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HAL Id: cea-01323565
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Submitted on 30 May 2016

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Linear and cubic response to the initial eccentricity in heavy-ion collisions

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(Dated: January 20, 2016)

We study the relation between elliptic flow, \( v_2 \), and the initial eccentricity, \( \varepsilon_2 \), in heavy-ion collisions, using hydrodynamic simulations. Significant deviations from linear eccentricity scaling are seen in more peripheral collisions. We identify the mechanism responsible for these deviations as a cubic response, which we argue is a generic property of the hydrodynamic response to the initial density profile. The cubic response increases elliptic flow fluctuations, thereby improving agreement of initial condition models with experimental data.

PACS numbers: 25.75.Ld, 24.10.Nz

I. INTRODUCTION

Anisotropic flow, \( v_n \), in heavy-ion collisions is understood as the hydrodynamic response to the anisotropy of the initial density profile. In hydrodynamics, \( v_n \) is typically a functional of the initial density profile \([1,2]\). For a given colliding system, energy, and centrality class, where the initial density profile fluctuates event to event, one can construct in every event predictors of elliptic flow, \( v_2 \), and triangular flow, \( v_3 \) using the initial anisotropies in the corresponding harmonics, \( \varepsilon_2 \) and \( \varepsilon_3 \) \([3,4]\). To a good approximation, \( v_2 \) and \( v_3 \) are determined by linear response to \( \varepsilon_2 \) and \( \varepsilon_3 \) \([1,3,4]\).

Deviations from linear scaling of \( v_2 \) are however seen. In ideal hydrodynamics with a smooth, density profile, \( v_2/\varepsilon_2 \) increases slightly for peripheral collisions \([10]\). With a fluctuating initial density profile, the distribution of \( v_2 \) differs from the distribution of \( \varepsilon_2 \) for Pb-Pb collisions above 35% centrality \([11]\). This has been recently shown to result from a slight upward curvature of the relation between \( v_2 \) and \( \varepsilon_2 \) \([12]\).

In Sec. II, we show that these deviations can be quantified by adding a cubic response term to the usual linear response. We study the variation of the response coefficients as a function of centrality in hydrodynamics. In Sec. III we study the effect of the cubic response on elliptic flow fluctuations in relation with LHC data. In Sec. IV we study the deviations between anisotropic flow and the predictor.

II. LINEAR AND CUBIC RESPONSE

In a hydrodynamic calculation of a relativistic heavy ion collision, particles are emitted independently from a fluid element, and all information is thus contained in the single-particle momentum distribution. This momentum distribution is determined by the initial conditions of the hydrodynamic evolution, that is, the initial energy density profile and the initial fluid velocity profile. The fluid velocity at early times is itself mostly determined by the energy density profile at earlier times \([13,14]\), as shown by direct inspection of hydrodynamic equations \([15]\) and strong coupling calculations \([16–18]\), so that all observables are to a very good approximation functionals of the initial density profile.

Anisotropic flow, \( v_n \), is defined as the complex Fourier coefficient of the single-particle azimuthal distribution in an event, that is, \( v_n = \{e^{in\phi}\} \), where \( \{\cdots\} \) denotes an average over the freeze-out surface \([20]\) of the fluid in a single event. We denote by \( \varepsilon_n \) the complex anisotropy in harmonic \( n \) \([21]\), defined as

\[
\varepsilon_n = -\frac{\int r^n e^{in\phi} \epsilon(r, \phi) r dr d\phi}{\int r^n \epsilon(r, \phi) r dr d\phi},
\]

where integration is over the transverse plane in polar coordinates, and \( \epsilon(r, \phi) \) denotes the initial energy density at midrapidity. Note that the coordinate system must be centered, so that \( \int r^n \epsilon(r, \phi) r dr d\phi = 0 \) in every event. Our study in this paper is restricted to the largest flow harmonics \( n = 2, 3 \). Other harmonics \( (v_1, v_4 \text{ and } v_5) \) involve mode mixing through large nonlinear terms, which are already well understood \([22,23]\).

We write for a given initial geometry

\[
v_n = f(\varepsilon_n) + \delta_n,
\]

where \( f(\varepsilon_n) \) is an estimator of \( v_n \) based on the initial anisotropy \( \varepsilon_n \), and \( \delta_n \) is the residual, defined as the difference between the flow and the estimator. The estimator typically depends on a number of parameters (response coefficients). These parameters are fitted in order to minimize \( \langle |\delta_n|^2 \rangle \), where angular brackets denote averages over events in a centrality class. Note that \( \delta_n = 0 \) only if the estimator reproduces both the magnitude and phase of \( v_n \) \([3]\). In this respect, our procedure differs technically from that of Ref. \([6]\), which only retains the information on the flow magnitude.

