

# Minimal lectures on two-dimensional conformal field theory

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# Minimal lectures on two-dimensional conformal field theory

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## Abstract

We provide a brief but self-contained review of conformal field theory on the Riemann sphere. We first introduce general axioms such as local conformal invariance, and derive Ward identities and BPZ equations. We then define Liouville theory and minimal models by specific axioms on their spectrums and degenerate fields. We solve these theories by computing three- and four-point functions, and discuss their existence and uniqueness.

*Lectures given at the Cargèse school on “Quantum integrable systems, conformal field theories and stochastic processes” in September 2016, under the title “Conformal bootstrap approach to Liouville theory”.*

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## 0 Introduction

Since the time of Euclid, mathematical objects are defined by axioms. Axiomatic definitions focus on the basic structure of the defined objects, thereby avoiding alternative constructions that may be less fundamental. For example, in conformal field theory, the axiomatic approach (also called the conformal bootstrap approach) makes functional integrals unnecessary. We will define Liouville theory and minimal models by a sequence of axioms, starting with local conformal symmetry. Our axioms are necessary conditions. Their mutual consistency, in other words the existence of Liouville theory and minimal models, will be tested but not proved.

In the first three sections, most axioms are common to all two-dimensional conformal field theories. These axioms specify in particular how the Virasoro symmetry algebra acts on fields, and the existence and properties of the operator product expansion. Next, we introduce additional axioms that single out either Liouville theory, or minimal models. In particular, these axioms determine the three-point functions. Finally we check that these uniquely defined theories do exist, by studying their four-point functions.

This text was written with brevity as the main concern, so as to be the basis for about four hours' worth of lectures. For more details, see the review article [1].

# 1 The Virasoro algebra and its representations

## 1.1 Algebra

**Axiom 1.1** (Local conformal symmetry)

*Liouville theory has two-dimensional local conformal symmetry.*

By definition, conformal transformations are transformations that preserve angles. In two dimensions with a complex coordinate  $z$ , any holomorphic transformation preserves angles. Infinitesimal conformal transformations are holomorphic functions close to the identity function,

$$z \mapsto z + \epsilon z^{n+1} \quad (n \in \mathbb{Z}, \epsilon \ll 1). \quad (1.1)$$

These transformations act on functions of  $z$  via the differential operators

$$\ell_n = -z^{n+1} \frac{\partial}{\partial z}, \quad (1.2)$$

and these operators generate the Witt algebra, with commutation relations

$$[\ell_n, \ell_m] = (n - m)\ell_{m+n}. \quad (1.3)$$

The generators  $(\ell_{-1}, \ell_0, \ell_1)$  generate an  $sl_2$  subalgebra, called the algebra of infinitesimal global conformal transformations. The corresponding Lie group is the group of conformal transformations of the Riemann sphere  $\mathbb{C} \cup \{\infty\}$ ,

$$z \mapsto \frac{az + b}{cz + d}. \quad (1.4)$$

**Exercise 1.2** (Global conformal group of the sphere)

*Show that the global conformal group of the sphere is  $PSL_2(\mathbb{C})$ , and includes translations, rotations, and dilatations.*

In a quantum theory, symmetry transformations act projectively on states. Projective representations of an algebra are equivalent to representations of a centrally extended algebra. This is why we always look for central extensions of symmetry algebras.

**Definition 1.3** (Virasoro algebra)

*The central extension of the Witt algebra is called the Virasoro algebra. It has the generators  $(L_n)_{n \in \mathbb{Z}}$  and  $\mathbf{1}$ , and the commutation relations*

$$[\mathbf{1}, L_n] = 0 \quad , \quad [L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}(n - 1)n(n + 1)\delta_{n+m,0}\mathbf{1}, \quad (1.5)$$

*where the number  $c$  is called the central charge. (The notation  $c\mathbf{1}$  stands for a central generator that always has the same eigenvalue  $c$  in a given conformal field theory.)*

**Exercise 1.4** (Uniqueness of the Virasoro algebra)

*Show that the Virasoro algebra is the unique central extension of the Witt algebra.*

## 1.2 Representations

The spectrum, i.e. the space of states, must be a representation of the Virasoro algebra. Let us now make assumptions on what type of representation it can be.

**Axiom 1.5** (Representations that can appear in the spectrum)

The spectrum is a direct sum of irreducible representations. In the spectrum,  $L_0$  is diagonalizable, and the real part of its eigenvalues is bounded from below.

Why this special role for  $L_0$ ? Because we want to interpret it as the energy operator. Since the corresponding Witt algebra generator  $\ell_0$  generates dilatations, considering it as the energy operator amounts to consider the radial coordinate as the time. We however do not assume that  $L_0$  eigenvalues are real or that the spectrum is a Hilbert space, as this would restrict the central charge to be real. The  $L_0$  eigenvalue of an  $L_0$  eigenvector is called its conformal dimension.

Let us consider an irreducible representation that is allowed by our axiom. There must be an  $L_0$  eigenvector  $|v\rangle$  with the smallest eigenvalue  $\Delta$ . Then  $L_n|v\rangle$  is also an  $L_0$  eigenvector,

$$L_0 L_n |v\rangle = L_n L_0 |v\rangle + [L_0, L_n] |v\rangle = (\Delta - n) L_n |v\rangle . \quad (1.6)$$

If  $n > 0$  we must have  $L_n |v\rangle = 0$ , and  $|v\rangle$  is called a primary state.

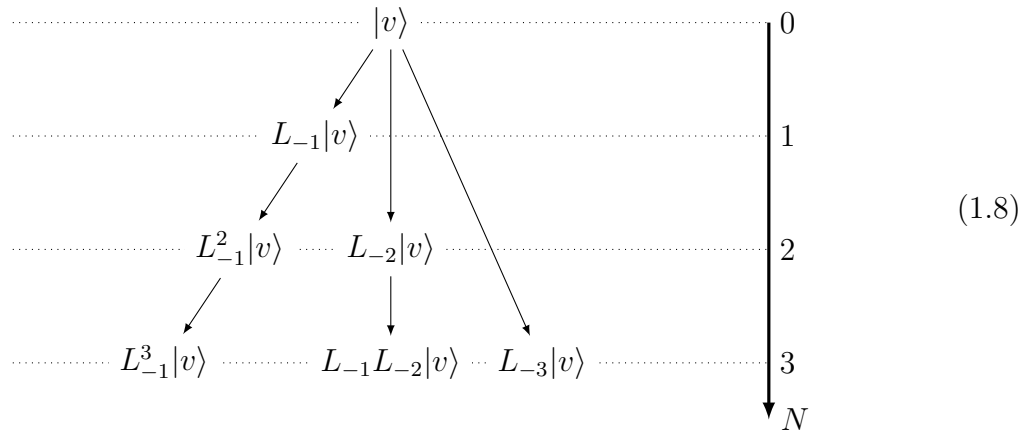
**Definition 1.6** (Primary and descendent states, level, Verma module)

A primary state with conformal dimension  $\Delta$  is a state  $|v\rangle$  such that

$$L_0 |v\rangle = \Delta |v\rangle \quad , \quad L_{n>0} |v\rangle = 0 . \quad (1.7)$$

A descendent of  $|v\rangle$  is a linear combination of states of the type  $|w\rangle = \prod_{i=1}^k L_{-n_i} |v\rangle$  with  $k > 0$  and  $0 < n_1 \leq n_2 \leq \dots \leq n_k$ . The level of such a state is the number  $N = \sum_{i=1}^k n_i$  such that  $L_0 |w\rangle = (\Delta + N) |w\rangle$ . The Verma module  $\mathcal{V}_\Delta$  is the representation whose basis is made of the states  $|w\rangle = \prod_{i=1}^k L_{-n_i} |v\rangle$  with  $k \geq 0$  and  $0 < n_1 \leq n_2 \leq \dots \leq n_k$ .

Let us plot a basis of primary and descendent states up to the level 3:



We need not include the state  $L_{-2}L_{-1}|v\rangle$ , due to  $L_{-2}L_{-1} = L_{-1}L_{-2} - L_{-3}$ .

Are Verma module irreducible representations? i.e. do they have nontrivial subrepresentations? In any subrepresentation of a Verma module,  $L_0$  is again diagonalizable and bounded from below, so there must be a primary state  $|\chi\rangle$ . If the subrepresentation differs from the Verma module, that primary state must differ from  $|v\rangle$ , and therefore be a descendent of  $|v\rangle$ .

### 1.3 Null vectors and degenerate representations

**Definition 1.7** (Null vectors)

A descendent state that is also primary is called a null vector or singular vector.

In the Verma module  $\mathcal{V}_\Delta$ , let us look for null vectors at the level  $N = 1$ . For  $n \geq 1$  we have

$$L_n L_{-1}|v\rangle = [L_n, L_{-1}]|v\rangle = (n+1)L_{n-1}|v\rangle = \begin{cases} 0 & \text{if } n \geq 2 \\ 2\Delta|v\rangle & \text{if } n = 1 \end{cases} \quad (1.9)$$

So  $L_{-1}|v\rangle$  is a null vector if and only if  $\Delta = 0$ , and the Verma module  $\mathcal{V}_0$  is reducible. Let us now look for null vectors at the level  $N = 2$ . Let  $|\chi\rangle = (L_{-1}^2 + aL_{-2})|v\rangle$ , then  $L_{n \geq 3}|\chi\rangle = 0$ .

