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Compilation of a Countermeasure Against Instruction-Skip Fault Attacks

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ABSTRACT

Physical attacks especially fault attacks represent one the major threats against embedded systems. In the state of the art, software countermeasures against fault attacks are either applied at the source code level where it will very likely be removed at compilation time, or at assembly level where several transformations need to be performed on the assembly code and lead to significant overheads both in terms of code size and execution time. This paper presents the use of compiler techniques to efficiently automate the application of software countermeasures against instruction-skip fault attacks. We propose a modified LLVM compiler that considers our security objectives throughout the compilation process. Experimental results illustrate the effectiveness of this approach on AES implementations running on an ARM-based microcontroller in terms of security overhead compared to existing solutions.

Categories and Subject Descriptors

C.3 [Special-Purpose And Application-Based Systems]: Microprocessor/microcomputer applications; K.6.5 [Security and Protection]: Physical security; D.3.4 [Processors]: Compilers—LLVM

Keywords

Fault Attacks, Countermeasures, Compiler, LLVM, AES

1. INTRODUCTION

In the last decade, embedded systems have increasingly become a critical part of our daily life, they represent the largest consumer electronics market segment. Despite the crisis affecting the global economy, this sector still continues to grow and generating profits. Only in 2014 there have been more than 8 billion of smart cards sold worldwide [7]. Most of these systems such as payment cards, access cards,

SIM cards, smartphones, store and manipulate data of different kind: personal, confidential and sometimes critical. Hence, the security of these systems reveals itself as a major concern both for Industrial companies and also for states organizations. Physical attacks represent one of the most fearsome threats against embedded systems. Unlike classical cryptanalysis which basically rely on the mathematical robustness of cryptosystems, these attacks in turn exploit weaknesses of their implementations. Among them, Fault Attacks introduced by Boneh et al. [6] aims to analyze the effect of a deliberate disturbance of a circuit during its operation. The disruption can be induced through several ways, the most common techniques are: Variation of the supply voltage, variations in the clock signal, extreme variation of the temperature, focused white light, electromagnetic injection, X-rays and ion beams [3]. Since an electronic device is composed of several levels of hardware and software abstractions, talking about fault attack requires precising which abstraction layer we are dealing with and what kind of effects are expected, known as *Fault Model*. Verbauwhe et al. [12] present a pyramidal classification of different fault models, from algorithm level to logic level.

In this paper we consider the fault model presented by Moro et al. in [10], which assumes that the effect of the injected fault on a 32-bit microcontroller leads to an instruction skip. Moro et al. [11] and Barengi et al. [4] have proposed implementations of the *Instruction Redundancy* technique as a countermeasure against this fault model, and formally proven by Moro et al. [11]. However the general approach of their propositions consists of duplicating instructions at the assembly code level. The downside of this approach is that most of assembly instruction cannot be duplicated trivially, as an example: the ARM assembly instruction `add r0, r0, r1` which performs an addition of `r1` and `r0` and then stores the result in `r0` cannot be executed more than once due to the fact that `r0` is both a source and destination register. Therefore several transformations need to be performed on assembly instructions in order to adapt them to the instruction redundancy countermeasure, and lead to significant overhead.

To date, existing solutions are often *ad hoc* [5, 4, 11] and manually inserted by experts in the field. As a consequence, the application of such countermeasures is still challenging and costly task in the industry area. To reduce design cost and increase the confidence in security designs (because the manual insertion of protections is error prone), industries are strongly in demand of automatized tools.

To address these concerns, we propose an LLVM-based compiler to efficiently automate the application the instruction redundancy countermeasure during the compilation. To achieve this goal, some existing passes of the original compiler are modified and new ones are introduced. Our compiler take as input the source code to protect and produces a protected binary code ready to run. To the best of our knowledge this work presents the first use of compiler techniques to implement a countermeasure against instruction-skip fault model. Experimental results show the effectiveness of our solution in terms of overhead mitigation compared to existing approaches.

This paper is organized as follows: The section 2 discusses about limitations of the existing countermeasure approaches. Then we describe our proposed compilation approach in section 3. The section 4 is dedicated to experimental results and evaluations, followed by concluding remarks in section 5.

