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In situ generation of indium catalysts to grow crystalline silicon nanowires at low temperature on ITO†

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In situ generation of indium catalyst droplets and subsequent growth of crystalline silicon nanowires on ITO by plasma-enhanced CVD are reported, and the wurtzite (Si–IV) phase is clearly evidenced in some wires.

Potential applications and fundamental physical studies have driven increasing efforts in the synthesis of semiconductor nanowires, ¹⁻³ especially silicon nanowires (SiNW). The most common growth mechanism is known as "vapor–liquid–solid" (VLS).⁴ It is based on the dissociation of a precursor gas (*e.g.*, SiH₄) at the surface of metallic catalyst droplets, the diffusion of constituent atoms (*e.g.*, Si) in the droplets, and their precipitation at an interface. Fast diffusion is required to obtain high aspect ratios and high growth rates. Therefore the catalyst droplets should be liquid. This sets the growth temperature above the eutectic point, if any, of the metal/silicon alloy. The most common catalyst, gold, has a eutectic point with silicon at 363 °C,⁵ and the typical growth temperature with this metal is above 450 °C. Indium, the melting point of which is 156.6 °C, can noticeably lower the growth temperature but it oxidizes rapidly and requires surface cleaning before SiNW are grown.⁶

The VLS mechanism can be implemented by laser ablation⁷ or chemical vapor deposition⁸ (CVD). Few attempts to use plasmaenhanced CVD (PECVD) have been reported, even though PECVD helps to broaden the range of metal catalysts and lower the substrate temperature as compared to CVD.^{6,9–12}

Many applications foreseen for SiNW are opto-electronic devices. These would hence greatly benefit from SiNW being directly grown on transparent conductive substrates, such as In_2O_3 :Sn (ITO) or SnO_2 . Yet few attempts have been reported.¹³ One possible reason is the lack of stability of these substrates at high temperature. In particular, ITO is known to be superficially reduced upon exposure to SiH_4 or H_2 plasmas above $200\,^{\circ}C.^{14}$

In this communication, we propose to turn this sensitivity into an advantage to produce indium catalyst droplets *in situ*, and to grow SiNW by PECVD directly on ITO.

The main steps of the process are schematically presented in Fig. 1. It is entirely run under vacuum in one single capacitively coupled, radio-frequency (RF) PECVD reactor. The base pressure for our experiments was 5×10^{-6} mbar.

In the first step (Fig. 1a), ITO-coated glass‡ is exposed to a hydrogen plasma. The substrate temperature is set to a value above the melting point of indium. In our growth experiments, the substrate temperature was 250 ± 5 °C, at which ITO is known to be superficially reduced on the time-scale of minutes, ¹⁵ and the RF power density was 64 mW cm⁻². This surface treatment leads to the formation of metallic droplets of indium and tin (Fig. 1b).

Then the hydrogen plasma is stopped and the samples are exposed to low-pressure silane plasma (Fig. 1c). In our experiments, the pressure was 7.4×10^{-2} mbar, and the RF power density was 25 mW cm⁻². When the substrate is glass or untreated ITO, such a plasma leads to the deposition of hydrogenated amorphous silicon (a-Si:H) through the reaction of SiH₃ radicals on the substrate. Here, though, SiH_x species preferentially decompose at the surface of the metallic droplets. Si atoms are dissolved in the indium droplets and then precipitate at the interface with the substrate. This process is faster than the radical-induced growth, so it leads to the growth of structures standing out from the surface. Silicon wires grown through this process have a typical length of 600 nm after 15 min of growth.

The catalytic effect of metallic indium is evidenced by deposition on a patterned substrate (Fig. 2). On one part (left of the SEM picture), the ITO coating has been etched off by an acid solution before the plasma treatment, while the other part was protected. The whole sample was then cleaned and exposed to the plasma without any mask.

On the etched part, amorphous silicon, which appears smooth and dark in the SEM image, is deposited. It is characterized by a broad Raman peak near 450 cm⁻¹. In contrast, the ITO-coated part is covered by silicon nanowires, the trunks of which appear bright and the metallic caps appear white. The Raman spectrum of this part shows a sharp peak at 500 cm⁻¹, which indicates that the wires are crystalline. The Raman peaks are moved down by about 20 cm⁻¹ as compared to those of bulk crystalline silicon. This shift may be explained by local heating of the nanowires by the laser beam used in the measurements or by some wires having a Si-IV (wurtzite) crystalline structure.¹⁶

The catalyst caps, which have been shown by energy dispersive X-ray analysis (EDX) to be indium with an average 1.5 at% of tin, are generally much larger than the wires which support them (Fig. 3a). Their effective diameter is indeed 220 nm (standard deviation: 130 nm) whereas the average diameter of the wires is 100 nm (standard deviation: 60 nm). This size mismatch is a major

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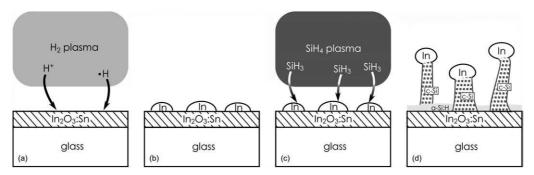


Fig. 1 Schematic steps of the process: (a) superficial reduction by an RF hydrogen plasma; (b) formation of indium droplets; (c) RF silane plasma and preferential dissociation of SiH_x species on indium droplets; (d) formation of indium-capped silicon nanowires.