**Exercise 1.8**

Compute  $L_1|\chi\rangle$  and  $L_2|\chi\rangle$ , and find

$$L_1|\chi\rangle = ((4\Delta + 2) + 3a)L_{-1}|v\rangle \quad , \quad L_2|\chi\rangle = (6\Delta + (4\Delta + \frac{1}{2}c)a)|v\rangle \quad . \quad (1.10)$$

Requiring that  $L_1|\chi\rangle$  and  $L_2|\chi\rangle$  vanish, find the coefficient  $a$ , and show that

$$\Delta = \frac{1}{16} \left( 5 - c \pm \sqrt{(c-1)(c-25)} \right) \quad . \quad (1.11)$$

In order to simplify this formula, let us introduce other notations for  $c$  and  $\Delta$ . We define

$$\text{the background charge } Q \quad , \quad c = 1 + 6Q^2 \quad , \quad \text{up to } Q \rightarrow -Q \quad , \quad (1.12)$$

$$\text{the coupling constant } b \quad , \quad Q = b + \frac{1}{b} \quad , \quad \text{up to } b \rightarrow \pm b^{\pm 1} \quad , \quad (1.13)$$

$$\text{the momentum } \alpha \quad , \quad \Delta = \alpha(Q - \alpha) \quad , \quad \text{up to reflections } \alpha \rightarrow Q - \alpha \quad . \quad (1.14)$$

The condition (1.11) for the existence of a level two singular vector becomes

$$\alpha = -\frac{1}{2}b^{\pm 1} \quad . \quad (1.15)$$

To summarize, singular vectors at levels 1 and 2 occur for particular values of  $\Delta$ . The singular vectors at levels  $N \leq 2$  can be written as  $L_{\langle r,s \rangle}|v\rangle$  where  $r, s$  are strictly positive integers such that  $rs = N$ ,

$N$	$\langle r, s \rangle$	$\Delta_{\langle r,s \rangle}$	$\alpha_{\langle r,s \rangle}$	$L_{\langle r,s \rangle}$
1	$\langle 1, 1 \rangle$	0	0	$L_{-1}$
2	$\langle 2, 1 \rangle$	$-\frac{1}{2} - \frac{3}{4}b^2$	$-\frac{b}{2}$	$L_{-1}^2 + b^2L_{-2}$
	$\langle 1, 2 \rangle$	$-\frac{1}{2} - \frac{3}{4b^2}$	$-\frac{1}{2b}$	$L_{-1}^2 + b^{-2}L_{-2}$

(1.16)

The pattern goes on at higher levels: singular vectors occur at level  $N$  for finitely many dimensions  $\Delta_{\langle r,s \rangle}$ , with

$$\alpha_{\langle r,s \rangle} = \frac{Q}{2} - \frac{1}{2}(rb + sb^{-1}) \quad . \quad (1.17)$$

(See Exercise 3.9 for a derivation.) If  $\Delta \notin \{\Delta_{\langle r,s \rangle}\}_{r,s \in \mathbb{N}^*}$ , then  $\mathcal{V}_\Delta$  is irreducible. If  $\Delta = \Delta_{\langle r,s \rangle}$ , then  $\mathcal{V}_\Delta$  contains a nontrivial submodule, generated by the singular vector and its descendent states. For generic values of the central charge  $c$ , this submodule is the Verma module  $\mathcal{V}_{\Delta_{\langle r,s \rangle} + rs}$ .

**Definition 1.9** (Degenerate representation)

The coset of the reducible Verma module  $V_{\Delta_{(r,s)}}$ , by its Verma submodule  $V_{\Delta_{(r,s)}+rs}$ , is an irreducible module  $\mathcal{R}_{(r,s)}$ , that is called a degenerate representation:

$$\mathcal{R}_{(r,s)} = \frac{\mathcal{V}_{\Delta_{(r,s)}}}{\mathcal{V}_{\Delta_{(r,s)}+rs}} \quad (1.18)$$

In this representation, the null vector vanishes,

$$L_{(r,s)}|v\rangle = 0 . \quad (1.19)$$

The vanishing of null vectors will be crucial for solving Liouville theory and minimal models.

## 2 Conformal field theory

Now that we understand the algebraic structure of conformal symmetry in two dimensions, let us study how the Virasoro algebra acts on objects that live on the Riemann sphere – the fields of conformal field theory. (Technically, fields are sections of vector bundles over the sphere.)

### 2.1 Fields

**Axiom 2.1** (State-field correspondence)

For any state  $|w\rangle$  in the spectrum, there is an associated field  $V_{|w\rangle}(z)$ . The map  $|w\rangle \mapsto V_{|w\rangle}(z)$  is linear. We define the action of the Virasoro algebra on such fields as

$$L_n V_{|w\rangle}(z) = L_n^{(z)} V_{|w\rangle}(z) = V_{L_n|w\rangle}(z) . \quad (2.1)$$

**Definition 2.2** (Primary field, descendent field, degenerate field)

Let  $|v\rangle$  be the primary state of the Verma module  $\mathcal{V}_\Delta$ . We define the primary field  $V_\Delta(z) = V_{|v\rangle}(z)$ . This field obeys

$$L_{n \geq 0} V_\Delta(z) = 0 \quad , \quad L_0 V_\Delta(z) = \Delta V_\Delta(z) . \quad (2.2)$$

Similarly, descendent fields correspond to descendent states. And the degenerate field  $V_{(r,s)}(z)$  corresponds to the primary state of the degenerate representation  $\mathcal{R}_{(r,s)}$ , and therefore obeys

$$L_{(r,s)} V_{(r,s)}(z) = 0 \quad - \text{for example, } L_{-1} V_{(1,1)}(z) = 0 . \quad (2.3)$$

Now let us specify how fields depend on  $z$ .

**Axiom 2.3** (Dependence of fields on  $z$ )

For any field  $V(z)$ , we have

$$\frac{\partial}{\partial z} V(z) = L_{-1} V(z) . \quad (2.4)$$

Let us derive consequences of this axiom, starting with the  $z$ -dependence of the action  $L_n^{(z)}$  of Virasoro generators on fields. On the one hand,

$$\frac{\partial}{\partial z} (L_n^{(z)} V(z)) = \left( \frac{\partial}{\partial z} L_n^{(z)} \right) V(z) + L_n^{(z)} \frac{\partial}{\partial z} V(z) . \quad (2.5)$$

On the other hand, using our axiom, we find

$$\frac{\partial}{\partial z} (L_n^{(z)} V(z)) = L_{-1}^{(z)} L_n^{(z)} V(z) = -(n+1)L_{n-1}^{(z)} V(z) + L_n^{(z)} L_{-1}^{(z)} V(z). \quad (2.6)$$

This implies

$$\frac{\partial}{\partial z} L_n^{(z)} = -(n+1)L_{n-1}^{(z)}, \quad (\forall n \in \mathbb{Z}). \quad (2.7)$$

These infinitely many equations can be encoded into one functional equation,

$$\frac{\partial}{\partial z} \sum_{n \in \mathbb{Z}} \frac{L_n^{(z)}}{(y-z)^{n+2}} = 0. \quad (2.8)$$

**Definition 2.4** (Energy-momentum tensor)

The energy-momentum tensor is a field, that we define by the formal Laurent series

$$T(y) = \sum_{n \in \mathbb{Z}} \frac{L_n^{(z)}}{(y-z)^{n+2}}. \quad (2.9)$$

In other words, for any field  $V(z)$ , we have

$$T(y)V(z) = \sum_{n \in \mathbb{Z}} \frac{L_n V(z)}{(y-z)^{n+2}}, \quad L_n V(z) = \frac{1}{2\pi i} \oint_z dy (y-z)^{n+1} T(y)V(z). \quad (2.10)$$

In particular, for a primary field  $V_\Delta(z)$ , we find

$$T(y)V_\Delta(z) = \frac{\Delta}{(y-z)^2} V_\Delta(z) + \frac{1}{y-z} \frac{\partial}{\partial z} V_\Delta(z) + O(1). \quad (2.11)$$

This is our first example of an operator product expansion.

The energy-momentum tensor  $T(y)$  is locally holomorphic as a function of  $y$ , and acquires poles in the presence of other fields. Since we are on the Riemann sphere, it must also be holomorphic at  $y = \infty$ .

**Axiom 2.5** (Behaviour of  $T(y)$  at infinity)

$$T(y) \underset{y \rightarrow \infty}{=} O\left(\frac{1}{y^4}\right). \quad (2.12)$$

To motivate this axiom, let us do some dimensional analysis. If  $z$  has dimension  $-1$ , then according to eq. (2.4)  $L_{-1}$  has dimension 1, and  $T(y)$  has dimension 2. The dimensionless quantity that should be holomorphic at infinity is therefore the differential  $T(y)dy^2$ .