2. EXISTING APPROACHES

Since the emergence of fault attacks in 1997 [6], several *hardware* and *software* countermeasures have already been proposed, and essentially aim to avoid, detect and/or correct occurred faults. The advantage of software countermeasures is that no hardware modifications are needed, and in the case where a new generation of attacks appear, they are easy to update.

Lalande et al. in [9] propose a methodology to detect harmful intra-procedural jump attacks by inserting routine at source code level that checks and increments a counter before each instruction. The downside of applying countermeasures at source code level is that at least all the compiler code optimizers have to be disabled at compilation time. Otherwise we have no guarantee that the countermeasure will remain intact inside the binary code. This phenomenon is known as WYSINWYX (What You See Is Not What You eXecute) [2], which refers to the mismatch between what the source code description seems to indicate and what actually will be executed by the processor.

Barengi et al. in [5] proposed an implementation of instruction duplication and instruction triplication at assembly level. However their solution only covers a small number of assembly instructions and designed to fit their AES implementation. Moro et al. in [11] proposed a similar solution in a larger scale with the advantage of covering almost all the considered instruction set (ARM Thumb2).

2.1 Limitations

An instruction is Idempotent when it can be executed more than once with always the same result. The duplication of such instructions is straightforward. For example: In

<pre>1 add r0, r1, r2</pre>	<pre>1 add r0, r1, r2 2 add r0, r1, r2</pre>
(a) Original code	(b) Duplicated Code

Figure 1: Duplication of idempotent instructions

figure 1, the instruction `add r0, r1, r2` performs an addition of `r1` and `r2` and stores the result in `r0`. The content of `r0` will remain the same even after several executions.

This is because in this case registers are allocated in such a way that the result of the operation is stored in a different register `r0`. Actually the default behavior of compilers is to consume as least as possible physical registers, by reusing as much as possible each register if possible, instead of allocating a new one each time. Most of the time this instruction looks like `add r0, r0, r1`, where one of the source registers is also the destination register, and consequently the instruction is no longer idempotent. To duplicate such an instruction, Moro et al. [11] propose a method to transform the instruction into an idempotent form, illustrated in figure 2. It consists of finding a free register, here denoted: `rx`, copy the content of `r0` in `rx` and then replace the source register `r0` by `rx`.

<pre>1 add r0, r0, r1</pre>	<pre>1 mov rx, r0 2 mov rx, r0 3 add r0, rx, r1 4 add r0, rx, r1</pre>
(a) Original code	(b) Duplicated Code

Figure 2: Transformation and duplication of a non idempotent instruction

The first problem of this approach is how to find free registers at the assembly code level? Authors suggest to use the `r12` register which is considered as a scratch register in the ARM Application Binary Interface (ABI) [1]. But what if several instructions need to be transformed? In [5] authors propose an ad-hoc solution, they assume that their AES implementation uses only 9 registers and therefore, there still 4 available registers on their ARM-based Microcontroller, which prevents their solution to work on other implementations.

The second problem of this approach is the overhead introduced by these transformations. In the previous example to protect one single instruction we need to replace it by four instructions. [11] showed that to protect the instruction: `umlal rlo, rhi, rn, rm` we need 14 instructions. It severely impacts both the execution performance and the code size.

This approach suffers from the fact that most of assembly instructions need to be transformed before duplication, because the compiler generates assembly code regardless of our requirements. Our approach consists of modifying the compiler in order to consider security objectives throughout the code generation process, so that instructions like `umlal` will no longer be generated, they will automatically be replaced by suitable ones. The register allocator and other components of the compiler are modified to maximise idempotent instructions.

3. COMPILATION APPROACH

3.1 Overview

In this section, we describe how we modify the LLVM compiler in order to apply the countermeasure formally verified by Moro et al. [11]. The countermeasure is activated by a specific compilation flag so that the developer can select the source files that will be protected against fault attacks. Future works will allow the developer to select the program

section to protect with source code annotations (using pragmas) in order to target specific functions or even code sections. This practical issue does however not reduce the general interest or effectiveness of our approach.

