms. This Building node takes as input two flows  $pr1$  and  $pr2$ , modelling the value of a presence sensor in each room. Then, we add a global *contract*, in this node, ensuring that for each room, both lamps are switched on when a presence is detected by the associated

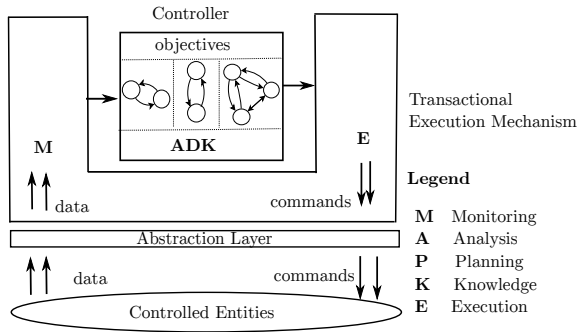


Figure 4: LINC combined with Heptagon/BZR Control Loop

presence sensor. These objectives are enforced by means of two controllable variables: `c_room1` and `c_room2`.

c) *Execution of a Heptagon/BZR program:* The compilation of a Heptagon/BZR program generates a C code that includes a function called `step`. In case of modular DCS, several `step` are generated (one for each node with a contract) but one of them is the main `step`. The `step` (or the main `step` in case of modular DCS) takes as parameter the current values of the inputs, computes the outputs and updates the state of the automaton modelling the system, in a reaction loop.

One execution of the `step` function corresponds to one reaction of the system. Hence, the `step` must be executed each time a reaction is required. Executing the `step` requires to ensure the consistency between the state of the automaton (that models the system) and the state of the actual system. This is done by combining LINC and Heptagon/BZR.

2) *Combination of LINC and Heptagon/BZR:* It allows to design and implement a control loop with a behavioural reliability and a transactional reliability. The behavioural reliability is the absence of conflicts and objectives violations. The transactional reliability is the absence of inconsistencies resulting from communication errors or hardware failures.

Figure 4 presents a control loop designed through the combination of LINC and Heptagon/BZR. The controller of this loop is a `step` function. The `step` computes correct and coherent commands based on knowledge about the environment (composition of automata). The `step` is executed by a LINC rule that collects the sensor data and executes the computed commands. This rule is triggered each time an event occurs (a new sensor value is produced). When triggered, the rule invokes the `step` with the collected data to compute the commands to send. Then the rule, in a transaction, sends the commands and executes the `step` to update the state of the automaton. If a command cannot be sent, the `step` is not executed and the state of the automaton remains consistent with the state of the actual system. More details about the combination of LINC and Heptagon/BZR can be found in [22].

#### D. Paper Contribution

We know how to build a single control loop, with two kinds of reliability, for smart environments. This paper addresses the composition of multiple control loops and their adaptation.

### III. CONTROL LOOPS COMPOSITION

This section presents patterns for control loops composition.

#### A. Parallel control loops

Achieving a smart environment objectives (e.g., comfort, security) requires to design and implement multiple control loops. The control loops are in parallel and can have different types of controllers (e.g., set of rules, `step`). Each control loop consists of a set of objects and one or more LINC rules. For each controller one or more objects execute the rules. The objects and the rules of the control loops are independent.

1) *Example of parallel control loops:* Let us consider a room equipped with a mechanical ventilation, a door and three sensors (indoor presence, indoor  $CO_2$  and outdoor presence). The devices of this room must be managed to achieve two objectives. The first objective is to maintain the  $CO_2$  concentration under 800 PPM. The second objective is to open the door when an outdoor presence is detected and close it otherwise. The first objective requires a physical model of the room and the  $CO_2$  variation. Hence, this objective is achieved by a control loop with a MPC based controller. The second objective is in the format of if then else and hence, it is achieved by a control loop with a hand written controller.

2) *Problems of parallel control loops:* Controllers of Parallel loops can be conflicting. This happens in two cases. First, when the effect of a controller violates an objective of one or more other controllers. For instance, let us consider a controller that opens the window of a room for natural ventilation. This violates the objective of another controller that uses the HVAC to heat the room. To minimise the energy consumption of the HVAC, this conflict must be avoided.

The second case in which controllers are conflicting is when they have, at the same instant, contradictory actions on the same actuator. For instance, let us consider two controllers  $C_1$  and  $C_2$ .  $C_1$  opens the window to cool the room when specific conditions are true (i.e., presence detected, indoor temperature high and outdoor temperature low).  $C_2$  closes the window when a presence is detected and the outdoor noise is high.  $C_1$  and  $C_2$  conflict on the window when the room must be cooled (i.e., presence detected and indoor temperature high), the outdoor temperature is low and the outdoor noise is high. Conflicts are avoided by coordinating the control loops.