## 2.2 Correlation functions and Ward identities

**Definition 2.6** (Correlation function)

To  $N$  fields  $V_1(z_1), \dots, V_N(z_N)$ , we associate a number called their correlation function or  $N$ -point function, and denoted as

$$\left\langle V_1(z_1) \cdots V_N(z_N) \right\rangle. \quad (2.13)$$

For example,  $\left\langle \prod_{i=1}^N V_{\Delta_i}(z_i) \right\rangle$  is a function of  $\{z_i\}, \{\Delta_i\}$  and  $c$ . Correlation functions depend linearly on fields, and in particular  $\frac{\partial}{\partial z_1} \langle V_1(z_1) \cdots V_N(z_N) \rangle = \left\langle \frac{\partial}{\partial z_1} V_1(z_1) \cdots V_N(z_N) \right\rangle$ .



**Axiom 2.7** (Commutativity of fields)

*Correlation functions do not depend on the order of the fields,*

$$V_1(z_1)V_2(z_2) = V_2(z_2)V_1(z_1) , \quad (z_1 \neq z_2) . \quad (2.14)$$

Let us work out the consequences of conformal symmetry for correlation functions. In order to study an  $N$ -point function  $Z$  of primary fields, we introduce an auxiliary  $(N + 1)$ -point function  $Z(y)$  where we insert the energy-momentum tensor,

$$Z = \left\langle \prod_{i=1}^N V_{\Delta_i}(z_i) \right\rangle , \quad Z(y) = \left\langle T(y) \prod_{i=1}^N V_{\Delta_i}(z_i) \right\rangle . \quad (2.15)$$

$Z(y)$  is a meromorphic function of  $y$ , with poles at  $y = z_i$ , whose residues are given by eq. (2.11) (using the commutativity of fields). Moreover  $T(y)$ , and therefore also  $Z(y)$ , are bounded for  $y \rightarrow \infty$ . So  $Z(y)$  is completely determined by its poles and residues,

$$Z(y) = \sum_{i=1}^N \left( \frac{\Delta_i}{(y - z_i)^2} + \frac{1}{y - z_i} \frac{\partial}{\partial z_i} \right) Z . \quad (2.16)$$

But  $T(y)$  is not just bounded for  $y \rightarrow \infty$ , it behaves as  $O(\frac{1}{y^4})$ . So the coefficients of  $y^{-1}, y^{-2}, y^{-3}$  in the large  $y$  expansion of  $Z(y)$  must vanish,

$$\sum_{i=1}^N \partial_{z_i} Z = \sum_{i=1}^N (z_i \partial_{z_i} + \Delta_i) Z = \sum_{i=1}^N (z_i^2 \partial_{z_i} + 2\Delta_i z_i) Z = 0 . \quad (2.17)$$

These three equations are called global Ward identities. They determine how  $Z$  behaves under global conformal transformations of the Riemann sphere,

$$\left\langle \prod_{i=1}^N V_{\Delta_i} \left( \frac{az_i + b}{cz_i + d} \right) \right\rangle = \prod_{i=1}^N (cz_i + d)^{2\Delta_i} \left\langle \prod_{i=1}^N V_{\Delta_i}(z_i) \right\rangle . \quad (2.18)$$

Let us solve the global Ward identities in the cases of one, two, three and four-point functions. For a one-point function, we have

$$\partial_z \langle V_{\Delta}(z) \rangle = 0 , \quad \Delta \langle V_{\Delta}(z) \rangle = 0 . \quad (2.19)$$

So one-point functions are constant, and non-vanishing only if  $\Delta = 0$ . Similarly, two-point functions must obey

$$\langle V_{\Delta_1}(z_1)V_{\Delta_2}(z_2) \rangle \propto (z_1 - z_2)^{-4\Delta_1} , \quad (\Delta_1 - \Delta_2) \langle V_{\Delta_1}(z_1)V_{\Delta_2}(z_2) \rangle = 0 . \quad (2.20)$$

So a two-point function can be non-vanishing only if the two fields have the same dimension. For three-point functions, there are as many equations (2.17) as unknowns  $z_1, z_2, z_3$ , and therefore a unique solution with no constraints on  $\Delta_i$ ,

$$\left\langle \prod_{i=1}^3 V_{\Delta_i}(z_i) \right\rangle \propto (z_1 - z_2)^{\Delta_3 - \Delta_1 - \Delta_2} (z_1 - z_3)^{\Delta_2 - \Delta_1 - \Delta_3} (z_2 - z_3)^{\Delta_1 - \Delta_2 - \Delta_3} , \quad (2.21)$$

with an unknown proportionality coefficient that does not depend on  $z_i$ . For four-point functions, the general solution is

$$\left\langle \prod_{i=1}^4 V_{\Delta_i}(z_i) \right\rangle = \prod_{i < j} (z_i - z_j)^{\delta_{ij}} G \left( \frac{(z_1 - z_2)(z_3 - z_4)}{(z_1 - z_3)(z_2 - z_4)} \right) , \quad (2.22)$$

where  $\sum_{j \neq i} \delta_{ij} = -2\Delta_i$  (with by definition  $\delta_{ij} = \delta_{ji}$ ), and  $G$  is an arbitrary function. In other words, the three global Ward identities effectively reduces the four-point function to a function of one variable  $G$  – equivalently, we can set  $z_2, z_3, z_4$  to fixed values, and recover the four-point function from its dependence on  $z_1$  alone.

**Exercise 2.8** (Conformal symmetry of four-point functions)

Show that  $V_\Delta(\infty) = \lim_{z \rightarrow \infty} z^{2\Delta} V_\Delta(z)$  is finite, and that there is a (unique) choice of  $\delta_{ij}$  such that

$$G(z) = \left\langle V_{\Delta_1}(z) V_{\Delta_2}(0) V_{\Delta_3}(\infty) V_{\Delta_4}(1) \right\rangle. \quad (2.23)$$

We have been studying global conformal invariance of correlation functions of primary fields, rather than more general fields. This was not only for making things simpler, but also because correlation functions of descendants can be deduced from correlation functions of primaries. For example,

$$\left\langle L_{-2} V_{\Delta_1}(z_1) V_{\Delta_2}(z_2) \cdots \right\rangle = \frac{1}{2\pi i} \oint_{z_1} \frac{dy}{y - z_1} Z(y) = \sum_{i=2}^N \left( \frac{1}{z_1 - z_i} \frac{\partial}{\partial z_i} + \frac{\Delta_i}{(z_i - z_1)^2} \right) Z, \quad (2.24)$$

where we used first eq. (2.10) for  $L_{-2} V_{\Delta_1}(z_1)$ , and then eq. (2.16) for  $Z(y)$ . This can be generalized to any correlation function of descendent fields. The resulting equations are called local Ward identities.

### 2.3 Belavin–Polyakov–Zamolodchikov equations

Local and global Ward identities are all we can deduce from conformal symmetry. But correlation functions that involve degenerate fields obey additional equations.

For example, let us replace  $V_{\Delta_1}(z_1)$  with the degenerate primary field  $V_{\langle 1,1 \rangle}(z_1)$  in our  $N$ -point function  $Z$ . Since  $\frac{\partial}{\partial z_1} V_{\langle 1,1 \rangle}(z_1) = L_{-1} V_{\langle 1,1 \rangle}(z_1) = 0$ , we obtain  $\frac{\partial}{\partial z_1} Z = 0$ . In the case  $N = 3$ , having  $\Delta_1 = \Delta_{\langle 1,1 \rangle} = 0$  in the three-point function (2.21) leads to

$$\left\langle V_{\langle 1,1 \rangle}(z_1) V_{\Delta_2}(z_2) V_{\Delta_3}(z_3) \right\rangle \propto (z_1 - z_2)^{\Delta_3 - \Delta_2} (z_1 - z_3)^{\Delta_2 - \Delta_3} (z_2 - z_3)^{-\Delta_2 - \Delta_3}, \quad (2.25)$$

and further imposing  $z_1$ -independence leads to

$$\left\langle V_{\langle 1,1 \rangle}(z_1) V_{\Delta_2}(z_2) V_{\Delta_3}(z_3) \right\rangle \neq 0 \quad \Rightarrow \quad \Delta_2 = \Delta_3. \quad (2.26)$$

In the case of  $V_{\langle 2,1 \rangle}(z_1)$ , we have

$$(L_{-1}^2 + b^2 L_{-2}) V_{\langle 2,1 \rangle}(z_1) = 0 \quad \text{so that} \quad L_{-2} V_{\langle 2,1 \rangle}(z_1) = -\frac{1}{b^2} \frac{\partial^2}{\partial z_1^2} V_{\langle 2,1 \rangle}(z_1). \quad (2.27)$$

Using the local Ward identity (2.24), this leads to the second-order Belavin–Polyakov–Zamolodchikov partial differential equation

$$\left( \frac{1}{b^2} \frac{\partial^2}{\partial z_1^2} + \sum_{i=2}^N \left( \frac{1}{z_1 - z_i} \frac{\partial}{\partial z_i} + \frac{\Delta_i}{(z_i - z_1)^2} \right) \right) \left\langle V_{\langle 2,1 \rangle}(z_1) \prod_{i=2}^N V_{\Delta_i}(z_i) \right\rangle = 0. \quad (2.28)$$

More generally, a correlation function with the degenerate field  $V_{\langle r,s \rangle}$  obeys a partial differential equation of order  $rs$ .

