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Reverse electrochemical etching method for fabricating ultra-sharp platinum/iridium tips for combined scanning tunneling microscope/atomic force microscope based on a quartz tuning fork

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ABSTRACT

Sharp Pt/Ir tips have been reproducibly etched by an electrochemical process using an inverse geometry of an electrochemical cell and a dedicated electronic device which allows us to control the applied voltages waveform and the intensity of the etching current. Conductive tips with a radius smaller than 10 nm were routinely produced as shown by field emission measurements through Fowler–Nordheim plots. These etched tips were then fixed on a quartz tuning fork force sensor working in a qPlus configuration to check their performances for both scanning tunneling microscopy (STM) and atomic force microscopy (AFM) imaging. Their sharpness and conductivity are evidenced by the resolution of an epitaxial graphene layer thermally grown on the 6H–SiC(0001) surface. The structure of an epitaxial graphene layer thermally grown on the 6H–SiC(0001) (6√3 x 6√3) R30° reconstructed surface, was successfully imaged at room temperature with STM, dynamic STM and by frequency modulated AFM.

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1. Introduction

Recently, several high-resolution atomic force microscopy (AFM) studies of surface structures were performed with self-sensing piezoelectric scanning probes working in ultrahigh vacuum environment [1–4] or in liquid [5,6]. The probe often consists of a sharp metallic tip attached to a quartz tuning fork. In the qPlus configuration [1], one prong of the tuning fork is fixed while the tip is fixed on the end of the oscillating free prong. With a spring constant comprised between 1 and 10 kN/m, this stiff AFM probe can also produce scanning tunneling microscopy (STM) images since a short distance of a few atomic diameters can be established between the oscillating metallic tip and the surface. In that oscillating tip STM mode, hereafter called dynamic STM, the Z distance regulation is driven by the mean value of the tunneling current circulating between the tip and the conductive surface while the corresponding resonance frequency shift variations sensitive to the surface force gradient can be recorded. Such a combined STM/AFM system appears very attractive since different properties of the surface can be simultaneously investigated. In this context, the tip sharpness is the key for obtaining high resolution images.

The production of sharp metallic tips was first explored for field emission electron microscopy (FEM) and field ion microscopy (FIM) studies [7]. Considering the recipes used for FEM/FIM experiments, Melmed [8] had reported a short review on the experimental conditions for etching W and Pt/Ir wires for obtaining sharp tips. Changes in the tip geometry were mainly related to the nature and concentration of the etching solution together with the waveform of applied voltage. The influence of the electrochemical cell geometry onto the tip shape was also studied. For example, the double lamellae drop-off etching technique was used for making a
sharp W tip from a wire attached to the prong of a tuning fork [9,10]. Tips with a curvature radius of 50 nm were obtained.

Besides efforts to select the best geometry of the cell and etchant solutions, the electric conditions were also adjusted for obtaining sharpest tips. For example, AC polishing process has been improved for Mo tips by controlling the applying voltage, the frequency, the waveform and the bursts [11]. For W tips, Ibe et al. [12] have evidenced that, in addition to the electrochemical conditions, other parameters as the cut-off delays and the wire immersion length modify the tip curvature radius. Shortening the cut-off delay of the etching current after the material wire drops off at the air-electrolyte interface was also found to be critical for the radius of W tip apex [13]. fotino had proposed an original design for the cell called reverse electrochemical etching geometry [14]. In that configuration a hook-shaped wire, partially covered by an insulating layer except for the free end, allows the formation of a tip from which the bubble flow moves away. In this reverse etching the induced bubbles apply shear forces improving the tip sharpness. A process for achieving a reproducible sharp tip apex was identified from a systematic study of the electropolishing process of W tips [15].

However, the well-known presence of an oxide layer covering the Pt/Ir [16–18] and W [19–26] tips requires a cleaning treatment to obtain optimal tunneling current flow. W tips fabricated by electrochemical etching, cleaned by heating and characterized by Fowler–Nordheim (FN) plot and FIM were reported to display a clean apex with 3–15 nm radius of curvature [24,25]. Recently, the apex radii of tungsten based microemitter tips, ranging from 25 to 500 nm, were measured from SEM images and extracting from FN plots of the field emission characteristics [27].