#### B. Coordinated parallel control loops

This is done as illustrated in Figure 5. A coordinator is in charge of controlling specific controllers of the loops based on data collected from the environment. A controller is controllable if it can be inhibited (its objective is not mandatory) and/or prevented from performing a specific action. Such a controller has a set of input parameters, called coordination variables, that allow to control it. For instance, let us consider the controller example  $C_1$  that cools the room by opening the window. This controller has a coordination variable named `window_access`. The value of `window_access` (Usable or NotUsable) specifies to  $C_1$  if it can use the window or not. If the window cannot be used,  $C_1$  uses the room HVAC.

The coordination consists in giving appropriate values to controllers coordination variables. This is done manually in LINC when the number of control loops is not high. Otherwise, this is done automatically in Heptagon/BZR.

1) *Coordination in LINC*: This is done by writing coordination rules. A coordination rule verifies specific conditions and gives appropriate values to specific controllers coordination variables. For instance, let us consider the controllers  $C_1$  and  $C_2$ . A coordination rule is written to avoid the conflict on the window. This rule first verifies if the last command computed by  $C_2$ , stored in a specific bag, is `close`. If so, the rule gives the value `NotUsable` to the variable `window_access` of  $C_1$ .

When the number of control loops is high, manually writing rules is tedious and can be error prone. In this case, the coordination is done using Heptagon/BZR. This ensures, through behavioural model and DCS, that all conflicts are avoided.

2) *Coordination in Heptagon/BZR*: The coordinator is a `step` function that is executed by a LINC rule. The `step` takes as parameter the collected data and computes a set of outputs. The computed outputs correspond to the values of specific controllers coordination variables. These variables are stored by the rule that executes the `step` in dedicated bags and are read by the rules of the controllable controllers.

The `step` is obtained through the design and the compilation of a Heptagon/BZR program. This program consists of a set of automata that model the actions of the controllers and a node with *contract*. The *contract* defines a set of properties that must be enforced for the coordination of the control loops. Once generated, the `step` function is executed by one LINC rule, following the execution scheme presented in [22].

a) *Controller actions modelling*: The actions a controller can perform on a shared actuator are modelled as an automaton. This automaton has states that correspond to the actions of the controller and an `Idle` state. In the `Idle` state, the controller does not perform an action. Each transition of the automaton is associated to the conditions that trigger the action corresponding to its target state. For a controller that is controllable, the states of the automaton are associated to equations that give values to the coordination variables of the controller. The automaton transitions can be associated to controllable variables that are used to enforce the properties.

For instance, Figure 6 shows the automaton that models the actions of the controller example  $C_1$  on the window. This automaton is contained in a node that has four input flows and two output flows. The input flows correspond to the inputs of  $C_1$  (presence, indoor and outdoor temperature) and a variable `c` (controllable variable). The output flow `window_access` is the coordination variable of  $C_1$ . The output flow `open_window` specifies if the window is opened or not by  $C_1$ . The automaton has an `Idle` state and two other states that correspond to the actions of  $C_1$  on the window (use, not use). Each state is associated to two equations that give values to the output flows. Initially, the automaton is `Idle`. At this state `window_access` is equal to `Usable` and `open_window` is false. This means that  $C_1$  is allowed to use the window but does not need to open it. When, the room must be cooled, depending on the value

of `c` the automaton goes to `State1` or `State2`. For instance, when the room must be cooled and `c` is false, the automaton goes to `State2` and `window_access` is equal to `NotUsable`.

Figure 7 shows the automaton that models the actions of the controller example  $C_2$  on the window. Initially, the automaton is `Idle`. When a presence is detected and the outdoor noise is high, the automaton goes to `State1`. At this state, the output flow `close_window` is true. This means that  $C_2$  closed the window. This automaton does not have controllable variables because  $C_2$  cannot be prevented from performing an action on the window. The automaton is used to observe the action.

To coordinate  $C_1$  and  $C_2$  in order to avoid the conflict on the window, a Heptagon/BZR node with a *contract* is defined. This node is shown in Figure 8. It instantiates and composes, in parallel, the automata modelling the actions of  $C_1$  and  $C_2$ . This node defines a *contract* that prevents from opening and closing the window at the same instant. This node takes as input specific sensor data and returns the value of `window_access`.

b) *Need for distributed coordination*: Using one coordinator for all the parallel loops can lead to expensive discrete controller synthesis. Indeed, the coordinator is designed using a global automaton that is the parallel composition of several automata (at least one for each loop). This automaton can have a large state space when the number of control loops is high.

This can also lead to performances degradation at runtime. Indeed, the rule that executes the `step` reads all the collected data in its precondition part. This can make the object that executes the rule build an inference tree with a large size.

c) *Distributed coordination*: Several coordinators are designed instead of one. In this case, the control loops are grouped into several subsets depending on the potential conflicts. Each subset of control loops is then coordinated by a `step` function that is executed by a LINC rule. Each rule reads relevant data instead of all. These LINC rules are executed by different objects. Each object builds a limited size of inference tree and the objects are distributed to increase the performance.