**Exercise 2.9** (Second-order BPZ equation for a three-point function)

Show that

$$\langle V_{(2,1)} V_{\Delta_2} V_{\Delta_3} \rangle \neq 0 \quad \Rightarrow \quad 2(\Delta_2 - \Delta_3)^2 + b^2(\Delta_2 + \Delta_3) - 2\Delta_{(2,1)}^2 - b^2\Delta_{(2,1)} = 0 . \quad (2.29)$$

Up to reflections of momentums, show that this is equivalent to

$$\alpha_2 = \alpha_3 \pm \frac{b}{2} . \quad (2.30)$$

In the case of a four-point function, the BPZ equation amounts to a differential equation for the function of one variable  $G(z)$ , which therefore belongs to a finite-dimensional space of solutions.

**Exercise 2.10** (BPZ second-order differential equation)

Show that the second-order BPZ equation for  $G(z) = \langle V_{(2,1)}(z) V_{\Delta_1}(0) V_{\Delta_2}(\infty) V_{\Delta_3}(1) \rangle$  is

$$\left\{ \frac{z(1-z)}{b^2} \frac{\partial^2}{\partial z^2} + (2z-1) \frac{\partial}{\partial z} + \Delta_{(2,1)} + \frac{\Delta_1}{z} - \Delta_2 + \frac{\Delta_3}{1-z} \right\} G(z) = 0 , \quad (2.31)$$

### 3 Conformal bootstrap

We have seen how conformal symmetry leads to linear equations for correlation functions: Ward identities and BPZ equations. In order to fully determine correlation functions, we need additional, nonlinear equations, and therefore additional axioms: single-valuedness of correlation functions, and existence of operator product expansions. Using these axioms for studying conformal field theories is called the conformal bootstrap method.

#### 3.1 Single-valuedness

**Axiom 3.1** (Single-valuedness)

*Correlation functions are single-valued functions of the positions, i.e. they have trivial monodromies.*

Until now, our correlation functions are locally holomorphic functions of the type  $z^\Delta$ , as a result of solving holomorphic Ward identities. Single-valued correlation functions should be of the type  $z^\Delta \bar{z}^\Delta$  instead. This suggests that we need anti-holomorphic Ward identities as well, and therefore a second copy of the Virasoro algebra.

**Axiom 3.2** (Left and right Virasoro algebras)

*Liouville theory has two mutually commuting Virasoro symmetry algebras with the same central charge, called left-moving or holomorphic, and right-moving or anti-holomorphic. Their generators are written  $L_n, \bar{L}_n$ , with in particular*

$$\frac{\partial}{\partial z} V(z) = L_{-1} V(z) \quad , \quad \frac{\partial}{\partial \bar{z}} V(z) = \bar{L}_{-1} V(z) . \quad (3.1)$$

*The generators of conformal transformations are the diagonal combinations  $L_n + \bar{L}_n$ .*

Let us now consider a left- and right-primary field  $V_{\Delta, \bar{\Delta}}(z)$ , whose left and right conformal dimensions  $\Delta, \bar{\Delta}$  are a priori independent from one another. Under the global

conformal transformations  $z \mapsto \frac{az+b}{cz+d}$ , whose infinitesimal generators are  $L_n + \bar{L}_n$  with  $n \in \{-1, 0, 1\}$ , we have, according to eq. (2.18),

$$\left\langle \prod_{i=1}^N V_{\Delta_i, \bar{\Delta}_i} \left( \frac{az_i + b}{cz_i + d} \right) \right\rangle = \prod_{i=1}^N (cz_i + d)^{2\Delta_i} (\bar{c}z_i + \bar{d})^{2\bar{\Delta}_i} \left\langle \prod_{i=1}^N V_{\Delta_i, \bar{\Delta}_i}(z_i) \right\rangle. \quad (3.2)$$

Single-valuedness as a function of  $z_i$  therefore constrains the spins  $\Delta_i - \bar{\Delta}_i$ ,

$$\Delta - \bar{\Delta} \in \frac{1}{2}\mathbb{Z}. \quad (3.3)$$

The simplest case is  $\Delta = \bar{\Delta}$ , which leads to the definition

**Definition 3.3** (Diagonal states, diagonal fields and diagonal spectrums)

*A primary state or field is called diagonal if it has the same left and right conformal dimensions. A spectrum is called diagonal if all primary states are diagonal.*

From now on we will use the notation  $V_\Delta(z)$  for the diagonal field  $V_{\Delta, \Delta}(z)$ .

## 3.2 Operator product expansion and crossing symmetry

**Axiom 3.4** (Operator product expansion)

*Let  $V_1(z_1)$  and  $V_2(z_2)$  be two fields, and  $|w_i\rangle$  be a basis of the spectrum. There exist coefficients  $C_{12}^i(z_1, z_2)$  such that we have the operator product expansion (OPE)*

$$V_1(z_1)V_2(z_2) = \sum_i C_{12}^i(z_1, z_2)V_{|w_i\rangle}(z_2). \quad (3.4)$$

*In a correlation functions, this sum converges for  $z_1$  sufficiently close to  $z_2$ .*

If the spectrum is made of diagonal primary states and their descendent states, the OPE of two primary fields is

$$V_{\Delta_1}(z_1)V_{\Delta_2}(z_2) = \sum_{\Delta \in S} C_{\Delta_1, \Delta_2, \Delta} |z_1 - z_2|^{2(\Delta - \Delta_1 - \Delta_2)} \left( V_\Delta(z_2) + O(z_1 - z_2) \right), \quad (3.5)$$

where the subleading terms are contributions of descendants fields. In particular, the  $z_1, z_2$ -dependence of the coefficients is dictated by global conformal invariance eq. (3.2), leaving a  $z_i$ -independent unknown factor  $C_{\Delta_1, \Delta_2, \Delta}$ . Then, as in correlation functions, contributions of descendants are deduced from contributions of primaries via local Ward identities.

**Exercise 3.5** (Computing the OPE of primary fields)

*Compute the first subleading term in the OPE (3.5), and find*

$$O(z_1 - z_2) = \frac{\Delta + \Delta_1 - \Delta_2}{2\Delta} \left( (z_1 - z_2)L_{-1} + (\bar{z}_1 - \bar{z}_2)\bar{L}_{-1} \right) V_\Delta(z_2) + O((z_1 - z_2)^2). \quad (3.6)$$

Inserting the OPE in a three-point function of primary fields, we find

$$\left\langle \prod_{i=1}^3 V_{\Delta_i}(z_i) \right\rangle = \sum_{\Delta \in S} C_{\Delta_1, \Delta_2, \Delta} |z_1 - z_2|^{2(\Delta - \Delta_1 - \Delta_2)} \left( \langle V_\Delta(z_2)V_{\Delta_3}(z_3) \rangle + O(z_1 - z_2) \right), \quad (3.7)$$

$$= C_{\Delta_1, \Delta_2, \Delta_3} |z_1 - z_2|^{2(\Delta_3 - \Delta_1 - \Delta_2)} \left( |z_2 - z_3|^{-4\Delta_3} + O(z_1 - z_2) \right), \quad (3.8)$$

assuming the two-point function is normalized as  $\langle V_\Delta(z_1)V_\Delta(z_2) \rangle = |z_1 - z_2|^{-4\Delta}$ . Therefore  $C_{\Delta_1, \Delta_2, \Delta_3}$  coincides with the undertermined constant prefactor of the three-point function (2.21). This factor is called the three-point structure constant, and we have

$$\left\langle \prod_{i=1}^3 V_{\Delta_i}(z_i) \right\rangle = C_{\Delta_1, \Delta_2, \Delta_3} |z_1 - z_2|^{2(\Delta_3 - \Delta_1 - \Delta_2)} |z_1 - z_3|^{2(\Delta_2 - \Delta_1 - \Delta_3)} |z_2 - z_3|^{2(\Delta_1 - \Delta_2 - \Delta_3)}. \quad (3.9)$$

Let us now insert the OPE in a four-point function of primary fields:

$$\left\langle V_{\Delta_1}(z)V_{\Delta_2}(0)V_{\Delta_3}(\infty)V_{\Delta_4}(1) \right\rangle = \sum_{\Delta \in \mathcal{S}} C_{\Delta_1, \Delta_2, \Delta} |z|^{2(\Delta - \Delta_1 - \Delta_2)} \left( \left\langle V_\Delta(0)V_{\Delta_3}(\infty)V_{\Delta_4}(1) \right\rangle + O(z) \right), \quad (3.10)$$

$$= \sum_{\Delta \in \mathcal{S}} C_{\Delta_1, \Delta_2, \Delta} C_{\Delta, \Delta_3, \Delta_4} |z|^{2(\Delta - \Delta_1 - \Delta_2)} \left( 1 + O(z) \right). \quad (3.11)$$

The contributions of descendent factorize into those of left-moving descendents, generated by the operators  $L_{n < 0}$ , and right-moving descendents, generated by  $\bar{L}_{n < 0}$ . So the last factor has a holomorphic factorization such that

$$\left\langle V_{\Delta_1}(z)V_{\Delta_2}(0)V_{\Delta_3}(\infty)V_{\Delta_4}(1) \right\rangle = \sum_{\Delta \in \mathcal{S}} C_{\Delta_1, \Delta_2, \Delta} C_{\Delta, \Delta_3, \Delta_4} \mathcal{F}_\Delta^{(s)}(z) \mathcal{F}_\Delta^{(s)}(\bar{z}). \quad (3.12)$$

**Definition 3.6** (Conformal block)

The four-point conformal block on the sphere,

$$\mathcal{F}_\Delta^{(s)}(z) = z^{\Delta - \Delta_1 - \Delta_2} \left( 1 + O(z) \right), \quad (3.13)$$

is the normalized contribution of the Verma module  $\mathcal{V}_\Delta$  to a four-point function, obtained by summing over left-moving descendents. Its dependence on  $c, \Delta_1, \Delta_2, \Delta_3, \Delta_4$  are kept implicit. The label  $(s)$  stands for  $s$ -channel, we will soon see what this means.