Our protection scheme [11] consists in (1) transforming all the machine instructions into a semantically equivalent sequence of idempotent instructions, and then (2) duplicating all the idempotent instructions. An idempotent instruction is an instruction that can be freely re-executed without changing the resulting state of the program. Hence, even if one of the duplicated instructions is faulted, resulting in an instruction skip according to the fault model, the other instruction is still executed so that the program execution produces a correct result.

In fact, an instruction is idempotent when its inputs are not modified during its execution. Input values of an instruction are modified in two cases:

1. When the characteristic of the instruction is that it implicitly updates one of its source registers, for example: `push {r0}` which writes the value of `r0` at the address contained in the `sp` register and then decrements `sp` by 4, that makes `sp` as an implicit modified source register.
2. When registers are allocated in such away that one of the source registers of the instruction is also a destination register, for example: `add r0, r0, r1` which accumulates the result of `r0+r1` in `r0`.

Hence, the proposed scheme consists of (1) modifying the *Register Allocator* pass (section 3.4) in order to guarantee that input and output values will never share the same registers; (2) transforming the instructions into idempotent ones (sections 3.3 and 3.5). Once all the instructions have been transformed into a semantically equivalent idempotent form, they can be safely duplicated (section 3.5.5).

3.2 Instruction Duplication

In the LLVM compiler, a program goes through several representations before arriving to the target-dependent representation. Performing the duplication inside the compiler raises the question of which representation is the most suitable for instruction duplication. Ideally one would like it to be on the intermediate representation, because in this case the countermeasure could be generalized for all languages and architectures supported by the compiler. But actually it depends on the countermeasure model, it may require to be more or less close to the hardware. In our case, the instruction duplication cannot be carried out at the IR representation due to the so called *SSA* (Static Single Assignment) form, which prevents affecting a virtual register more than once inside a delimited code region (Basic Block).

Figure 3 illustrates the limitations caused by the SSA form. The sub-figure 3b is the representation in LLVM byte-code of 3a, names of virtual registers are preceded by: `%`. The sub-figure 3c represents an attempt to duplicate instruction at the IR, where we can notice that duplicated instructions are no longer identical to original ones. Actually virtual registers of duplicated instructions have been renamed to comply with the SSA form (only one assignment for each virtual register). And since these renamed virtual registers are never used elsewhere, they are considered as *dead*, and corresponding instructions will trivially be removed by the

DCE (Dead Code Elimination) pass. This is the reason why the instruction duplication must happen only after the SSA form (after the physical registers are allocated). And because the idempotence is the necessary and sufficient condition to duplicate an instruction, we have modified two LLVM passes to maximize the number of idempotent instructions, and implemented six new passes to transform the rest of instructions into idempotent forms. The internal structure of our modified compiler is illustrated by figure 4, where grey boxes represent modified LLVM passes and black boxes depict the new passes we have implemented.

```
1 int foo(int a, int b, int c) {
2   return a * b * c;
3 }
```

(a) Source Code

```
1 %mul1 = mul %a, %b
2 %mul2 = mul %mul1, %c
3 ret %mul2
```

(b) LLVM Byte-Code

```
1 %mul1 = mul %a, %b
2 %mul11 = mul %a, %b
3 %mul2 = mul %mul1, %c
4 %mul22 = mul %mul1, %c
5 ret %mul2
6 ret %mul2
```

(c) Attempted duplication

Figure 3: Illustration of instruction duplication on the IR

3.3 Modification of the Instruction Selector (IS)

The Instruction Selection pass is composed of a set of LLVM passes responsible for transforming the program from a tree-based representation into a low-level representation very close to the target language, and interfacing with the target ABI (Application Binary Interface) in order to select appropriated target instructions for each operation described by the program developer. For example, in the case of a multiplication by a power of two values, this pass has to find whether it is profitable for the target hardware in terms of execution speed to select a `mul` or `shift` instruction. We have modified this pass to take into account both the ABI specifications and also the needs of the implemented countermeasure, so that idempotent instructions are the ones privileged during the selection. For example: the figure 5 shows the tree-based representation of this operation: $(a * b) + c$. When transforming this tree into the target architecture rep-

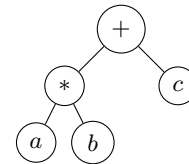


Figure 5: Tree-based representation

resentation (ARM microcontroller in our case), the Multiply an Accumulate (`mLa`) instruction is matched by default,