However, using one coordinator for each subset of control loops can still be costly and lead to performance degradation. When the number of control loops in a subset is high, the rule of the coordinator reads several data in its precondition. Hence, it may be required to design several coordinators for each control loops subset. Instead of designing a large number of coordinators, the control loops are composed hierarchically.

### C. Hierarchic control loops

Control loops are designed with one or more hierarchy levels, as illustrated in Figure 9. This is motivated by hierarchical structuring in the target environment. For instance, a building consists of a set of floors and each floor is composed of rooms and corridors. Designing hierarchic control loops allows to consider large environments. This also allows for reusability. The controller of a loop can be reused, without modification to build another controller with an upper level of hierarchy.

1) *Hierarchy in LINC*: This is manually done by creating objects and writing a set of rules for each control loop. Each rule of a control loop first reads data that can be produced

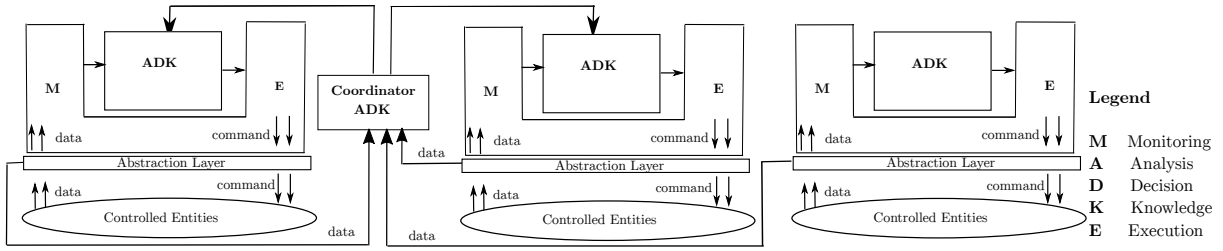


Figure 5: Coordinated parallel control loops

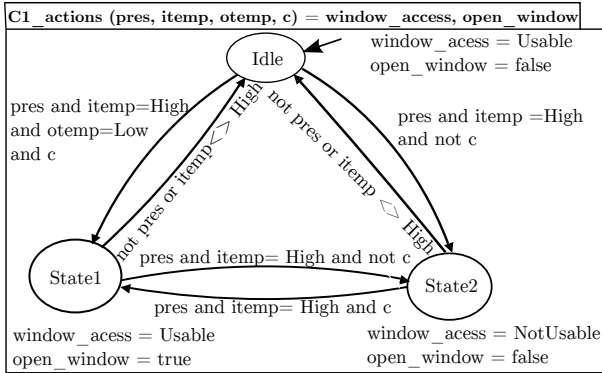


Figure 6:  $C_1$  actions on the window

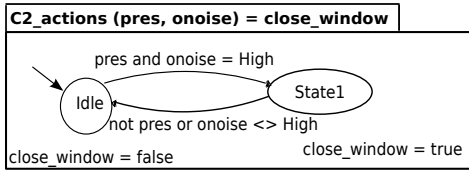


Figure 7:  $C_2$  actions on the window

by sensors or other rules (e.g., with an upper hierarchy level). Then, it makes decisions that can be commands to send to specific actuators or data to store in bags for other rules.

When the environment is large, to prevent from conflicting rules, Heptagon/BZR is used to perform the hierarchy.

2) *Hierarchy with Heptagon/BZR and modular DCS*: With Heptagon/BZR and modular DCS, the hierarchy is straightforward and is obtained as follows. The controllers, of the control loops, that have the lowest level of hierarchy are first designed. Each one of these controllers is obtained by defining a Heptagon/BZR node with a *contract* to enforce specific objectives. Then, these Heptagon/BZR nodes can be composed, hierarchically, to obtain controllers with a higher level of hierarchy. A multi-level hierarchy is obtained by, hierarchically, composing the Heptagon/BZR nodes of the

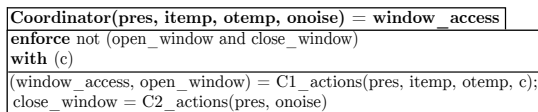


Figure 8: Coordination of  $C_1$  and  $C_2$

controllers (that do not have the same level of hierarchy).

For instance, let us consider the hierarchical control loops presented in Figure 9. The controllers  $ctrl1$ ,  $ctrl2$  and  $ctrl3$  are first designed, as shown in Figure 10a for  $ctrl1$ . Then,  $ctrl4$  is designed by composing  $ctrl1$  and  $ctrl2$  as shown in Figure 10b before being reused to obtain  $ctrl5$ .