Conformal blocks are in principle known, as they are universal functions, entirely determined by conformal symmetry. This is analogous to characters of representations, also known as zero-point conformal blocks on the torus.

**Exercise 3.7** (Computing conformal blocks)

Compute the conformal block  $\mathcal{F}_\Delta^{(s)}(z)$  up to the order  $O(z)$ , and find

$$\mathcal{F}_\Delta^{(s)}(z) = z^{\Delta - \Delta_1 - \Delta_2} \left( 1 + \frac{(\Delta + \Delta_1 - \Delta_2)(\Delta + \Delta_4 - \Delta_3)}{2\Delta} z + O(z^2) \right). \quad (3.14)$$

Discuss the pole at  $\Delta = 0$ , and its disappearance for certain values of  $\Delta_i$ .

Our axiom 2.7 on the commutativity of fields implies that the OPE is associative, and that we can use the OPE of any two fields in a four-point function. In particular, using the OPE of the first and fourth fields, we obtain

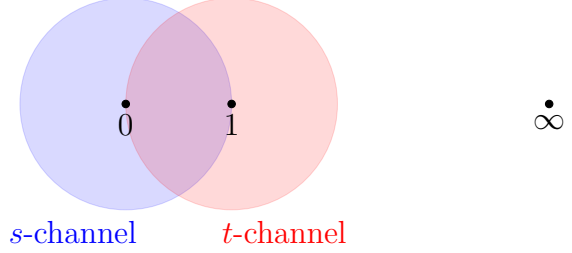
$$\left\langle V_{\Delta_1}(z)V_{\Delta_2}(0)V_{\Delta_3}(\infty)V_{\Delta_4}(1) \right\rangle = \sum_{\Delta \in \mathcal{S}} C_{\Delta, \Delta_1, \Delta_4} C_{\Delta_2, \Delta_3, \Delta} \mathcal{F}_\Delta^{(t)}(z) \mathcal{F}_\Delta^{(t)}(\bar{z}), \quad (3.15)$$

where  $\mathcal{F}_\Delta^{(t)}(z) = (z-1)^{\Delta - \Delta_1 - \Delta_4} \left( 1 + O(z-1) \right)$  is a  $t$ -channel conformal block. The equality of our two decompositions (3.12) and (3.15) of the four-point function is called crossing

symmetry, schematically

$$\sum_{\Delta_s \in S} C_{12s} C_{s34} \begin{array}{c} 2 \\ \diagdown \quad \diagup \\ \text{---} s \text{---} \\ \diagup \quad \diagdown \\ 1 \quad 3 \\ \quad \quad 4 \end{array} = \sum_{\Delta_t \in S} C_{23t} C_{t41} \begin{array}{c} 2 \quad 3 \\ \diagdown \quad \diagup \\ \text{---} t \text{---} \\ \diagup \quad \diagdown \\ 1 \quad 4 \end{array} . \quad (3.16)$$

This equation holds if the sums on both sides converge, that is if  $z$  is sufficiently close to both 0 and 1,



$$\begin{array}{c} \bullet \\ \infty \end{array} \quad (3.17)$$

s-channel      t-channel

Given the spectrum  $S$ , crossing symmetry is a system of quadratic equations for the structure constant  $C_{\Delta_1, \Delta_2, \Delta_3}$ . Requiring that this system has solutions is a strong constraint on the spectrum.

### 3.3 Degenerate fields and the fusion product

Crossing symmetry equations are powerful, but typically involve infinite sums, which makes them difficult to solve. However, if at least one field is degenerate, then the four-point function belongs to the finite-dimensional space of solutions of a BPZ equation, and is therefore a combination of finitely many conformal blocks. For example,  $\langle V_{(2,1)}(z) V_{\Delta_1}(0) V_{\Delta_2}(\infty) V_{\Delta_3}(1) \rangle$  is a combination of only two holomorphic  $s$ -channel conformal blocks. These blocks are a particular basis of solutions of the BPZ equation (2.31), characterized by their asymptotic behaviour near  $z = 0$  (3.13),

$$\mathcal{F}_{\alpha_1 - \frac{b}{2}}^{(s)}(z) = z^{b\alpha_1} (1-z)^{b\alpha_3} F(A, B, C, z) \quad , \quad \mathcal{F}_{\alpha_1 + \frac{b}{2}}^{(s)}(z) = \mathcal{F}_{\alpha_1 - \frac{b}{2}}^{(s)}(z) \Big|_{\alpha_1 \rightarrow Q - \alpha_1} \quad , \quad (3.18)$$

where  $F(A, B, C, z)$  is the hypergeometric function with parameters

$$\begin{cases} A = \frac{1}{2} + b(\alpha_1 + \alpha_3 - Q) + b(\alpha_2 - \frac{Q}{2}) \quad , \\ B = \frac{1}{2} + b(\alpha_1 + \alpha_3 - Q) - b(\alpha_2 - \frac{Q}{2}) \quad , \\ C = 1 + b(2\alpha_1 - Q) \quad . \end{cases} \quad (3.19)$$

Similarly, the two relevant  $t$ -channel blocks are

$$\begin{aligned} \mathcal{F}_{\alpha_3 - \frac{b}{2}}^{(t)}(z) &= z^{b\alpha_1} (1-z)^{b\alpha_3} F(A, B, A+B-C+1, 1-z) \quad , \\ \mathcal{F}_{\alpha_3 + \frac{b}{2}}^{(t)}(z) &= \mathcal{F}_{\alpha_3 - \frac{b}{2}}^{(t)}(z) \Big|_{\alpha_3 \rightarrow Q - \alpha_3} \quad . \end{aligned} \quad (3.20)$$

The presence of only two  $s$ -channel fields with momenta  $\alpha_1 \pm \frac{b}{2}$ , and the constraint (2.30) on momenta in the three-point function  $\langle V_{(2,1)} V_{\alpha_2} V_{\alpha_3} \rangle$ , both mean that the operator product expansion  $V_{(2,1)}(z) V_{\alpha_1}(0)$  involves only two primary fields  $V_{\alpha_1 \pm \frac{b}{2}}(0)$ .

**Axiom 3.8** (Fusion product)

There is a bilinear, associative product of representations of the Virasoro algebra, that encodes the constraints on OPEs from Virasoro symmetry and null vectors. In particular,

$$\mathcal{R}_{\langle 1,1 \rangle} \times \mathcal{V}_\alpha = \mathcal{V}_\alpha \quad , \quad \mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{V}_\alpha = \sum_{\pm} \mathcal{V}_{\alpha \pm \frac{b}{2}} \quad , \quad \mathcal{R}_{\langle 1,2 \rangle} \times \mathcal{V}_\alpha = \sum_{\pm} \mathcal{V}_{\alpha \pm \frac{1}{2b}} . \quad (3.21)$$

The fusion product can be defined algebraically: the fusion product of two representations coincides with their tensor product as a vector space, where however the Virasoro algebra does not act as it would in the tensor product. (In the tensor product, central charges add.)