The eccentricity \( \varepsilon_n \) in a given harmonic transforms like \( v_n \) under azimuthal rotations. Therefore the estimator


...must also transform like $\varepsilon_n$ under azimuthal rotations. The simplest choice is

$$f(\varepsilon_n) = \kappa_n \varepsilon_n,$$

(3)

which corresponds to linear eccentricity scaling \[1, 5\]. The lowest nonlinear correction preserving rotational symmetry and analyticity is a cubic response term \[7, 24\]:

$$f(\varepsilon_n) = \kappa_n \varepsilon_n + \kappa_n' |\varepsilon_n|^2 \varepsilon_n,$$

(4)

where $\kappa_n$ is the linear response coefficient and $\kappa_n'$ the cubic response coefficient. Parity requires that $\kappa_n$ and $\kappa_n'$ are both real. Their explicit expressions are derived in Appendix A. Note that the values of $\kappa_n$ in Eqs. (3) and (4) differ in general, i.e., the linear response coefficient is modified by the cubic response.

![Correlation between the magnitudes of initial anisotropic flow $v_n$ and initial eccentricity $\varepsilon_n$ for Pb+Pb collisions at 2.76 TeV in the 45-50% centrality range. Each point corresponds to a different initial geometry. Dotted line: linear estimator, Eq. (3). Full line: cubic estimator, Eq. (4). (a) Elliptic flow. (b) Triangular flow.](image)

FIG. 1. (Color online) Correlation between the magnitudes of anisotropic flow $v_n$ and initial eccentricity $\varepsilon_n$ for Pb+Pb collisions at 2.76 TeV in the 45-50% centrality range. Each point corresponds to a different initial geometry. Dotted line: linear estimator, Eq. (3). Full line: cubic estimator, Eq. (4). (a) Elliptic flow. (b) Triangular flow.

We calculate $v_n$ using the boost-invariant \[25\] 2+1 dimensional viscous relativistic hydrodynamical code v-USPhydro \[26, 27\]. The initial conditions are calculated using a Monte Carlo Glauber model \[28, 30\] for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV. The energy density at an initial time $\tau_0 = 0.6$ fm/c after the collision is assumed to be proportional to the density of binary collisions \[31\]. The centrality of the event is defined according to the number of participant nucleons \[27\]. For each 5% centrality class, we generate approximately 1000 events. We assume for simplicity that there is no initial transverse flow velocity $u^\perp = u^y = 0$, and that the bulk pressure, $\Pi$, and the shear stress tensor, $\pi^\mu{}\nu$, vanish at $\tau_0$. We use a constant shear viscosity over entropy ratio $\eta/s = 1/4\pi$ \[32, 33\], and zero bulk viscosity. While a temperature dependent $\eta/s(T)$ and $\zeta/s(T)$ may be more realistic such as from \[34, 35\], it is unlikely that either would have a large impact on the results because the mapping is nearly identical between our constant $\eta/s$ and the $\eta/s(T) + \zeta/s(T)$ from \[7\]. The equation of state is that of Ref. \[36\] with vanishing baryon chemical potential. We have adopted the popular quadratic ansatz for the viscous correction to the thermal distribution function \[37, 38\] and a constant freeze-out temperature $T_{FO} = 130$ MeV. We calculate $v_n$ for pions emitted directly at freeze-out over the transverse momentum range $0.3 < p_t < 3$ GeV/c \[39\].

Fig. 1 displays scatter plots of the magnitudes of initial anisotropies $|\varepsilon_n|$ and anisotropic flow $|v_n|$ for $n = 2, 3$ in Pb+Pb collisions at 2.76 TeV in the 45-50% centrality range. The linear and cubic estimators (3) and (4) are also shown as dashed and solid lines, respectively. Note that these lines do not strictly correspond to best fits of the set of points: the magnitude of the best fit does not coincide with the best fit to the magnitudes (it is slightly lower), because the optimization of the estimator also involves the phases (see Appendix A for details). For elliptic flow, a clear departure from linear scaling is seen for large $|\varepsilon_2|$ \[12\], which is captured by the cubic term, and corresponds to a positive $\kappa_2'$. For triangular flow, such nonlinear effects are negligible. The dispersion of the results around the best-fit curve is studied in Sec. IV.