3) *Runtime structure of a hierarchic control loop*: Let us consider, in Figure 9, the hierarchic control loop composed of the controllers  $ctrl1$ ,  $ctrl2$  and  $ctrl4$ . The runtime structure of this control loop is not the same depending on whether it is built using LINC or using Heptagon/BZR.

a) *The control loop is built using LINC*: In this case, the controllers ( $ctrl4$ ,  $ctrl1$ ,  $ctrl2$ ) consist of three sets of LINC rules. These sets of LINC rules are separated and the control loop has the structure that is presented in Figure 9.

b) *The control loop is built using Heptagon/BZR*: In this case, each controller is a step function. The step of  $ctrl4$  ( $step\_ctrl4$ ) is the main step function. It performs a global (synchronous) reaction by invoking at the same instant the step of  $ctrl1$  and the step of  $ctrl2$ . To enable the execution of the controllers,  $step\_ctrl4$  is executed by a LINC rule following the execution scheme presented in [22].

Figure 11 presents the runtime structure of the control loop. When a relevant event occurs, the rule is triggered to invoke  $step\_ctrl4$  which executes the two other step functions at the same instant. This runtime structure is limited by the fact that one LINC rule is used to execute three controllers.

This can be improved using the following solution. First, each step ( $step\_ctrl1$ ,  $step\_ctrl2$ ,  $step\_ctrl4$ ) is executed by a LINC rule following the execution scheme presented in [22]. Then, the execution of the three rules is handled appropriately, i.e., taking into account two facts. The first fact is that one reaction of  $step\_ctrl4$  is synchronous and involves the execution of both  $step\_ctrl1$  and  $step\_ctrl2$ . The second fact is the relation between the inputs and the outputs of  $step\_ctrl1$  and  $step\_ctrl2$ . One of these step functions can have an output which is an input of the other.

For instance, let us assume that  $step\_ctrl2$  has an output which is an input of  $step\_ctrl1$ . In this case, the execution of the three LINC rules is handled as follows. When a relevant event occurs, the rule that executes  $step\_ctrl4$  is first executed, to produce the inputs that  $step\_ctrl4$  gives to  $step\_ctrl1$  and to  $step\_ctrl2$ . Then, the rule that executes  $step\_ctrl2$  is executed before the rule that executes  $step\_ctrl1$ , to produce the output of  $step\_ctrl2$  which is