Using the associativity of the fusion product, we have

$$\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{V}_\alpha = \mathcal{R}_{\langle 2,1 \rangle} \times \left( \sum_{\pm} \mathcal{V}_{\alpha \pm \frac{b}{2}} \right) = \mathcal{V}_{\alpha-b} + 2 \cdot \mathcal{V}_\alpha + \mathcal{V}_{\alpha+b} . \quad (3.22)$$

Since the fusion product of  $\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle}$  with  $\mathcal{V}_\alpha$  has finitely many terms,  $\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle}$  must be a degenerate representation. On the other hand, eq. (3.21) implies that  $\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle}$  is made of representations with momentums  $\alpha_{\langle 2,1 \rangle} \pm \frac{b}{2} = 0, -b$ . The degenerate representation with momentum 0 is  $\mathcal{R}_{\langle 1,1 \rangle}$ . Calling  $\mathcal{R}_{\langle 3,1 \rangle}$  the degenerate representation with momentum  $-b$ , we just found

$$\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle} = \mathcal{R}_{\langle 1,1 \rangle} + \mathcal{R}_{\langle 3,1 \rangle} \quad , \quad \mathcal{R}_{\langle 3,1 \rangle} \times \mathcal{V}_\alpha = \mathcal{V}_{\alpha-b} + \mathcal{V}_\alpha + \mathcal{V}_{\alpha+b} . \quad (3.23)$$

It can be checked that  $\mathcal{R}_{\langle 3,1 \rangle}$  has a vanishing null vector at level 3, so that our definition of  $\mathcal{R}_{\langle 3,1 \rangle}$  from fusion agrees with the definition from representation theory in Section 1.3.

**Exercise 3.9** (Higher degenerate representations)

Show that there exist degenerate representations  $\mathcal{R}_{\langle r,s \rangle}$  (for  $r, s \in \mathbb{N}^*$ ) with momentums  $\alpha_{\langle r,s \rangle}$  (1.17), such that

$$\mathcal{R}_{\langle r,s \rangle} \times \mathcal{V}_\alpha = \sum_{i=0}^{r-1} \sum_{j=0}^{s-1} \mathcal{V}_{\alpha + \alpha_{\langle r,s \rangle} + ib + jb^{-1}} , \quad (3.24)$$

$$\mathcal{R}_{\langle r_1, s_1 \rangle} \times \mathcal{R}_{\langle r_2, s_2 \rangle} = \sum_{r_3 \stackrel{2}{=} |r_1 - r_2| + 1}^{r_1 + r_2 - 1} \sum_{s_3 \stackrel{2}{=} |s_1 - s_2| + 1}^{s_1 + s_2 - 1} \mathcal{R}_{\langle r_3, s_3 \rangle} , \quad (3.25)$$

where the superscript in  $\stackrel{2}{=}$  indicates that the corresponding sum runs by increments of 2.

## 4 Liouville theory and minimal models

Let us start the investigation of specific conformal field theories.

**Definition 4.1** (Conformal field theory)

A (model of) conformal field theory on the Riemann sphere is a spectrum  $S$  and a set of correlation functions  $\left\langle \prod_{i=1}^N V_{|w_i\rangle}(z_i) \right\rangle$  with  $|w_i\rangle \in S$  that obey all our axioms, in particular crossing symmetry.

**Definition 4.2** (Defining and solving)

To define a conformal field theory is to give principles that uniquely determine its spectrum and correlation functions. To solve a conformal field theory is to actually compute them.

In this Section we will define Liouville theory and minimal models. In Section 5 we will solve them.

## 4.1 Diagonal minimal models

**Definition 4.3** (Minimal model)

A minimal model is a conformal field theory whose spectrum is made of finitely many irreducible representations of the product of the left and the right Virasoro algebras.

Although there exist non-diagonal minimal models, we focus on diagonal minimal models, whose spectrums are of the type

$$S = \bigoplus_{\mathcal{R}} \mathcal{R} \otimes \bar{\mathcal{R}} , \quad (4.1)$$

where  $\mathcal{R}$  and  $\bar{\mathcal{R}}$  denote the same Virasoro representation, viewed as a representation of the left- or right-moving Virasoro algebra respectively.

**Axiom 4.4** (Degenerate spectrum)

All representations that appear in the spectrum of a minimal model are degenerate.

It is natural to use degenerate representations, because in an OPE of degenerate fields, only finitely many representations can appear. Conversely, we now assume that all representations that are allowed by fusion do appear in the spectrum, in other words

**Axiom 4.5** (Closure under fusion)

The spectrum is closed under fusion.

Let us assume that the spectrum contains a nontrivial degenerate representation such as  $\mathcal{R}_{\langle 2,1 \rangle}$ . Fusing it with itself, we get  $\mathcal{R}_{\langle 1,1 \rangle}$  and  $\mathcal{R}_{\langle 3,1 \rangle}$ . Fusing multiple times, we get  $(\mathcal{R}_{\langle r,1 \rangle})_{r \in \mathbb{N}^*}$  due to  $\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle r,1 \rangle} = \mathcal{R}_{\langle r-1,1 \rangle} + \mathcal{R}_{\langle r+1,1 \rangle}$ . If the spectrum moreover contains  $\mathcal{R}_{\langle 1,2 \rangle}$ , then it must contain all degenerate representations.

**Definition 4.6** (Generalized minimal model)

For any value of the central charge  $c$ , the generalized minimal model is the conformal field theory whose spectrum is

$$S^{\text{GMM}} = \bigoplus_{r=1}^{\infty} \bigoplus_{s=1}^{\infty} \mathcal{R}_{\langle r,s \rangle} \otimes \bar{\mathcal{R}}_{\langle r,s \rangle} , \quad (4.2)$$

assuming it exists and is unique.

So, using only degenerate representations is not sufficient for building minimal models. In order to have even fewer fields in fusion products, let us consider representations that are multiply degenerate. For example, if  $\mathcal{R}_{\langle 2,1 \rangle} = \mathcal{R}_{\langle 1,3 \rangle}$  has two vanishing null vectors, then  $\mathcal{R}_{\langle 2,1 \rangle} \times \mathcal{R}_{\langle 2,1 \rangle} = \mathcal{R}_{\langle 1,1 \rangle}$  has only one term, as the term  $\mathcal{R}_{\langle 3,1 \rangle}$  is not allowed by the fusion rules of  $\mathcal{R}_{\langle 1,3 \rangle}$ .

In order for a representation to have two null vectors, we however need a coincidence of the type  $\Delta_{\langle r,s \rangle} = \Delta_{\langle r',s' \rangle}$ . A sufficient condition for this is

$$\alpha_{\langle r,s \rangle} + \alpha_{\langle r',s' \rangle} = Q . \quad (4.3)$$

Defining the natural integers

$$p = r + r' \quad , \quad q = s + s' , \quad (4.4)$$

which we assume to be coprime, we have

$$b^2 = -\frac{q}{p} \quad \text{i.e.} \quad c = 1 - 6 \frac{(q-p)^2}{pq} . \quad (4.5)$$



and the central charge belongs to a discrete set of values. Moreover, for any integers  $r, s$ , we have

$$\Delta_{\langle r,s \rangle} = \Delta_{\langle p-r, q-s \rangle} . \quad (4.6)$$

For  $1 \leq r \leq p-1$  and  $1 \leq s \leq q-1$ , there exists a doubly degenerate representation  $\mathcal{R}_{\langle r,s \rangle} = \mathcal{R}_{\langle p-r, q-s \rangle}$ . The diagonal spectrum built from representations of this type is

$$S_{p,q} = \frac{1}{2} \bigoplus_{r=1}^{p-1} \bigoplus_{s=1}^{q-1} \mathcal{R}_{\langle r,s \rangle} \otimes \bar{\mathcal{R}}_{\langle r,s \rangle} , \quad (4.7)$$

where the factor  $\frac{1}{2}$  is here to avoid counting the same representation twice. For example, the minimal model with the central charge  $c = \frac{1}{2}$  has the spectrum  $S_{4,3}$ ,

$$\left\{ \begin{array}{l} \Delta_{\langle 1,1 \rangle} = \Delta_{\langle 3,2 \rangle} = 0 , \\ \Delta_{\langle 1,2 \rangle} = \Delta_{\langle 3,1 \rangle} = \frac{1}{2} , \\ \Delta_{\langle 2,1 \rangle} = \Delta_{\langle 2,2 \rangle} = \frac{1}{16} . \end{array} \right. \Leftrightarrow \text{the Kac table } \begin{array}{c|ccc} 2 & \frac{1}{2} & \frac{1}{16} & 0 \\ \hline 1 & 0 & \frac{1}{16} & \frac{1}{2} \\ \hline 1 & 2 & 3 & \end{array} \quad (4.8)$$

**Exercise 4.7** (Closure of minimal model spectrums under fusion)

Show that  $S_{p,q}$  is closed under fusion. If you are brave, compute the fusion products of the representations that appear in  $S_{p,q}$ .

**Definition 4.8** (Diagonal minimal model)

For  $2 \leq p, q$  coprime integers, the  $(p, q)$  minimal model is the conformal field theory whose spectrum is  $S_{p,q}$ , assuming it exists and is unique.

## 4.2 Liouville theory

**Definition 4.9** (Liouville theory)

For any value of the central charge  $c \in \mathbb{C}$ , Liouville theory is the conformal field theory whose spectrum is

$$S^{\text{Liouville}} = \int_{\frac{Q}{2} + i\mathbb{R}_+} d\alpha \mathcal{V}_\alpha \otimes \bar{\mathcal{V}}_\alpha , \quad (4.9)$$

and whose correlation functions are smooth functions of  $b$  and  $\alpha$ , assuming it exists and is unique.