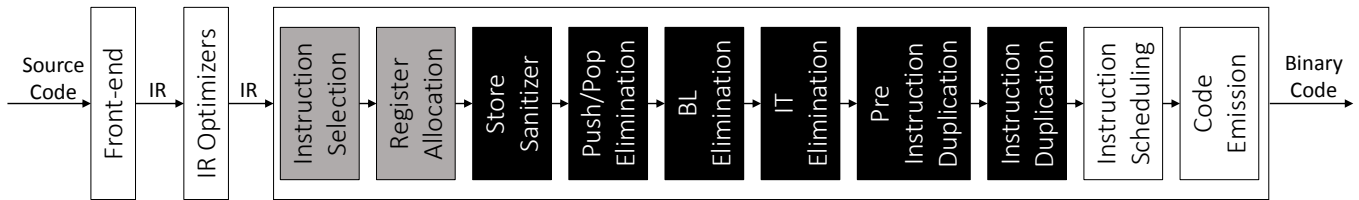


Figure 4: Internal structure of the modified compiler
Grey boxes represent modified passes and black boxes depict implemented passes

which is a non-idempotent instruction. Instead, we force the selection of a `mul` followed by an `add`, and they are both idempotent. However, this first step of modification concerns only the selection of the suitable instruction opcode, not the number of operands they will take. In fact, if the underlying architecture supports 16-bit instructions encoding, this pass attempts to reduce the number of operands to two if possible. In the case of the `add` instruction: `add reg1, reg2` is equivalent to `add reg1, reg1, reg2` meaning $reg1 = reg1 + reg2$. The first one is definitely non idempotent and the second one can be idempotent if registers are allocated in this way: `add reg0, reg1, reg2`. This is the core motivation of modifications introduced in the Register Allocator (presented below).

3.4 Modification of the Register Allocator (RA)

The role the register allocator is to map the endless number of SSA virtual registers to a limited number of physical registers. Thereby the RA attempts to reuse as much as possible each register in order to avoid assigning variables into memory locations (*spill slots*). For that, the RA implements an analysis pass called *liveness analysis*. This pass computes for each variable an interval in which the variable is considered alive. The liveness interval is a pair of program points, start and end, which starts when the value of the variable is defined and ends at the last point where the value is used (read). Thus, if two variables have disjoint liveness intervals, it means they can be assigned to the same physical register.

Let's see how the following C-like instruction is transformed: $x3 = x2 + x1$;

Juste before the RA, the instruction looks like: `add x3, x2, x1`. The RA computes the liveness interval for each virtual variable. Let L_{x3} , L_{x2} and L_{x1} respectively be the liveness interval of $x3$, $x2$ and $x1$. The result of the liveness analysis is as follows:

- (1) $L_{x1} \cap L_{x2} \neq \emptyset$
because both $x1$ and $x2$ are needed by the Arithmetic Logic Unit (ALU) of the processor to compute the addition operation, therefore they are alive at the same time.
- (2) $L_{x1} \cap L_{x3} = \emptyset$ and $L_{x2} \cap L_{x3} = \emptyset$
 $x3$ is the destination variable, it's where the result of the addition will be stored. So the liveness of $x3$ starts once the result of the operation is available at the output of the ALU, while the liveness of $x1$ and $x2$ if not used elsewhere ends at the moment their values are taken into account at the input of the ALU

Because of the relation (1) $x1$ and $x2$ will be assigned to different physical registers. An thanks to the relation (2), the

RA decides to assign $x3$ and $x2$, or $x3$ and $x1$ to the same physical register. Finally, the resulting instruction will look like: `add r0, r0, r1` and is not idempotent.

Our modification occurs at this level. And it concerns all instruction of this form: `opcode dst, src1, [src2]` where `opcode` can be an opcode of any instruction that stores its result in a register, such as arithmetic, bitwise or load instructions. `dst` is the destination register, `src1` is a source register and `[src2]` is an optional source register. We have modified this pass in such a way that the physical register to allocate for the destination register is always different to source registers even if the relation (2) is true: $(dst \neq src1) \cdot (dst \neq src2)$.

Thus in the previous example, instead of generating `add r0, r0, r1` we generate `add r2, r0, r1` which in turn is idempotent.