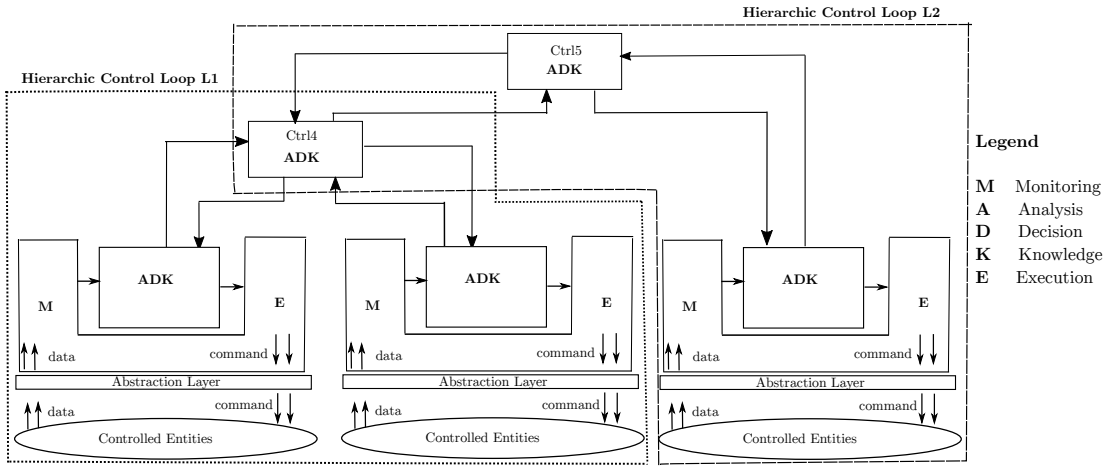


Figure 9: Hierarchic control loops

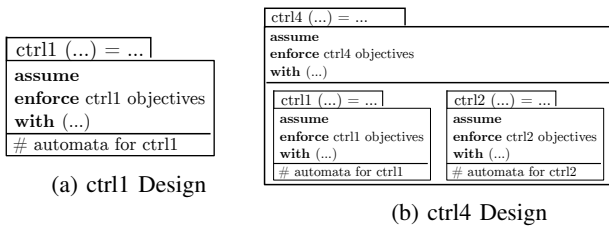


Figure 10: ctrl1 and ctrl4 Design

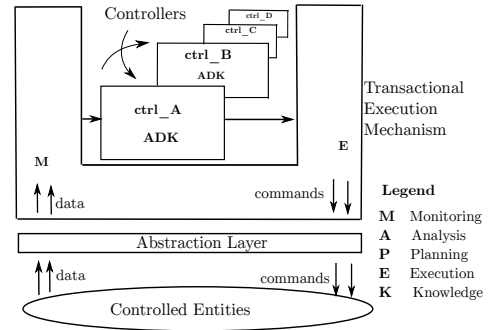


Figure 12: Control loop adaptation

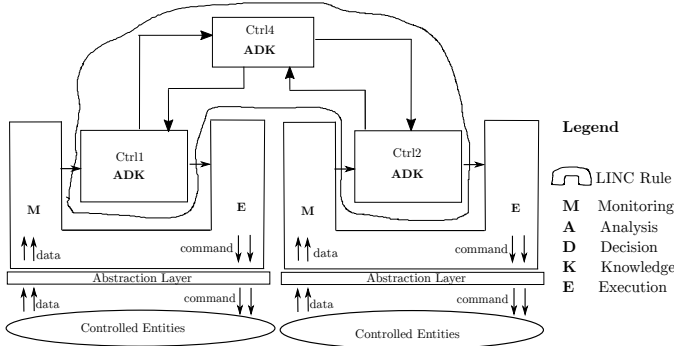


Figure 11: Hierarchy in Heptagon/BZR

an input of `step_ctrl1`. The three LINC rules are executed in one logical instant (i.e., with the same inputs values). This is done because the reaction of `step_ctrl4` is synchronous.

In summary, this solution consists in enabling the distributed execution of a synchronous program. When the number of `step` functions is high, a solution with formal verification, as the one proposed in [9], can be used for behavioural reliability.

#### IV. CONTROL LOOPS ADAPTATION

A control loop for smart environment must adapt to the changing objectives. The reason is that a realistic smart environment has several configurations with different objectives. For instance, the objectives to achieve in an office during working time are different from those to achieve during

holidays. The adaptation of a control loop is done through the reconfiguration of its controller. This is enabled by the design of several controllers, instead of one, for a control loop. First, a controller is designed for each configuration of the environment. Then, the reconfiguration is done, as illustrated in Figure 12, by deactivating the current controller and activating another one when specific conditions are true.

When the controller to activate is a `step` function, the reconfiguration is not straightforward. It is possible only if the current state of the environment is an acceptable state of the controller. Otherwise the reconfiguration is not possible.

Let us consider a system and a controller designed in Heptagon/BZR (`step` function). To achieve its objectives, the controller accepts specific states of the system and rejects the other states (i.e., those that can violate its objectives). A state rejected by a controller is not allowed to be reached when the controller is activated. Hence, activating a controller that is a `step` requires to verify if the current state of the environment is accepted by the controller. This not an easy task in general. The reason is that a state is accepted by a controller if it:

- belongs to the controller state space (set of known states);
- does not violate an objective of the controller;
- does not lead, through one or several uncontrollable transitions, to a state that violates an objective.

However, in a smart environment, the activation of a controller that is a *step* can be done using particular assumptions. For instance, the controller can be designed in such a way that its initial state is equal to a specific state of the environment. This allows to activate the controller when this state is reached. For instance, an office can have a night state where it is not occupied, completely closed, not heated and not ventilated. This state can be used as the initial state of the office controller that is a *step*. This enables its activation, at night.

1) *Controller reconfiguration*: Let us consider an office that has two configurations: working time and holidays. Each configuration is associated to a controller (*step function*) that achieves specific objectives. These controllers have the same initial state which is the office night state. Each controller is executed by a LINC rule following the execution scheme presented in [22]. These LINC rules, called execution rules, are designed in such a way that they can be automatically activated or deactivated. For this, a LINC bag called *CurConfiguration* is first created. This bag contains one tuple indicating the office current configuration (e.g., working time). Then, the execution rules are designed, as shown in Listing 2 for the execution rule of the working time controller.

```

1 ["Office", "CurConfiguration"].rd("workingTime") &
  # precondition operations
3 ::
4 {"Office", "CurConfiguration"].rd("workingTime");
5 # operations for commands sending
  # operations for the working time step execution }.

```

Listing 2: Working time execution rule

A *rd* on the configuration of the controller is performed in the beginning of the precondition and in the beginning of each transaction. This ensures that an execution rule is triggered only if the tuple corresponding to the configuration of its controller is present in the bag *CurConfiguration*. Hence, switching a controller for another one consists in removing the tuple of the bag *CurConfiguration* and adding the appropriate tuple. This is done by writing a LINC rule. For instance, Listing 3 presents the LINC rule written to switch the working time controller for the holidays one. This LINC rule is triggered when the event *holidays\_started* occurs and the night state of the office is reached. The performance of this LINC rule consumes the event and the current configuration tuple (*workingTime*) and inserts the *holidays* tuple.

```

2 ["Office", "Event"].rd("holidays_started") &
3 ["Office", "States"].rd("night") &
4 ["Office", "CurConfiguration"].rd("workingTime")
5 ::
6 {"Office", "Event"].get("holidays_started");
  {"Office", "CurConfiguration"].get("workingTime");
  ["Office", "CurConfiguration"].put("holidays"); }.