The values of $\kappa_2$ and $\kappa_2'$ from Eq. (4) are displayed in Fig. 2 as a function of centrality. Statistical errors due to the finite number of events, shown as vertical bars in figures, are estimated by jackknife resampling \[40\]. A cubic response clearly appears above 10% centrality, although it is too small to be seen by visual inspection of the scatter plots below 40% centrality. While the linear response decreases with centrality, as expected as a consequence of viscous suppression \[41\], the cubic response increases with centrality, in such a way that the sum $\kappa_2 + \kappa_2'$ remains approximately constant (squares in Fig. 2). For sake of comparison, we have also carried out ideal hydrodynamic simulations for selected centrality bins (not shown). The linear response coefficient is larger than for viscous hydrodynamics, as expected, but the cubic coefficient is smaller: $\kappa_2$ and $\kappa_2'$ again vary in opposite directions. We have also carried out calculations with MCKLN \[42\] initial conditions (not shown) and compared with the results from Glauber initial conditions.
The results with smooth initial conditions are shown as lines in Fig. 2. Up to 30% centrality, smooth initial conditions and fluctuating initial conditions give very similar results for both $\kappa_2$ and $\kappa_2'$. Above 30% centrality, the centrality dependence is stronger with fluctuating initial conditions than with smooth initial conditions. In particular, no increase of $\kappa_2'$ with centrality percentile is observed with smooth initial conditions. This difference between smooth initial conditions and fluctuating initial conditions appears — as it should — when the size of the system is smaller and becomes comparable to the size of the fluctuations. We also find (not shown in figure) that the cubic response coefficient is slightly larger in ideal hydrodynamics than in viscous hydrodynamics, while the opposite variation is seen with fluctuating initial conditions.

Thus all our hydrodynamic calculations, ideal or viscous, with or without fluctuations confirm that a cubic response exists in addition to the well known linear response. The effect of the cubic response is negligible for central collisions but becomes sizable as the centrality percentile increases. Around 50% centrality, about 10% of the elliptic flow comes from the cubic term, hence the cubic response matters for precision studies.

For $v_3$, a similar analysis shows the relevant cubic response term is proportional to $|\epsilon_3|^2 \epsilon_3$, not $|\epsilon_3|^2 \delta_3$. Detailed results are presented in Appendix B.

We now discuss the effect of cubic response on elliptic flow fluctuations.

### III. APPLICATION TO ELLIPTIC FLOW FLUCTUATIONS

![Graph showing ratio $(v_2^4)/(v_2^2)^2$ for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV as a function of centrality percentile.]

In particular, the phase of $\delta_2$ is exactly zero due to symmetry of the Gaussian.

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1 In particular, the phase of $\delta_2$ is exactly zero due to symmetry of the Gaussian.
tic flow fluctuations. The magnitude of flow fluctuations can be quantified by ratios of cumulants [32, 33] or moments [54] of the distribution of $v_2$. The simplest ratio is \[ \frac{\langle |v_2|^4 \rangle}{\langle |v_2|^2 \rangle^2} \], where angular brackets denote an average over events in a centrality class. Neglecting $\delta_n$ in Eq. (2), Eq. (4) gives, to leading order in the cubic response $\kappa_2'$:

\[ \frac{\langle |v_2|^4 \rangle}{\langle |v_2|^2 \rangle^2} \approx \frac{\langle |e_2|^4 \rangle}{\langle |e_2|^2 \rangle^2} \left( 1 + 4 \frac{\kappa_2'}{\kappa_2} \left( \frac{\langle |e_2|^6 \rangle - \langle |e_2|^4 \rangle}{\langle |e_2|^2 \rangle^2} \right) \right). \tag{5} \]

The left-hand side differs from the right-hand side by less than 0.02 for all centralities, which means that the ratio of moments of the $v_2$ distribution is determined to an excellent approximation by the corresponding ratio of eccentricities, corrected by the cubic response.

When $\kappa_2' > 0$, the cubic response increases the ratio. The shaded bands in Fig. 3 display the right-hand side of Eq. (5) with and without the cubic response $\kappa_2'$, for our Monte-Carlo Glauber model of initial conditions. With linear response alone, the ratio is slightly too large for central collisions and too low for peripheral collisions. The cubic response leaves the ratio unchanged for central collisions but increases it by up to 15% for peripheral collisions where it significantly improves agreement with experimental data [51].

In a previous hydrodynamic study using as initial condition the IP-Glasma model [11], it was found that the distribution of $v_2$ matches experimental data for all centralities while the distribution of $e_2$ is too narrow for centralities above 35%. This observation is naturally explained by the cubic response. Figure 3 shows that the fluctuations of $e_2$ are very similar with the IP-Glasma model and with the Monte-Carlo Glauber.