3.5 Transformations Passes

The modified LLVM passes presented above, allow to increase the number of idempotent instructions, but there are some instructions that need special treatments. For these special instructions, we have implemented the following LLVM passes (black boxes in figure 4) to process each of them.

3.5.1 Store Sanitizer Pass

The ARM thumb2 instruction set provides different variants the of the store instruction [1]. Some of them are idempotent, such as: `str r0, [r1, #4]`, that stores the value of `r0` at the address in `r1` added of 4.

But for instance this other variant of store: `str r0, [r1], #4` does a slightly different operation, it stores the value of `r0` at the address in `r1` and increments `r1` of 4. This variant is not idempotent because the source register `r1` is modified during the operation.

The role of this pass is to transform store instructions into a one of its idempotent variant, illustrated in figure 6.

<pre>1 str r0, [r1], #4</pre>	<pre>1 str r0, [r1] 2 add rx, r1, #4 3 mov r1, rx</pre>
(a) Before	(b) After

Figure 6: Store Sanitization

3.5.2 Push/Pop Elimination Pass

`push` and `pop` are two pseudo instructions that respectively write and read a value from memory, decrements and increments the stack pointer (SP) register [1], and both are

	Opt. level	Unprotected		Protected		Overhead		Moro et al [11]	
		cycles	size	cycles	size	cycles	size	cycles	size
Moro et al.'s AES	-O0	19698	11808	33627	13808	×1.70	×1.16	×2.14	×3.02
	-O1	14688	11552	24859	13248	×1.69	×1.14		
	-O2	6800	12528	12907	15264	×1.90	×1.22		
	-O3	5168	12688	10825	15824	×2.09	×1.25		
MiBench AES	-O0	1908	66924	3355	79260	×1.76	×1.18	×2.86	×2.90
	-O1	1142	64604	2188	68988	×1.92	×1.08		
	-O2	1142	60092	2188	69452	×1.92	×1.16		
	-O3	1140	59628	2185	68956	×1.92	×1.16		

Table 1: Overhead in terms of code size (in bytes) and execution speed (in clock cycles) for each AES implementation. The last two columns report corresponding results presented by Moro et al. [11] with the same AES implementations

non-idempotent. This pass transforms the `push` into `stmdb` instruction for *Store Multiple and Decrement Before* and the `pop` into `ldmia` for *Load Multiple and Increment After*. The core idea of this transformation (illustrated in figure 7) is typically to separate the memory access operation and the incrementation/decrementation of the stack pointer [11].

<pre>1 push {r5, lr}</pre>	<pre>1 stmdb sp, {r5, lr} 2 sub rx, sp, #8 3 mov sp, rx</pre>
(a) Before	(b) After

Figure 7: Push/Pop Elimination

3.5.3 BL Elimination Pass

`bl` for *Branch with Link* is a subroutine call instruction, that performs a jump to the specified subroutine address and stores the return address into the link register (LR). This pass transforms `bl` instruction into two idempotent operations (figure 8): explicit writing the return address into the LR register and performing an unconditional branch to corresponding subroutine address.

<pre>1 bl func 2 bx lr</pre>	<pre>1 adr rx, retBB 2 add lr, rx, #1 3 b func 4 retBB: 5 bx lr</pre>
(a) Before	(b) After

Figure 8: BL Elimination

3.5.4 IT Elimination Pass

The Thumb2 instruction set provides conditional execution by the use of *If-Then* (IT) blocks, which means up to 4 instructions can be executed conditionally. Conditions can be all the same, or some of them can be the logical inverse of the others [1]. Neither the `it` instruction nor conditional instructions are idempotent. An `it` block is a compact form of classical *If-Then-Else* blocks. The role of this pass as illustrated in figure 9 is to transform the `it` block into the corresponding *If-Then-Else* block, regardless to whether instructions inside the blocks are idempotent or not.