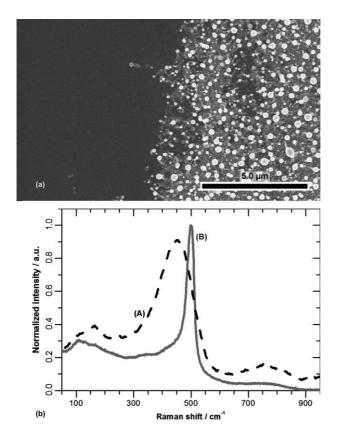


Fig. 2 Localized growth of silicon nanowires on ITO: (a) SEM image of the layer grown on a partially etched ITO coated glass (left: amorphous silicon on etched glass, right: silicon nanowires grown on ITO-coated glass, tilt angle: 30°); (b) Raman spectra of the layers deposited (A) on etched glass (dashed black curve) and (B) on ITO-coated glass.

difference with common catalysts. Indeed, gold catalyst droplets always have a diameter which is smaller than or equal to the diameter of the nanowires and in most cases the base of the droplet exactly covers the top of the wire. This difference is explained by the wettability of silicon by gold and indium. The contact angle of Au–Si droplets on Si(111) is 43° over the temperature range where silicon nanowires are grown, ¹⁷ whereas the contact angle of indium on Si(111) at 350 °C is 125°. ¹⁸ Hence for a given amount of metal, the interface area between silicon and the droplet is much larger with gold than with indium. This way, smaller nanowires can be

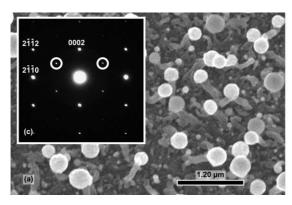
grown with aggregates of a given size when indium is used instead of gold.

The amount of tin (between 2 at% and 5 at%) and indium (0.5 at%) in the wires is much higher than the reported solid solubility in crystalline silicon (36 ppm for indium, 19 0.1 at% for tin 5). However, metastable $\mathrm{Si}_{1-x}\mathrm{Sn}_x$ alloys with a tin concentration as high as 5.2 at% have been reported to be grown by molecular beam epitaxy (MBE) with good structural quality. 20

High resolution transmission electron microscopy confirms that the nanowires are single crystalline. At least two different structures have been identified. The "standard" cubic diamond structure (Si-I) has been observed on wires with diameters larger than 100 nm (Fig. 3b). The metastable hexagonal wurtzite structure (Si-IV) has been clearly evidenced on nanowires with a diameter of 70 nm. Their cell parameters were determined from the electron diffraction patterns (Fig. 3c). Their values (a=0.382 nm and c=0.634 nm) are in very good agreement with the theoretical ones (a=0.380 nm and c=0.628 nm). The interplanar spacings (0.317 nm, 0.191 nm and 0.163 nm) were attributed to the 0002, 2110 and 2112 reflections, respectively. Additional spots, indexed as 1/2 in the 2-1-12 direction, were observed at 0.326 nm. They reveal a substructure in addition to the hexagonal phase in this direction.

One of the advantages of the method presented here is the ability to grow nanowires on substrates (ITO) which have special optical and electrical properties. It is therefore important to check that those properties are not significantly modified by the H₂ treatment. The formation of metallic droplets leads to a reduction in the transmittance of the ITO-coated glass: the transmittance decreased by 3% after 2 min of high-pressure H₂ plasma at 200 °C and by 13% after 2 min at 250 °C. This reduction does not come from changes in the bulk of ITO, but it is due to absorption by metallic tin and diffraction by the droplets (when their typical size is of the same order as the optical wavelength).21 These droplets are eventually at the top of the brush of SiNW as catalyst caps, so they do not contribute to light absorption by the substrate after growth. The loss of transmittance reported here should therefore be seen as an upper limit. In addition, the H₂ plasma treatment has very little impact on the conductivity of ITO. High-pressure H₂ plasma treatment at 200 °C (30 s to 2 min) leads to an increase in the sheet resistance from $10 \Omega \text{ sq}^{-1}$ to $14 \Omega \text{ sq}^{-1}$; at 250 °C, only a 2 min treatment leads to a higher value (16 Ω sq⁻¹).

In summary, superficial reduction of a transparent conductive oxide (ITO) by exposure to H_2 plasma has been successfully used to create catalyst droplets for the growth of crystalline silicon nanowires. This production of metallic aggregates is carried out *in situ*, just



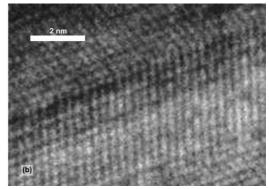


Fig. 3 Microscopic characterization of silicon nanowires grown on ITO: (a) SEM picture (tilt angle: 30°); (b) high-resolution TEM image of a nanowire with a cubic structure showing micro twins; (c) selected area electron diffraction pattern of a <1-100> pole axis of a nanowire with wurtzite structure; circled spots evidence a substructure in addition to the hexagonal structure in the 2-1-12 direction.

before the nanowires are actually grown. The low melting point of indium allows the growth to happen at a substrate temperature of 250 °C. Using this method, silicon nanowires can be directly grown on transparent and conductive substrates, whose properties are only marginally damaged upon this treatment. Optimization of the plasma parameters, such as the substrate temperature, power density, length of the treatment, H2 pressure, should allow accurate control of the size and density of the metallic droplets, which in turns control those of the nanowires. Our approach opens the way to many applications and is a step further toward large scale production and effective use of silicon nanowires. In particular, as the brush of nanowires presented here strongly scatters light, it may be of major interest for light trapping in solar cells.

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