On the other hand, the fabrication of Pt/Ir tips was less intensively studied than the W ones, although it is established that platinum based tips are chemically inert and less prone to the oxide layer problem than tungsten. However, platinum-based tips require more complicated fabrication protocols than the W ones. For example, two stages of electrochemical etching with two different solutions were need to obtain reasonable sharpness for high resolution STM imaging as shown by Libioulle et al. [17] who used CaCl2 electrochemical solution followed by an electrochemical etching in H2SO4 for sharpening tips in safer conditions than the highly toxic cyanide etchant. Lindhal et al. had presented a method to fabricate sharp Pt/Ir tips which consists of three electrochemical etching steps in two kinds of solution [18]. In 1999, A. H. Sørensen et al. [28] reported a detailed study of the Pt/Ir tip fabrication in two steps. Considering the influence of bubbles on the tip geometry during the continuous etching process with a 50 Hz voltage, the authors have modulated the voltage applied to the tip with time spaced bursts for a fine etching of the tip (the second etching step). As the delayed voltage bursts allow the far away diffusion of chloride ions insulating layer around the Pt/Ir shank between each pause [28,29]. Later Pt/Ir tips etched from a “U” shape wire using an inverse geometry combined with a sinusoidal 0.7 V voltage at 100 Hz were found to present apex radii around 20 nm [30].

From this analysis of the state of art of manufacturing ultrasharp tips, W tips are notably easier to fabricate sharper than Pt based ones but considering the chemical inertness of Pt/Ir when compared to W, tips made from a Pt/Ir wire appear well fitted for mounting on a tuning fork probe for combined STM and AFM operations in an ultra-high vacuum (UHV) environment without the need to install additional tip cleaning facilities in the UHV chamber.

In this paper, we report the optimization of an electrochemical etching process yielding reproducible fabrication of ultra-sharp and conductive Pt/Ir tips. We first describe the geometry of the electrochemical cell and the operating conditions to control the etching process of a Pt/Ir tip using a dedicated electronic device. Afterward the obtained tip geometry is observed by scanning electron microscopy (SEM) and finally characterized by field electron emission. As demonstration of their performances, each etched tip once glued onto a quartz tuning fork operating in qPlus configuration, is used as a scanning probe for high resolution imaging both in STM and AFM regulations (UHV, room temperature) of epitaxial graphene layer on reconstructed 6H–SiC(0001) surface.

2. Experiment

The STM/AFM probe is based on a quartz tuning fork (Citizen America CFS206, 32,768 Hz) configured in qPlus arrangement (Fig. 1). The preparation of an operational probe equipped with a sharp Pt/Ir tip needs a four step procedure with several characterizations of the tip/tuning fork assembly. In the first step, the tuning fork resonator was mounted on a modified UHV VT-AFM Omicron tripod holder. A prong of the tuning fork is strongly fixed on the macor ceramic part with non-conductive epoxy glue (H77 Epotek, Epoxy Technology, USA) while the electrical contacts were established with silver containing conductive epoxy glue (H21D Epotek, Epoxy Technology, USA). Both glues are UHV compatible and their curing conditions were 120 °C during 1 h. At this stage, the measured value of the quality factor for the tuning fork oscillating in air is at least 1000. High quality factor values are mandatory to enhance the detection sensitivity during AFM scanning.

In the second step, a 50 μm diameter Pt/Ir wire (Pt90/Ir10, Goodfellow, Cambridge Ltd – Huntingdon), was attached to the top of the free prong of the tuning fork using the H21D conductive epoxy glue.

The third step is devoted to the tip fabrication. First, the fixed Pt/Ir wire was plunged into a CaCl2 aqueous solution (CaCl2 5 g, H2O 30 ml, acetone 2 ml) [16,17] supported by a Pt ring with an outer diameter of 2 mm (Fig. 2) (CaCl2, H2O was purchased from NORMAPUR AR VWR international Prolabo (Leuven, Belgium)). The wire length in solution was adjusted with a micrometer precision mechanical screw in the reverse etching geometry suggested by Fotino [31] as illustrated in Fig. 2(A). The advantage of this geometry for the tip formation is that the bubbles escape from the etched wire which may induce beneficial shear forces towards the apex of the etched tip improving its sharpness. In fact, the bubbles slide along the etched cone in contrast with a normal cell geometry in which some of them are trapped by the buoyant force onto surface defects of the tip.