Let us give some justification for this definition. We are looking for a diagonal theory whose spectrum is a continuum of representations of the Virasoro algebra. For real values of  $c$  it is natural to require that  $\Delta$  is real. Let us write this condition in terms of the momentum  $\alpha$ ,

$$\Delta \in \mathbb{R} \Leftrightarrow \alpha \in \mathbb{R} \cup \left( \frac{Q}{2} + i\mathbb{R} \right) , \quad \begin{array}{c} | \\ | \\ | \\ \hline 0 \\ \hline \frac{Q}{2} \\ | \\ | \\ | \end{array} \begin{array}{c} \alpha \\ \rightarrow \end{array} \quad (4.10)$$

We also need  $\Delta$  to be bounded from below, and the natural bound is  $\Delta(\alpha = \frac{Q}{2}) = \frac{Q^2}{4} = \frac{c-1}{24}$ . The resulting set  $\alpha \in \frac{Q}{2} + i\mathbb{R}$  is then analytically continued to  $c \notin \mathbb{R}$ .

The integral is actually over the half-line  $\frac{Q}{2} + i\mathbb{R}_+$  so as not to count representations twice. It is however convenient to have fields  $V_\alpha(z)$  with  $\alpha \in \frac{Q}{2} + i\mathbb{R}$ . Then the fields  $V_\alpha(z)$  and  $V_{Q-\alpha}(z)$  correspond to the same primary state, and they must be proportional,

$$V_\alpha(z) = R(\alpha)V_{Q-\alpha}(z) , \quad (4.11)$$

where the function  $R(\alpha)$  is called the reflection coefficient. Under a change of field normalization  $V_\alpha(z) \rightarrow \lambda(\alpha)V_\alpha(z)$ , we have  $R(\alpha) \rightarrow \frac{\lambda(\alpha)}{\lambda(Q-\alpha)}R(\alpha)$ , and we could set  $R(\alpha) = 1$ . This choice of field normalization would however prevent correlation functions to be analytic in  $\alpha$ .

Let us schematically write two- and three-point functions in Liouville theory, as well as OPEs:

$$\langle V_{\alpha_1} V_{\alpha_2} \rangle = \delta(Q - \alpha_1 - \alpha_2) + R(\alpha_1)\delta(\alpha_1 - \alpha_2) , \quad (4.12)$$

$$\langle V_{\alpha_1} V_{\alpha_2} V_{\alpha_3} \rangle = C_{\alpha_1, \alpha_2, \alpha_3} \quad \text{with} \quad C_{\alpha_1, \alpha_2, \alpha_3} = R(\alpha_1)C_{Q-\alpha_1, \alpha_2, \alpha_3} , \quad (4.13)$$

$$V_{\alpha_1} V_{\alpha_2} = \int_{\frac{Q}{2} + i\mathbb{R}_+} d\alpha C_{\alpha_1, \alpha_2, Q-\alpha} (V_\alpha + \dots) . \quad (4.14)$$

In order to have reasonably simple crossing symmetry equations, we need degenerate fields.

**Axiom 4.10** (Degenerate fields in Liouville theory)

*The degenerate fields  $V_{\langle r, s \rangle}$ , and their correlation functions, exist.*

Degenerate fields do not correspond to states in the spectrum, as the spectrum is made of Verma modules (not degenerate representations). On top of that, OPEs  $V_{\langle r, s \rangle} V_\alpha$  then produce more fields outside the spectrum, for instance  $V_{\langle 2, 1 \rangle} V_\alpha \sim V_{\alpha - \frac{b}{2}} + V_{\alpha + \frac{b}{2}}$  with in general  $\alpha \pm \frac{b}{2} \notin \frac{Q}{2} + i\mathbb{R}$ . We assume that correlation functions involving  $V_{\alpha \pm \frac{b}{2}}$  make sense by analytic continuation in  $\alpha$ . So, let us introduce notations for OPE coefficients of  $V_{\langle 2, 1 \rangle}$ :

$$V_{\langle 2, 1 \rangle} V_\alpha \sim C_+(\alpha)V_{\alpha - \frac{b}{2}} + C_-(\alpha)V_{\alpha + \frac{b}{2}} . \quad (4.15)$$

**Exercise 4.11** (Degenerate OPE coefficients)

*Show that there exists a field normalization that is compatible with the two-point function (4.12), and such that*

$$C_+(\alpha) = 1 . \quad (4.16)$$

*In this normalization, show that*

$$C_-(\alpha) = \frac{R(\alpha)}{R(\alpha + \frac{b}{2})} . \quad (4.17)$$

## 5 Four-point functions

Let us determine the three-point structure constant by solving crossing symmetry equations. We begin with the equations that come from four-point functions with degenerate fields. These equations are enough for uniquely determining the three-point structure constant.

## 5.1 Single-valued four-point functions

The four-point function  $G(z) = \langle V_{(2,1)}(z)V_{\Delta_1}(0)V_{\Delta_2}(\infty)V_{\Delta_3}(1) \rangle$  obeys second-order BPZ equations in  $z$  and  $\bar{z}$ , and the most general solution is

$$G(z) = \sum_{i,j=\pm} c_{ij}^{(s)} \mathcal{F}_{\alpha_1-i\frac{b}{2}}^{(s)}(z) \mathcal{F}_{\alpha_1-j\frac{b}{2}}^{(s)}(\bar{z}) . \quad (5.1)$$

Conformal blocks behave as powers of  $z$  near  $z = 0$  (3.13), and single-valuedness requires that we have the same power on the left and on the right. This implies  $c_{+-}^{(s)} = c_{-+}^{(s)} = 0$ . By the same reasoning, single-valuedness near  $z = 1$  requires  $c_{+-}^{(t)} = c_{-+}^{(t)} = 0$ . Now  $c_{ij}^{(t)}$  and  $c_{ij}^{(s)}$  are the coefficients of  $G(z)$  in two different bases of solutions of the BPZ equation, and are related by a change of basis:

$$\mathcal{F}_{\alpha_1-i\frac{b}{2}}^{(s)}(z) = \sum_{j=\pm} F_{ij} \mathcal{F}_{\alpha_1-j\frac{b}{2}}^{(t)}(z) \quad \Rightarrow \quad \sum_{i,j=\pm} c_{ij}^{(s)} F_{i'j'} = c_{i'j'}^{(t)} . \quad (5.2)$$

In particular we have  $0 = c_{+-}^{(t)} = c_{++}^{(s)} F_{++} F_{+-} + c_{--}^{(s)} F_{-+} F_{--}$ , so that

$$\frac{c_{++}^{(s)}}{c_{--}^{(s)}} = -\frac{F_{-+} F_{--}}{F_{++} F_{+-}} = \frac{\gamma(A)\gamma(B)\gamma(C-A)\gamma(C-B)}{\gamma(C)\gamma(C-1)} \quad \text{with} \quad \gamma(x) = \frac{\Gamma(x)}{\Gamma(1-x)} , \quad (5.3)$$

where the combinations  $A, B, C$  of the momentums  $\alpha_1, \alpha_2, \alpha_3$  are given in eq. (3.19).

This concludes the mathematical exercise of finding single-valued solutions of the hypergeometric equation. Now, in conformal field theory, the coefficients  $c_{ij}^{(s)}$  are further constrained to be combinations of OPE coefficients and three-point structure constants,

$$c_{++}^{(s)} = C_+(\alpha_1) C_{\alpha_1-\frac{b}{2}, \alpha_2, \alpha_3} \quad , \quad c_{--}^{(s)} = C_-(\alpha_1) C_{\alpha_1+\frac{b}{2}, \alpha_2, \alpha_3} . \quad (5.4)$$

To get an equation for the three-point structure constant  $C_{\alpha_1, \alpha_2, \alpha_3}$ , we must first determine the degenerate OPE coefficients  $C_{\pm}(\alpha)$ . To do this, we consider the special case where the last field is degenerate too, i.e. the four-point function  $\langle V_{(2,1)}(z)V_{\alpha}(0)V_{Q-\alpha}(\infty)V_{(2,1)}(1) \rangle$ . In this case, we have

$$c_{++}^{(s)} = C_+(\alpha) C_-(\alpha - \frac{b}{2}) = \frac{R(\alpha - \frac{b}{2})}{R(\alpha)} \quad , \quad c_{--}^{(s)} = C_-(\alpha) C_+(\alpha + \frac{b}{2}) = \frac{R(\alpha)}{R(\alpha + \frac{b}{2})} , \quad (5.5)$$

and eq. (5.3) boils down to

$$\frac{R(\alpha - \frac{b}{2})R(\alpha + \frac{b}{2})}{R(\alpha)^2} = \frac{\gamma(2b\alpha)\gamma(b(Q-2\alpha))}{\gamma(b(2Q-2\alpha))\gamma(b(2\alpha-Q))} . \quad (5.6)$$

We could get the same equation with  $b \rightarrow \frac{1}{b}$  by having  $V_{(1,2)}$  instead of  $V_{(2,1)}$  in the four-point function. Moreover, we must have  $R(\alpha)R(Q-\alpha) = 1$ . The solution of these equations is

$$R(\alpha) = b^2 R(\frac{Q}{2}) \mu^{Q-2\alpha} \frac{\gamma(b(2\alpha-Q))}{\gamma(\frac{1}{b}(Q-2\alpha))} \quad \text{with} \quad R(\frac{Q}{2}) \in \{1, -1\} , \quad (5.7)$$

where the new parameter  $\mu$  is called the cosmological constant. This also determines  $C_{\pm}(\alpha)$ , and we can deduce a shift equation for three-point structure constants from eq. (5.3) and (5.4),

$$\frac{C_{\alpha_1+b, \alpha_2, \alpha_3}}{C_{\alpha_1, \alpha_2, \alpha_3}} = \mu^{-b} b^{-4} \frac{\gamma(2b\alpha_1)\gamma(2b\alpha_1+b^2)}{\prod_{\pm, \pm} \gamma(b\alpha_1 \pm b(\alpha_2 - \frac{Q}{2}) \pm b(\alpha_3 - \frac{Q}{2}))} . \quad (5.8)$$

Again, the equation with  $b \rightarrow \frac{1}{b}$  should hold too. We will now solve these equations.