```

Listing 3: Switching rule example

When the controllers are numerous or the conditions of their activation/deactivation depend on past states and/or events, the reconfiguration is done, automatically, using Heptagon/BZR.

a) *Reconfiguration through Heptagon/BZR*: This is done by designing a Heptagon/BZR program that consists of a set of automata (one for each controller) and a *contract* to

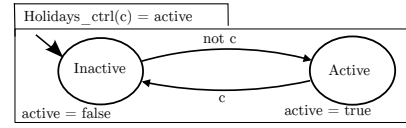


Figure 13: Holidays controller node

```

Reconfig(holidays_started, workingTime_started, night) =
active_holidays_ctrl, active_workingTime_ctrl
assume not (holidays_started and workingTime_started)
enforce (holidays_started and night) ==> active_holidays_ctrl
        (workingTime_started & night) ==> active_workingTime_ctrl
with (c1,c2)
active_workingTime_ctrl = WorkingTime_ctrl(c1);
active_holidays_ctrl = Holidays_ctrl(c2)

```

Figure 14: Controller reconfiguration

enforce a set of properties. Then, the Heptagon/BZR program is compiled to generate *step function*. This *step* takes as parameter a set of inputs and returns the controller to activate. This *step* is executed by a LINC rule which updates the bag *curConfiguration* to activate the specified controller.

For instance, let us consider the office example. To enable the reconfiguration, each controller (*holidays* and *working time*) is modelled as an automaton, as shown in Figure 13 for the *holidays* controller. Initially, the automaton is *inactive*. When specific conditions occur, it becomes *active*.

Then, the Heptagon/BZR node presented in Figure 14 is defined. This node instantiates and composes the automata of the two controllers. The *contract* ensures that when the event *holidays\_started* (resp. *workingTime\_started*) occurs and the night state of the office is reached, the *holidays* (resp. *working time*) controller is active. This is done knowing that the two events (*holidays\_started*, *workingTime\_started*) do not occur at the same instant.

## V. CASE STUDY

The aim of the case study is to control an office in order to achieve a set of target objectives. The case study is first described. Then, the objectives are achieved through parallel loops, coordinated parallel loops and hierarchical loops.

### A. Office description

The considered office consists of a room with: a window, a shutter, a door, a lamp, a Mechanical Ventilation (MV), a Reversible Air Conditioner (RAC) and a set of sensors (i.e., presence, luminosity, CO<sub>2</sub>, noise, temperature). Sensors are installed outside the room to enquire outdoor conditions. The actuators and sensors of the room use different communication technologies (e.g., EnOcean, TelosB). Information about the meetings (day, time, features) in the office can be obtained through a specific agenda. The objectives to achieve are:

- **For comfort**, when a presence is detected, the luminosity must be between 500 and 600 lux and the noise level must be lower than 80 dB;
- **For comfort**, when a presence is detected and the temperature is lower than 17°C (resp. greater than 27°C), the room must be heated (resp. cooled);

- **For air quality**, the room must be ventilated when a presence is detected and the CO<sub>2</sub> exceeds 800 ppm;
- **For energy savings**, natural lighting, ventilation, heating and cooling are preferred to artificial lighting, ventilation, heating and cooling;
- **For confidentiality**, the office must be completely closed during a confidential meeting;
- **For air quality**, the room must be quickly ventilated between two meetings separated by less than 30 min;
- **For air quality**, the room must not be polluted by pollen or outdoor CO<sub>2</sub>.

### B. Objectives achievement through parallel loops

The objectives of the office are achieved by designing two control loops that do not communicate: **Luminosity**, **TempAirNoiseConfid**. The control loops are designed through the combination of LINC and Heptagon/BZR, to benefit from behavioural reliability and transactional reliability.

The controller of each loop achieves a set of objectives. For this, it takes as parameter a set of inputs and computes commands to send to specific actuators. For instance, the controller of **Luminosity** control loop maintains the luminosity between 500 and 600 lux when a presence is detected. It takes as input the values measured by two sensors (i.e., presence and outdoor luminosity) and computes commands to send to the lamp and the shutter. The controller of the **TempAirNoiseConfid** control loop achieves the objectives that are related to temperature, air quality, noise and confidentiality. This controller takes as input a set of values measured by specific sensors and information related to meetings. It computes commands for the window, the RAC, the MV, the door and the shutter.

Each controller is a **step** function generated through the compilation of a Heptagon/BZR program. This program consists of automata, that model the actuators targeted by the controller, and a *contract* to enforce the controller objectives.

1) *Example of control loop design*: Let us consider the **Luminosity** control loop. The abstraction layer consists of two PUTUTU objects (**TelosB**, **EnOcean**) that allow to communicate with the loop target sensors and actuators. The controller is designed using two automata, that model a lamp and a shutter, and defining a Heptagon/BZR node with a *contract*. The lamp automaton is the one presented in Figure 3a.

Figure 15 presents the automaton that models the shutter. This automaton has two states (**Closed** and **Opened**). At each state, **lum** and **air** are associated to respectively specify the luminosity provided by the shutter and if it allows outdoor air to pass. For instance, when the shutter is closed, it provides a luminosity equal to 0 and does not allow outdoor air to pass. Initially, the automaton is at the state **Closed**. At this state, when the input flow **c** is false, the automaton goes to **Opened** and produces the command **open**. The transitions going from a given state to a different one are associated to **not c**. This allows to open or close the shutter only when necessary.