The formation of a sharp tip is highly dependent on the wave-form, frequency and amplitude parameters of the applied etching current. Fig. 2(B) depicts the schematics of the developed electronics to control these parameters. Due to that the etching rate of platinum increases for decreasing frequency below 50 Hz [29], the etching signal is based on a burst sinusoidal waveform of 100 ms,
with a carrier frequency of 400 Hz, and triggered by a pulsed signal of a period of 1 s, as shown on Fig. 2(C). Thus, the bubbles and platinum complexes formed during the etching process are removed in the pauses [28] and the solution concentration stays constant along the tip surface. This modulated voltage applied to the electrochemical cell generates the etching current \( I \) whose intensity converted into voltage by the transimpedance amplifier is compared to a reference signal \( V_{\text{ref}} \), setpoint defined by the user) through a voltage comparator. Then, the output of the comparator controls the switching of a relay, opening the circuit and stopping the etching when the etching current becomes smaller than the setpoint \( V_{\text{ref}} \). This automatic switch-off system improves the reproducibility of the tip shape.

At the end of the process, the tip was immersed by 0.25 mm into a fresh etchant solution. One pulse of the etching voltage (22 Vp-p ac 400 Hz) was applied manually in order to remove the possible presence of a contamination layer on the immersed Pt/Ir tip as observed in previous studies [28]. We noted that during the tips fabrication process the manufacturing reproducibility is affected by mechanics vibrations of the work-table and for solve this problem, all electrochemical system was supported on an anti-vibration table.

Next, the etched tips are thoroughly washed with hot Milli-Q water bath at 80 °C, then by an ethanol flux and dried in an oven at 120 °C for 10 min. Then, some tips are observed by SEM (JEOL JSM-5510 LV, resolution limit of 10 nm). All of them are finally characterized by field electron emission to obtain FN plots. Such a plot is a convenient and well established method to characterize the mean radius of curvature of the tip apex in which the intensity of the electron emission current \( I \) from the studied tip is measured as a function of the applied voltage \( V \) onto a counter electrode. In the FN regime, under the approximation of Spindt et al. [32], \( I \) varies as shown by the equation [33]:

\[
I = A \left( \frac{F^2}{\phi} \right) \exp \left( \frac{9.84}{\phi^{1/2}} \right) \exp \left( -6.49 \times 10^9 \frac{\phi^{3/2}}{F} \right) \tag{1}
\]

with \( I \) the current intensity (A), \( A \) is a constant, \( \phi \) (the local work function of the material of the tip) in eV (\( \phi = 5.7 \) eV for Pt/Ir [34]), \( F \) is the local electric field related to the applied voltage \( V \) via \( F = \beta V \) with \( \beta = 1/kR \) being the field conversion factor, \( R \) is the curvature radius of the tip apex and \( k \) is the field reduction factor that depends on the exact tip geometry and the distance between the tip apex and the counter electrode [73, 35]. However, \( k \) can reach values between 3 and 35 as reported for tungsten tips [73, 20–22, 24–26]. Dividing the equation (1) by \( V^2 \) and taking the nature logarithm on both sides, we obtain:

\[
\ln \left( \frac{I}{V^2} \right) = \ln \left( \frac{A \phi^2}{\beta} \right) + \frac{9.84}{\phi^{1/2}} - \left( 6.49 \times 10^9 \frac{\phi^{3/2}}{\beta} \right) (1/V) \tag{2}
\]

From the slope \( `m` \) of the linear variation of the FN plot \( \ln(I/V^2) \) vs \( 1/V \), we can obtain:

\[
kR = -m \left( 6.49 \times 10^9 \phi^{3/2} \right) \tag{3}
\]

with a model of the tip geometry for estimating \( k \), the value of \( R \) can be deduced from the FN plots.