The fact that linear eccentricity scaling alone under-predicts the ratio for peripheral collisions has also been noted previously [21] using Monte-Carlo Glauber and MCKLN [12] models. It seems a generic feature of existing models of initial conditions. Once the cubic response is taken into account, one expects models to be in better agreement with data on elliptic flow fluctuations.

IV. RESIDUAL ANALYSIS

Figure 4 shows that there is a significant dispersion of anisotropic flow for a given initial anisotropy, i.e., a significant residual $\delta_n$. For elliptic flow, the magnitude of $\delta_2$ is typically 10% of the value of $v_2$, which is as large or larger than the cubic response. But unlike the cubic response, the residual averages to zero, so that its effect on measured quantities, which are averaged over many events, is small. For instance, its contribution to the mean square elliptic flow is proportional to $|\delta_2|^2$, as shown by Eq. (3). Therefore, the correction from the residual to the rms value of $v_2$ is typically less than 1% in relative value.

The residual $\delta_n$ is due to short-range fluctuations whose effect is not captured by the eccentricity $e_n$. Fluctuations in our calculation are due to the finite number of participant nucleons $N_p$. Therefore one naturally expects that the magnitude of $\delta_n$ scales roughly like $N_p^{-1/2}$. Figure 4 displays $\sqrt{N_p} \langle |\delta_n|^2 \rangle$ as a function of the centrality percentile from our viscous hydrodynamic calculation for $n = 2, 3$. One sees that it varies by less than a factor 2, while the number of participants varies almost by a factor 10. The decrease of $\langle |\delta_3|^2 \rangle / \langle |\delta_2|^2 \rangle$ as a function of centrality percentile seen in Fig. 4 can be ascribed to the larger damping of $v_3$, relative to $v_2$ [52].

We have checked that $\langle |\delta_n|^2 | f_n |^2 \rangle = \langle |\delta_n|^2 \rangle \langle |f_n|^2 \rangle$ within errors for all centralities. This means that the magnitude of the residual is independent of that of the estimator, a property referred to as homoscedasticity.

Finally, we have studied whether the distribution of $\delta_n$ is isotropic. The projection of $\delta_n$ parallel to the estimator $f(e_n)$ corresponds to the dispersion in the magnitude $|v_n|$, while the projection perpendicular to $f(e_n)$ corresponds to the dispersion in the flow angle. For elliptic flow, we find a sizable anisotropy as the centrality percentile increases: $\langle |\text{Re}(\delta_2 f(e_2))|^2 \rangle > \langle |\text{Im}(\delta_2 f(e_2))|^2 \rangle$, which means that the relative fluctuations of the flow magnitude with respect to the estimator are larger than the fluctuations of the flow angle.

V. CONCLUSIONS

We find that the elliptic flow not only has a contribution from the usual linear response from the initial eccentricities but there is a nonzero cubic response that plays a strong role for mid-central to peripheral collisions, which highlights the importance of cubic response for large eccentricities. In fact, its contribution to the to-
tal elliptic flow is of order 10% at around 50% centrality. The existence of non-zero cubic response indicates that the distribution of $v_2$ is not homothetic to the distribution of $v_2$, as usually assumed \[24, 56, 59\].

Because we consistently see this effect regardless of the scale of fluctuations and the type of viscosity, we conclude that it is a general property of the hydrodynamic response. Current calculations are for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV, however, previous results at Au+Au RHIC energies \[5\] qualitatively appear to have nearly identical response. Most likely smaller, asymmetric systems would display similar effects but they may see a larger influence from small scale structure \[60\].

The sum of the linear and cubic response is approximately constant across centralities so one would naively expect a simple explanation. Note that this quantity corresponds (see Eq. 31) to the limiting value of $v_2$ for $\varepsilon_2 \rightarrow 1$, i.e. to the emission from a one-dimensional source. While both smoothed and event-by-event initial conditions see no non-zero cubic response, the magnitude of the each is quite different across centralities. With fluctuating initial conditions, the cubic response coefficient, $\kappa_2$, consistently increases as a function of centrality percentile whereas it is roughly constant for smoothed initial conditions. Thus, for event-by-event initial conditions the cubic response is large precisely in the region where the cubic response is most relevant. Conversely, the linear response coefficient, $\kappa_2$, decreases across centralities at a steeper rate for event-by-event fluctuations. We conclude then that the cubic response depends on the detailed structure of initial conditions with a non-trivial dependence on the small scale fluctuations of the initial density profile, which deserves further investigations.