Figure 16 presents the node defined to enforce the objective of the **Luminosity** controller. This node has two input flows (**presence** and **o\_lum**) and two output flows (**shutterCmd**

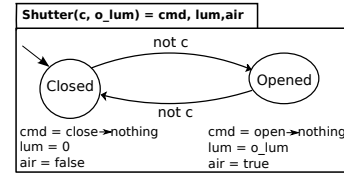


Figure 15: Shutter node

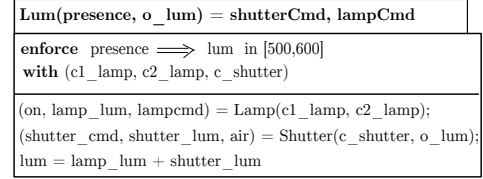


Figure 16: Room luminosity node

and **lampCmd**). The input flows respectively represent the values measured by the presence sensor and outdoor luminosity sensor. The output flows respectively correspond to the commands to send to the shutter and to the lamp. This node defines a **contract** to enforce the target objective, through DCS, with the controllable variables **c1\_lamp**, **c2\_lamp** and **c\_shutter**. These controllable variables are respectively related to the lamp and the shutter. The luminosity objective is expressed as follows: **presence**  $\Rightarrow$  **lum** in [500,600] where **lum** is equal to the sum of the luminosity provided by the shutter and the lamp. The fact that natural lighting is preferred to artificial lighting to save energy is expressed by declaring the controllable variables of the lamp before the one of the shutter. The compilation of the Heptagon/BZR program (i.e., nodes presented in Figures 3a, 15, and 16) generates a **step** function. This function is executed by a LINC rule.

Figure 17 presents an execution trace of the **Luminosity** control loop. The variables **time**, **presence** and **o\_lum** correspond to the time (in hour) and to the values measured by the presence sensor and the outdoor luminosity sensor. The variables **shutter\_opened** and **lamp\_on** correspond to the state of the shutter and the lamp. At 7 AM, a presence is not detected in the office and the outdoor luminosity is equal to 500 lux. In this case, the shutter is closed and the lamp is off. At 8 AM, a presence is detected (one office member arrives) and the outdoor luminosity is still equal to 500. In this case, the loop opens the shutter to maintain the luminosity between 500 and 600 lux while minimising the energy consumption. At 11 AM, the presence is still detected and the outdoor luminosity is equal to 700 lux. In this case, the loop switches on the lamp and closes the shutter, to achieve the objective. At 12, the presence is not detected, the loop switches off the lamp.

Each one of the control loops behaves correctly. However, during a confidential meeting, they conflict on the shutter. To avoid this conflict, the control loops must be coordinated.

### C. Objectives achievement through coordinated parallel loops

This is done by designing one coordinator in addition of the control loops **Luminosity** and **TempAirNoiseConfid**. This

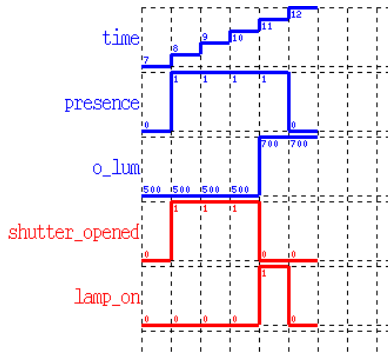


Figure 17: Luminosity controller behaviour

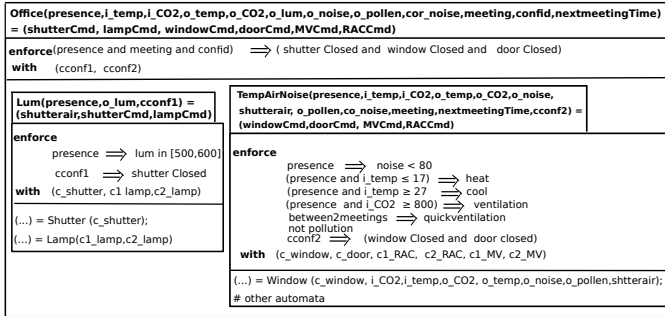


Figure 18: Modular DCS for the office

is done by first modelling for each control loop, the actions it can perform on the shutter, as an automaton. Then, defining a Heptagon/BZR *contract* with an *enforce* part specifying that the shutter must not be closed and opened at the same instant. The Heptagon/BZR program was compiled and the generated *step* function was executed in a LINC rule. The composition pattern coordinated parallel loops prevents from conflicts.

However, it has a design cost that, with respect to the discrete controller synthesis time, is higher than the one of hierarchic and hence is less scalable. To give an illustration, the objectives of the office are first achieved through hierarchic loops. Then, a comparison between the design costs is done.