Our combined UHV STM/AFM system was previously described in [3]. Briefly, it consists of a modified VT Omicron microscope coupled to a homemade dual preamplifier connected to a NANONIS-SPECS controller. The oscillation of the tip fixed to a qPlus probe was set to typically 1 to 2 angströms amplitude with a resonance frequency falling to 30 kHz due to the added mass of the

Fig. 2. Schematic of the reverse electrochemical etching set-up for ultra-sharp tip fabrication from Pt/Ir wire. (A) Reverse electrochemical cell with a Pt/Ir ring as a counter electrode (wire diameter 0.25 mm, outer ring diameter ~2 mm). The immersion length of the Pt/Ir wire is critical (a and b wires correspond to connections with the electronic device). (B) Schematic diagram of electronic system for controlling the etching process. A sinusoidal voltage triggered by 100 ms pulses of 1 s period is amplified by an audio power amplifier to generate a burst signal (400 Hz, 22 Vp-p). The etching current \( I \) circulating in the cell is converted into a voltage signal. Then a voltage comparator controls a relay for stopping the etching process when the etching current \( I \) is less than the reference setpoint \( I_{\text{set}} \approx V_{\text{ref}} \). (C) Waveform of the signal applied on the etching cell to etch Pt/Ir tips.
3. Results and discussion

3.1. Fabrication of sharp and clean Pt/Ir tips

In a first attempt, tips with a sharp apex were obtained by electrochemical etching of a 0.5 mm immersed wire in a fresh CaCl$_2$ electrolytic solution in the reverse configuration shown in Fig. 2(A). However, the tip shapes present a rather large dispersion of the apex radius values as revealed by SEM observations (not shown here) for a sinusoidal voltage of 22 V$_{p-p}$ at 400 Hz applied on the Pt/Ir wire up to the end of the etching process. In the second approach, the sinusoidal voltage of 22 V$_{p-p}$ at 400 Hz was triggered with 100 ms duration with 1 Hz repeating frequency (Fig. 2(C)). Furthermore, the etching process was switched off when the mean etching current decreased below a preset threshold defined by the user as a setpoint (Fig. 2(B)). As previously pointed out [28], a periodic burst etching signal canceled the screening effect of the bubble flux on the etching current and favors dilution of the Pt compounds during pause.

The sharpest tips were obtained with the etching switch-off threshold set at 20 mA. A typical time variation of the amplitude of the etching current intensity is reported in Fig. 3.

Fig. 4 shows SEM pictures of typical Pt/Ir tips obtained in these conditions. The surface of the cone forming the tips appears smooth and the curvature radii of the tip apex are around 23 ± 5 nm. Several tips prepared following this procedure were characterized in the field emission chamber for estimating the tip radius curvature from Fowler–Nordheim plots as depicted on Fig. 5.

We noticed that the onset of measured emission current is ~1 nA. Furthermore we pay attention to limit the current to a few $\mu$A in order to prevent any tip heating which would yield blunt tips. Deducing from the slope of the linear part of Fowler–Nordheim plots, we obtain kR values around 48 nm with ones at 45 and 34 nm. On the other hand, Schirmeisen et al. [20] report a kR value of 119.5 nm from a Fowler–Nordheim plot and for a polycrystalline W tip. Years later, a series of W tips, fabricated by Lucier et al. [21,22], present kR values between 65 and 137 nm. The SEM images of these tips reveal an apex radius ranging from 20 to 40 nm. Then, Hagedorn et al. [24,25] determined that W (111) tips present an apex radius of r < 10 nm from FIM observations while the measured kR value varies between 122 and 248 nm. From these investigations we verified that the characterization for Pt/Ir tips from Fowler–Nordheim plots has relatively been less investigated [39] than one for W tips [20–22,24,25,27]. We show that our fabricated Pt/Ir tips present kR values lower than those obtained by Schirmeisen et al. [20], Lucier et al. [21,22], and Hagedorn et al. [24,25], reported for sharp W tips.