<pre>1 it ne 2 addne r3, r2, r1 3 pop lr</pre>	<pre>1 beq elseBB 2 add r3, r2, r1 3 b elseBB 4 elseBB: 5 pop lr</pre>
---	--

(a) Before

(b) After

Figure 9: IT Elimination

3.5.5 Instruction Duplication Pass

The role of this pass is to duplicate all the instructions. But right before, the *Pre-Instruction Duplication Pass* is run to ensure the idempotence of all instructions. In fact several other LLVM passes that are not represented in figure 4 (for the sake of brevity) perform multiple transformations beside ours, and may remove or introduce new instructions. This pass actually checks whether newly introduced instructions (if there are ones) are idempotent or if one of our transformation passes need to run again.

3.6 Instruction Scheduling

The role of the scheduler (illustrated in figure 10) is to rearrange the execution order of instructions in order to improve the execution time while preserving the original behavior of the program. The rearrangement is based on data dependencies, instruction latencies [1] and instruction-level parallelism of the target architecture. The instruction duplication can be performed before or after the scheduling according to the user choices. The advantage of duplicating instructions before the scheduling is that duplicated instructions will be scheduled together with original ones. The code represented in figure 10b runs faster than the one in figure 10a due to the better exploitation of processor pipeline, illustrated by the number of clock cycles required to execute each code block.

4. EXPERIMENTAL RESULTS

We have experimented our approach with two different AES implementations, the same versions as the ones used by Moro et al. in [11] including the MiBench [8]. Compiled with our modified LLVM compiler (based on the stable version 3.6) to generate binary codes where the entire instructions are duplicated. Our experimental platform is an ARM-based microcontroller embedding a cortex M3 core and supporting the thumb2 instruction set. Table 1 reports

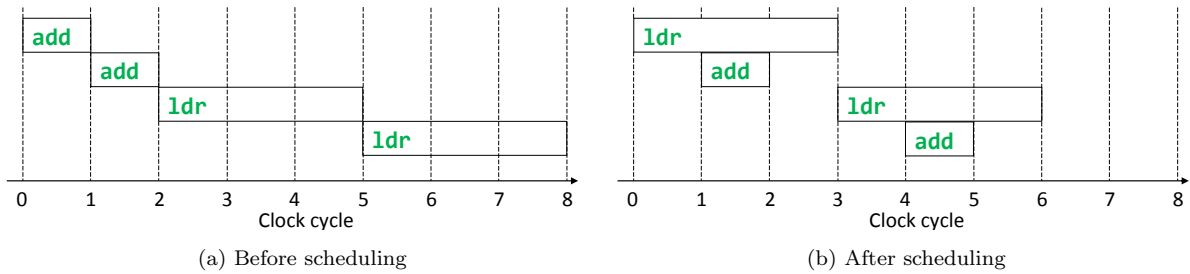


Figure 10: Impact of scheduling duplicated instructions

for each AES implementation the size of its binary code, the number of clock cycles it takes to execute and the corresponding overhead introduced by the countermeasure. The last two columns highlight the results reported by Moro et al [11] on the same implementations. We can notice the overhead mitigation of our approach compared to the assembly one.

Intuitively one might expect that the instructions duplication at least doubles the execution speed and the code size. And yet, with -O0 optimization level we obtained $\times 1.76$ and $\times 1.18$ of overheads respectively for the execution speed and code size, while Moro et al. for the same implementation have $\times 2.86$ and $\times 2.90$. The reduced overhead regarding the execution speed is due to the fact that more than 95% of generated instructions are idempotent (only less than 5% of them need to be transformed) and also because duplicated instructions are efficiently scheduled by the compiler (the role of the scheduler is presented in 3.6). And the reduced overhead in the code size is due to the fact that our processor supports both 16-bit (thumb 1) and 32-bit (thumb2) instruction set, and for the same operation the selected instruction may be different depending on whether the countermeasure is being applied or not. To sum up, applying the countermeasure during compilation allows the compiler to take better decisions based on our security objectives.

5. CONCLUDING REMARKS

We presented the use of compilation techniques to efficiently automate the application of a software countermeasure against fault injection attacks based on the instruction skip model. We have shown that existing solutions are penalized by the fact that several transformations on the assembly code need to be performed before applying the countermeasure. We have presented different modifications introduced inside our compiler to generate idempotent instructions, and new passes to duplicate instructions before scheduling. Experimental results showed the efficiency of our approach, leading to a strongly reduced overhead in code size and execution speed compared to existing solutions.

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