## 5.2 Determining three-point structure constants

In contrast to the shift equations for  $R(\alpha)$ , the shift equations for  $C_{\alpha_1, \alpha_2, \alpha_3}$  cannot be solved using Gamma functions. Rather, we need a function that produces Gamma functions when its argument is shifted by  $b$  or  $\frac{1}{b}$ .

### Exercise 5.1 (Upsilon function)

For  $b > 0$ , show that there is a unique (up to a constant factor) holomorphic function  $\Upsilon_b(x)$  that obeys the shift equations

$$\frac{\Upsilon_b(x+b)}{\Upsilon_b(x)} = b^{1-2bx} \gamma(bx) \quad \text{and} \quad \frac{\Upsilon_b(x+\frac{1}{b})}{\Upsilon_b(x)} = b^{\frac{2x}{b}-1} \gamma\left(\frac{x}{b}\right), \quad (5.9)$$

For  $ib > 0$ , show that the meromorphic function

$$\hat{\Upsilon}_b(x) = \frac{1}{\Upsilon_{ib}(-ix+ib)}, \quad (5.10)$$

obeys shift equations that differ from eq. (5.9) by  $b^{\dots} \rightarrow (ib)^{\dots}$ .

The functions  $\Upsilon_b(x)$  and  $\hat{\Upsilon}_b(x)$  can respectively be defined for  $\Re b > 0$  and  $\Re ib > 0$  by analytic continuation. And we have

$$\Upsilon_b(x) = \lambda_b^{\left(\frac{Q}{2}-x\right)^2} \prod_{m,n=0}^{\infty} f\left(\frac{\frac{Q}{2}-x}{\frac{Q}{2}+mb+nb^{-1}}\right) \quad \text{with} \quad f(x) = (1-x^2)e^{x^2}, \quad (5.11)$$

where  $\lambda_b$  is an unimportant  $b$ -dependent constant. Using the functions  $\Upsilon_b(x)$ , we can write a solution  $C$  of the shift equations (5.8) for three-point structure constants,

$$C_{\alpha_1, \alpha_2, \alpha_3} = \frac{\left[b^{\frac{2}{b}-2b\mu}\right]^{Q-\alpha_1-\alpha_2-\alpha_3} \Upsilon_b(2\alpha_1) \Upsilon_b(2\alpha_2) \Upsilon_b(2\alpha_3)}{\Upsilon_b(\alpha_1 + \alpha_2 + \alpha_3 - Q) \Upsilon_b(\alpha_1 + \alpha_2 - \alpha_3) \Upsilon_b(\alpha_2 + \alpha_3 - \alpha_1) \Upsilon_b(\alpha_3 + \alpha_1 - \alpha_2)}. \quad (5.12)$$

This solution is called the DOZZ formula for Dorn, Otto, A. Zamolodchikov and Al. Zamolodchikov. It holds if  $c \notin ]-\infty, 1]$  i.e.  $b \notin i\mathbb{R}$ . On the other hand, doing the replacements  $\Upsilon_b \rightarrow \hat{\Upsilon}_b$  and  $b^{\dots} \rightarrow (ib)^{\dots}$ , we obtain a solution  $\hat{C}$  that holds if  $c \notin [25, \infty[$  i.e.  $b \notin \mathbb{R}$ .

In the case of (generalized) minimal models, the momentums  $\alpha_{\langle r,s \rangle}$  belong to a lattice with periods  $b$  and  $\frac{1}{b}$ , so they are uniquely determined by the shift equations. The solution is given by  $C$  or  $\hat{C}$ , which coincide. (Actually  $C$  has poles when  $\alpha_i$  take degenerate values, one should take the residues.)

In the case of Liouville theory, the solution is unique if  $b$  and  $b^{-1}$  are aligned, i.e. if  $b^2 \in \mathbb{R}$ :

$$\begin{array}{ccc} \begin{array}{c} i \\ | \\ 0 \quad 1 \end{array} & \longrightarrow & \begin{array}{c} \nearrow \\ \bullet \\ \searrow \end{array} & \begin{array}{c} \uparrow \\ \bullet \\ \downarrow \end{array} \\ & & b \in \mathbb{R} & b \in \mathbb{C} & b \in i\mathbb{R} \\ & & c \geq 25 & c \in \mathbb{C} & c \leq 1 \end{array} \quad (5.13)$$

However, for general values of  $c$ , both  $C$  and  $\hat{C}$  are solutions, and there are actually infinitely many other solutions. In order to prove the existence and uniqueness of Liouville theory, we have to determine which solutions lead to crossing-symmetric four-point functions.

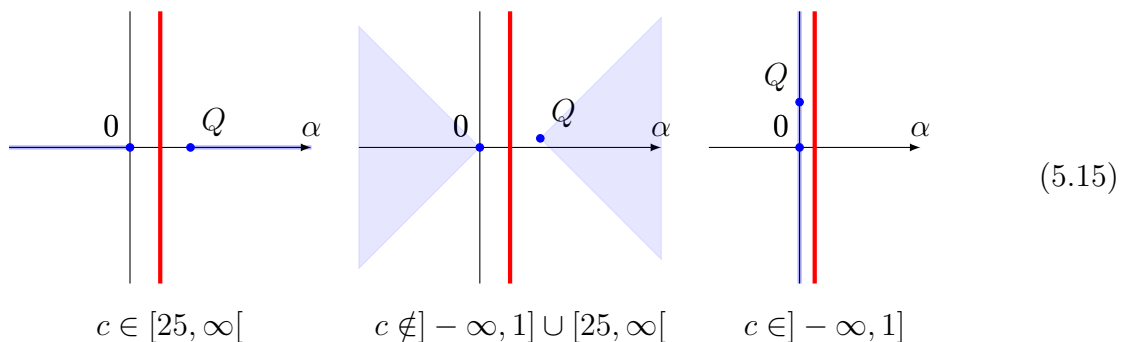
### 5.3 Crossing symmetry

We have found that (generalized) minimal models are unique for all values of  $c$ , while Liouville theory is unique at least if  $b^2 \in \mathbb{R}$ . We will now address the question of their existence.

The  $s$ -channel decomposition of a Liouville four-point function reads

$$\langle V_{\alpha_1}(z)V_{\alpha_2}(0)V_{\alpha_3}(\infty)V_{\alpha_4}(1) \rangle = \frac{1}{2} \int_{\frac{Q}{2} + i\mathbb{R}} d\alpha C_{\alpha_1, \alpha_2, Q-\alpha} C_{\alpha, \alpha_3, \alpha_4} \mathcal{F}_\alpha^{(s)}(z) \mathcal{F}_\alpha^{(s)}(\bar{z}), \quad (5.14)$$

where the structure can be  $C$  or  $\hat{C}$ . Let us accept that the conformal blocks  $\mathcal{F}_\alpha^{(s)}(z)$  have poles when  $\alpha = \alpha_{(r,s)}$  (1.17), the momentums for which the  $s$ -channel representation becomes reducible. We now plot the positions of these poles (blue regions) relative to the integration line (red), depending on the central charge:



The four-point function built from  $C$  is analytic on  $c \notin ]-\infty, 1]$ . So if Liouville theory exists for  $c \in [25, \infty[$ , then it also exists for  $c \notin ]-\infty, 1]$ , with the same structure constant  $C$ . On the other hand, the limit  $c \rightarrow ]-\infty, 1]$  is singular. Actually, for  $c \in ]-\infty, 1]$ , the integration line has to be slightly shifted in order to avoid the poles. So the structure constant  $\hat{C}$  is expected to be valid only for  $c \in ]-\infty, 1]$ .

That is how far we can easily get with analytic considerations. Let us now seek input from numerical tests of crossing symmetry. (See the associated [Jupyter notebook](#).) We find that Liouville theory exists for all values of  $c$ , with the three-point structure constants  $C$  for  $c \notin ]-\infty, 1]$ , and  $\hat{C}$  for  $c \in ]-\infty, 1]$ . We also find that generalized minimal models exist for all values of  $c$ , and minimal models exist at the discrete values of  $c$  where they are defined. And we can numerically compute correlation functions with a good precision.

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