However, for estimate the apex radius of our fabricated tips its necessary know the k value, which depends on the tip shape and the distance ‘d’ between the tip apex and the counter electrode [7,35]. Values of k = 5 is adequate for most geometries encountered in practice [7,35]. Nakamura et al. [13] use a k value of 5 for to estimate the curvature radius of theirs fabricated W tips experimentally. Schirmeissen et al. [20] find that k reaches values as high as 35 for W tips with an apex radius ~2.5 nm and a value of 18 for ones with an apex radius between 5 and 7 nm. More recently,
In this work, the tip apex can be modeled as a paraboloid of revolution [35] in agreement with Fig. 4(d) and the expression for k is:

\[ k = 0.5 \ln(d/R) \]

where R is the curvature radius of the tip. With the value \( kR = \sigma \) known, we resolve the nonlinear equation to obtain an estimate value for ‘k’:

\[ k - (\sigma/d) \exp(2k) = 0 \]  

with \( d = 0.5 \text{ mm} \) and \( kR \) values of 34, 45, 48 and 48, we obtain k values between 5.5 and 5.7 smaller than those reported by other authors [24,25] that using a high k value to expect a small value of R. We verified that the k values obtained are close to k = 5 reported by [7,13,35]. From the measured kR values in Fig. 5 and an average k value of 5.6 yields to 6 nm < R < 9 nm, a tip radius smaller than the measured ones in the SEM micrograph in Fig. 4(d), with limited resolution, and ones extracted from SEM images by Lucier et al. [21,22] and Mousa et al. [27].

3.2. STM/AFM images for testing the tip geometry

In order to characterize the sharpness and conductivity of the Pt/Ir tip apex, normal STM, dynamic STM and FM-AFM images were recorded on a 6H–SiC(0001) surface covered by a graphene layer. Fig. 6 presents constant current STM images obtained with a Pt/Ir tip attached on a non-oscillating qPlus probe.

Two lattices can be recognized. The first one with a period of 3.2 nm corresponds to the well-known \((6\sqrt{3} \times 6\sqrt{3})\) R30° reconstruction of the buffer layer on a 6H–SiC(0001) surface [40–42]. The other lattice formed by hexagons with a period between neighboring centers of 0.24 nm as shown in the inset is assigned to the epitaxial graphene layer covering the reconstructed SiC surface. As previously reported [40] the relationship between the two

![Figure 5](image5.png)

**Fig. 5.** Fowler–Nordheim plots of four Pt/Ir tips. The slopes of curve, proportional to the product kR, exhibit a small dispersion. With k ~ 5.6, the tip radius ranges from 6 nm to 9 nm.

Hagedorn et al. [24,25] assuming a maxima k factor of 35 for to determine the minimum apex radius of their W tips manufactured. Lucier et al. [21,22] obtained k values of 3.3 and 3.4 deduced from the tip radius determined SEM images and the kR values obtained from Fowler–Nordheim plots.

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![Figure 6](image6.png)

**Fig. 6.** Constant current STM images obtained with a non-oscillating tip showing the coexistence of two hexagonal lattices of the epitaxial graphene layer on reconstructed 6H–SiC(0001) surface: the large yellow spots correspond to the well-known \((6\sqrt{3} \times 6\sqrt{3})\) R30° lattice of the reconstructed SiC surface (green mesh) and the periodic arrangement of small brown spots to the graphene. The inset displays the atomic lattice of the graphene highlighted by the blue hexagons. \( I_t = 300 \text{ pA}, V_{\text{sample}} = -50 \text{ mV} \). The Z scale is 71 pm for both images. Scale bars: 1 nm and 0.5 nm in the inset. The data were filtered by Fast Fourier Transform in the inset. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

![Figure 7](image7.png)