#### D. Objectives achievement through hierarchic loops

This is done by designing two control loops: Lum and TempAirNoise. Each of them has a controller that is designed in Heptagon/BZR to achieve specific objectives. The Lum controller achieves the luminosity objective using the shutter and the lamp. The TempAirNoise controller achieves the objectives related to air quality and noise using the window, the RAC, the MV and the door. These controllers are not independent and must be managed. Indeed, heating, cooling as well as ventilating by opening the window requires the shutter be opened. Moreover, during a confidential meeting the shutter, the door and the window must be closed. The management is done hierarchically by a another controller called Office.

Figure 18 presents the node defined to design the Office controller. This node takes as input all sensors data and information related to meetings and returns the commands to send.

Table I: Comparison of coordinated parallel and hierarchic

| Considered Environment    | Coordinated Parallel Loops | Hierarchic Loops |
|---------------------------|----------------------------|------------------|
| Office (7 automata)       | 3.9 s                      | 1.2 s            |
| Open-space1 (10 automata) | 6.6 s                      | 2.08 s           |
| Open-space2 (13 automata) | 12.6 s                     | 4 s              |
| Open-space3 (16 automata) | 22.3 s                     | 7.7 s            |

It reuses the node of the controllers Lum and TempAirNoise and manages them. This is done by enforcing the confidentiality objective and providing TempAirNoise with information about the shutter state (shutterair). The compilation of this node performs modular DCS and generates three step.

#### E. Design cost comparison

Table I compares the execution time of DCS for parallel coordinated loops and hierarchic loops in four different environments. These environments consists of the office and three different open-spaces. The first open-space consists of two lamps, two shutters, two windows, one door, one RAC, one MV and one agenda. The second open-space has one more lamp, shutter and window than the first open-space and so on. The synthesis of the controllers was performed on a computer with a processor Intel i5 (2.4 GHz) and 4 GB of RAM.

The table shows that the pattern hierarchic control loops is less expensive, in terms of DCS execution time, than coordinated parallel loops. This is enabled by modular DCS.

#### F. Discussion about the case study

When designing the control loops Luminosity and the TempAirNoiseConfid, the proposed framework allowed for transparent communication with the office sensors and actuators. This was done by using specific objects that are defined in PUTUTU and did not require particular skills or knowledge.

The framework also allowed for the automatic synthesis of the controllers through high level description of the actuators behaviours and the objectives. This prevented from manually achieving the objectives of each control loop. Manually achieving the objectives of a control loop would require to detect and avoid conflicts and make sure that they are all avoided. For instance, let us consider the TempAirNoiseConfid control loop. An example of conflict is: open the window for cooling and close it, at the same instant, due to specific conditions related to outdoor noise, outdoor CO<sub>2</sub> or pollen.

Finally, the framework allowed for the control loops coordination and execution to achieve the objectives of the office.

## VI. RELATED WORK

In [1], [14], [13], [26], the authors propose solutions for adaptive systems. These solutions focus on the design of a single autonomic loop. This is limiting for large systems.

In [25], [5], [12], [24], the authors propose multiple autonomic loops for the management of large and/or complex adaptive systems. They identify one or more composition patterns (e.g., collaboration, hierarchy) and explain how they are coordinated to avoid conflicts. However, the coordination

is not based on a formal method to verify or ensure that all the conflicts are actually avoided, as we do in our approach.

In [15], [10], [2], [3], the authors propose solutions for reliable multiple autonomic loops. These solutions are based on a transition system to verify or ensure that the multiple autonomic loops are appropriately designed and/or coordinated. Our approach goes further by ensuring that the design, the coordination and the execution are appropriately done, we provide behavioural reliability and transactional reliability.

## VII. CONCLUSION

This paper has proposed a design framework for reliable multiple autonomic loops. This paper targets the application domain of smart environments but the framework can be applied to other domains (e.g., distributed systems deployment).

The proposed framework allows for the reliable adaptation of realistic systems, where several objectives must be achieved, through the design and the coordination of multiple autonomic loops. Each autonomic loop is reliably designed by combining an automata based controller, a transactional execution mechanism and an abstraction layer that hides the heterogeneity of the devices. The controller makes correct and coherent decisions (without conflicts) that are reliability executed by the transactional execution mechanism (without inconsistencies caused by communication errors or hardware failures). In the proposed framework, autonomic loops can be composed using the appropriate pattern (parallel, coordinated parallel, hierarchic), taking into account the impact on design and execution. Autonomic loops adaptation to deal with changing objectives in realistic smart environments is also proposed.

The propose framework was implemented using the transactional middleware LINC, the abstraction framework PUTUTU and the reactive language Heptagon/BZR. It was illustrated through a case study in the field of building automation.

An important perspective of this work is improve the runtime architecture of a hierarchic loop, the distribution of its controllers. Another perspective is to provide a domain specific language that allows developers to describe their target environments and objectives. Then, the aim will be to generate single or multiple autonomic loops that achieve the objectives.

## ACKNOWLEDGEMENTS

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