ACKNOWLEDGMENTS

JNH acknowledges support from the US-DOE Nuclear Science Grant No. DE-FG02-93ER40764. LY is funded by the European Research Council under the Advanced Investigator Grant ERC-AD-267258. FGG was supported by Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) No. 449694/2014-3, and Fapemig. We thank Matt Luzum for useful discussions. JYO thanks the Tata Institute of Fundamental Research for hospitality while this work was being completed.

Appendix A: Expressions of response coefficients

If the estimator $f(\varepsilon_n)$ in Eq. 2 depends on a number of parameters, minimizing $\langle|\delta_n|^2\rangle$ with respect to these parameters gives the condition

$$\text{Re}(\langle v_n - f(\varepsilon_n) \rangle f^*(\varepsilon_n)) = \text{Re}(\delta_n f^*(\varepsilon_n)) = 0.$$  \hspace{1cm} (A1)

Differentiating with respect to $\kappa_n$ in Eq. 3 or Eq. 4 (keeping $\kappa_n'/\kappa_n$ constant) gives $df \propto f$, and Eq. (A1) gives

$$\Re(\langle v_n f^*(\varepsilon_n) \rangle) = \langle|f(\varepsilon_n)|^2\rangle.$$  \hspace{1cm} (A2)

This equation allows to relate the difference $\langle|\delta_n|^2\rangle$ to the Pearson correlation coefficient between the flow $v_n$ and the estimator $f(\varepsilon_n)$. Using Eq. 2 and Eq. (A2), one obtains

$$\langle|\delta_n|^2\rangle = \langle|v_n|^2\rangle - \langle|f(\varepsilon_n)|^2\rangle.$$  \hspace{1cm} (A3)

The Pearson correlation coefficient is defined as

$$Q_n = \frac{\Re(\langle v_n f^*(\varepsilon_n) \rangle)}{\sqrt{\langle|v_n|^2\rangle \langle|f(\varepsilon_n)|^2\rangle}} = \frac{\langle|f(\varepsilon_n)|^2\rangle}{\langle|v_n|^2\rangle},$$  \hspace{1cm} (A4)

where, in the last equality, we have used Eq. (A2). $Q_n$ lies between $-1$ and $+1$. Using this equation, Eq. (A3) gives

$$\langle|\delta_n|^2\rangle = 1 - Q_n^2.$$  \hspace{1cm} (A5)

When $Q_n$ is close to 1, the difference between the flow and the estimator is small, as expected.

With a purely linear response, Eq. 3, the expression of the coefficient is

$$\kappa_n = \frac{\Re(\langle v_n \varepsilon_n^* \rangle)}{\langle|\varepsilon_n|^2\rangle}.$$  \hspace{1cm} (A6)

When a cubic response term is added, Eq. 4, one must minimize $\langle|\delta_n|^2\rangle$ with respect to $\kappa_n$ and $\kappa_n'$. This yields a system of two equations whose solution is

$$\kappa_n = \frac{\Re(\langle v_n \varepsilon_n^* \rangle)}{\langle|\varepsilon_n|^2\rangle},$$

$$\kappa_n' = \frac{\Re(\langle v_n \varepsilon_n^* \rangle)}{\langle|\varepsilon_n|^2\rangle}.$$  \hspace{1cm} (A7)

Note that the expression of the linear response coefficient $\kappa_n$ is modified by including a cubic response. On the other hand, the cubic response only increases the Pearson coefficient $Q_n$ by a negligible amount.

Appendix B: Triangular flow

We have carried out the same analysis for $v_3$ as for $v_2$. With fluctuating initial conditions, the cubic response $\kappa_3'$ defined in Eq. 4 is compatible with zero within statistical error bars, as seen in Fig. 5. Considering all centralities together, a negative value is preferred. We have also tested a different type of cubic response mixing the second and third harmonic, namely:

$$v_3 = \kappa_3 \varepsilon_3 + \kappa_3' \varepsilon_2 \varepsilon_3 + \delta_3.$$  \hspace{1cm} (B1)

Since $|\varepsilon_2|$ is significantly larger than $|\varepsilon_3|$ for mid-central collisions, one expect that such a term could be larger.
than a cubic term involving just $\varepsilon_3$. The values of $\kappa_3'$ are plotted in Fig. 5 as a function of centrality. Considering all centralities together, there is significant evidence for a small positive $\kappa_3' \sim 0.03$.

Figure 5 also presents our results for the linear response $\kappa_3$. Its value is essentially the same whether or not one includes cubic terms in the fit. It varies less than $\kappa_2$ as a function of centrality. We have also carried out a calculation with smooth initial conditions obtained by a triangular deformation of a symmetric Gaussian [1]. The resulting values of $\kappa_3$, shown as a solid curve in Fig. 5, are close to those obtained with fluctuating initial conditions.

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