**Fig. 7.** Dynamic STM topography (a) and map of frequency shift \( \Delta f \) (b) simultaneously recorded on the epitaxial graphene layer on the \((6\sqrt{3} \times 6\sqrt{3})\) R30° reconstruction of the 6H–SiC(0001) surface. \((6\sqrt{3} \times 6\sqrt{3})\) R30° unit cell (green) and \((6 \times 6)\) quasi-cell (blue) are indicated by diamonds. We noticed that \( \Delta f \) map, proportional to the force gradient, is positive meaning that the tip/surface interaction is mainly repulsive. \( (V_{\text{sample}} = -200 \text{ mV}, I_t = 12 \text{ pA}, \text{oscillation amplitude} = 0.12 \text{ nm}) \). Z scales: (a) 0–60 pm, (b) 27–33 Hz. In the insets are presented the corresponding high resolution images showing in (a) the graphene atomic lattice obtained in dynamic STM mode and in (b) the \( \Delta f \) map modulations due to the graphene lattice. In both insets, the arrows show the graphene lattice (period 0.24 nm). Images in the insets are FFT filtered and corrected from drift. Black and white scale bars represent 10 nm and 1 nm respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
lattices can be verified since 13 periods of graphene fit one period of the reconstructed SiC(0001) surface. These high-resolution STM images which are very similar to results obtained with W [41] and Pt/Ir [40] tips, clearly demonstrate the high quality of our Pt/Ir tips.

Next, we switched to dynamic STM mode maintaining constant the oscillation amplitude of the probe. The Z distance regulation of the microscope was now driven by the mean value of the tunneling current <\(I_t\)>. In this operating mode, dynamic STM images of graphene on the SiC surface were obtained with maps of the variation of the frequency shift of the probe. Both images show the SiC reconstruction lattice as illustrated in Fig. 7.

The lattice with a 0.24 nm period, clearly observed on the dynamic STM images shown in the inset of Fig. 7(a), indicates the presence of the graphene layer. It is worth pointing out that the same lattice is evidenced in the map of frequency shift. As a consequence, Fig. 7(b) and the inset demonstrate that the tip/surface interaction is modulated with 1.9 nm and 0.24 nm periods when the tip scans in STM mode the graphene layer in epitaxy on the 6H–SiC(0001) (6\(\sqrt{3} \times 6\sqrt{3}\)) R30° reconstructed surface. The lattice with a 1.9 nm periodicity is attributed to the (6 \(\times \) 6) quasicell of the underlying reconstructed SiC surface.

Finally, we switched to FM-AFM mode with distance regulation performed with the resonance frequency shift signal. Both the topography at constant resonance frequency shift and the map of variation of the mean tunneling current intensity exhibit a lattice with a 1.9 nm period which is identified with the (6 \(\times \) 6) reconstruction of the 6H–SiC(0001) surface. To the best of our knowledge, we emphasize that the images in Fig. 8 are the first showing AFM topography, simultaneously recorded with a mean tunneling current map, of an epitaxial graphene layer on reconstructed SiC(0001) surface obtained at room temperature and showing the (6\(\sqrt{3} \times 6\sqrt{3}\)) R30° reconstruction structure. This AFM topography obtained in repulsive mode is similar to the one reported by Filliter and Benewitz and for which the tip/surface interaction was not mentioned [43]. Interestingly, the mean tunneling current map exhibits some bright spots probably related to local variations of the density of states near the Fermi level which are not related to features in the corresponding AFM topography. This will be discussed in a forthcoming paper [44].

4. Conclusions

Sharp and conductive Pt/Ir tips have been reproducibly fabricated by a one stage electrochemical etching process in CaCl2 solution using an inverse geometry of the electrochemical cell and a dedicated electronic device which allows us to control sequences of alternative voltages. In these conditions, tips with a mean apex radius smaller than 10 nm were routinely produced as demonstrated by electron field emission measurements through Fowler–Nordheim plots. As probes for a combined STM/AFM system these tips mounted on a quartz tuning fork working in a qPlus configuration allow us to achieve high resolution images of the epitaxial graphene layer on (6\(\sqrt{3} \times 6\sqrt{3}\)) R30° reconstruction of the 6H–SiC(0001). The surface structure was explored at room temperature by normal STM, dynamic STM and for the first time by FM-AFM. High resolution images of the graphene lattice were achieved in STM mode, dynamic STM mode together with maps of frequency shift. We are working on the controlled fabrication of sharper tips for which we soon hope to achieve atomic resolution on graphene layer by FM-AFM at room temperature.

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